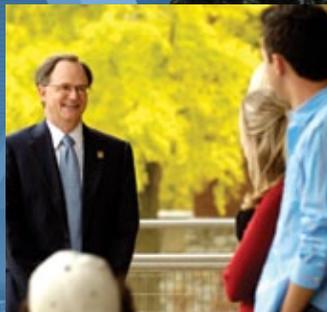


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FY 2011-12

Operating and Capital Budget

University of Kentucky - Operating and Capital Budget

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PRESIDENT'S BUDGET MESSAGE

June 14, 2011

MEMBERS OF THE BOARD OF TRUSTEES:

I submit for your consideration and approval an operating and capital budget for Fiscal Year 2011-12 that totals \$ 2.7 billion.

Ten years ago, I accepted the presidency of the University of Kentucky convinced that what we must do as a University and as a state was to rediscover our courage and commitment to dream. All I asked of our University from my first day – and kept asking again and again – is that we remind ourselves of the vivid and compelling idea that lies at the genesis of this remarkable institution: substantial societal progress can be cultivated and given full bloom through the visionary and tireless commitment of a land-grant, flagship university.

In 1997, the Kentucky General Assembly gave renewed voice to this public call that this University embrace its singular responsibility: to seek knowledge and spread it, with evangelical fervor, toward the betterment of lives and improvement of conditions all across our state.

I hope it will be said that these last 10 years have been marked by our collective effort to put our University – and therefore our state - on a noticeably steeper trajectory of progress. We sought to enroll more Kentucky students and see to it that they stay and graduate; because that is how people see their lives change. We sought to increase substantially the research that we do and focus even more attention on the problems that have plagued too many Kentuckians for

too much of their lives; because that is how conditions are improved. And we sought to expand our outreach mission to ensure that every corner of the state was engaged and every life in the state was touched in a meaningful and lasting way; because that is how businesses and communities are strengthened.

We have lived these 10 years in the shadow of the bitter reality that even as we set our sights significantly higher for ourselves and for Kentucky, economic conditions undercut the state's ability to support our heightened ambition. The hard truth is that our state support is no greater today than it was 10 years ago.

We could have reacted to this previously unfathomable change in our financial environment by seeking the safe path - retrenching and laying aside our aspiration. What has made this chapter in our University's history so remarkable is that even as the state we serve has been unable to increase its support to us, we nevertheless increased exponentially the service we have provided to it. We simply found other ways – through efficiencies in operations and an increasing diversity of financial resources - to seek Kentucky's future.

Let it be said of us that in an hour when state support was stagnant, but state needs continued unabated, we again answered the clarion call of mission and mandate. The evidence is conclusive. We have increased our undergraduate enrollment 11.2 percent; and our retention rate reached a record 81 percent and our graduation rate a record 61.4 percent. We

boosted research expenditures from \$212 million to \$360 million. We increased service all across the state, including the immensely successful Commonwealth Collaboratives initiative – 47 research projects designed to address specific challenges and problems confronting the state. Today, we take pride in what we have accomplished together.

We also take stock today of the work that remains unfinished; that challenges to our state remain and that the flagship university is more essential than ever before to the establishment of solutions. But the marriage of clarity of vision and documented progress even in the toughest of times has bred a reinvigorated confidence that we can continue to improve conditions in our state. Along our shared journey, we also renewed our collective belief in what is possible, lifting our sights beyond the immediate clouds to the breaking of sunlight on the horizon.

Our University continues to be a proud and worthy instrument for the ideals of the land-grant mission. Let it be said of us that in our decade together, we rediscovered the daring to dream.



Lee T. Todd, Jr.,
President

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2009-14 STRATEGIC PLAN AND METRICS

Goal 1: Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.

2010-11 Highlights

Total student enrollment (including all levels) was 28,037 in Fall 2010 – a new record. This included a record high first-year enrollment of 4,329; undergraduate transfer student enrollment for Fall 2010 increased for the third year in a row to 1,140; and research and professional doctoral enrollment reached a new high of 4,225.

The mid-50 percent range of the ACT Composite for the Fall 2010 first-year class remained at 22-28; and the average ACT Composite score for the first-year class was 25.2, another new record high.

UK achieved a first-to-second year retention rate of 81.8 percent, greater than 80 percent for the third year in a row and another new record high; and the fall-to-spring retention rate for the Fall 2010 cohort was 93 percent, slightly lower than last year's 94.5 percent.

Significant achievements in enrollment management included:

- Received record number of applications for 2010-2011 at 13,537
- Developed new streamlined web application for admission
- Sent transfer recruiter to every KCTCS campus (including satellite campuses) each semester, making about 40 visits per semester
- Created recruitment video that received the Gold Award among institutions with 20,000+ students from the Higher Education Marketing Report
- Implemented mobile initiatives, including use of iPads in the Visitor Center and at Preview Nights to capture accurate prospective student data
- Automated the packaging of Federal Direct Loans for the colleges of Medicine and Dentistry
- Communicated with and assisted students with outstanding balances at mid-term as part of retention strategy

General Education reform progressed as the University Senate gave final approval to the new curriculum in December 2010; over 120 new course proposals were reviewed and approved. The new General Education program will be implemented with the Fall 2011 first-year class; the first round of course-embedded assessment will also take place in Fall 2010, providing early feedback on the quality of student learning to ensure continuous improvement of the program and student success.

In May, 30 students across the disciplines participated in the Thesis/ Dissertation Boot Camp, sponsored by The Graduate School. The "Camp," held every January and May, provides space, uninterrupted time, and synergy to students at various stages of the writing process, and is designed to promote student success in completing research doctoral degrees.

A record high 734 research and professional doctorates were awarded in 2009-2010.

2009-14 STRATEGIC PLAN AND METRICS

Goal 1: Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.

			Measures of Progress					
Metrics		Goal	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5
1-1	Increase the 75 th percentile of the ACT Composite score to 28 for the first-year class.	28	22-27	22-28	22-28			
1-2	Reduce the undergraduate student-to-faculty ratio to 17 to 1.	17 to 1	17.8 to 1	17.7 to 1	17.7 to 1			
1-3	Increase the first-to-second year retention rate to 85 percent.	85%	81%	80.3%	81.8%			
1-4	Increase the number of high impact co-curricular activities that support the student's classroom experience in the areas of research, community service, leadership development, internationalization, and inclusion.	Show Increase	--	400	748			
1-5	Increase the six-year graduation rate to 64 percent.	64%	57.7%	59.5%	58.2%			
1-6	Improve undergraduate performance on the Collegiate Learning Assessment as measured by value-added statistics: Cross Sectional Study / Longitudinal Study	Improve performance	Above Expected / As Expected	--	Available after 8/1/2011			
1-7	Exceed benchmark averages on pass rates of first-time test takers in professional programs with licensure examinations.	Exceed benchmarks	92%	79%	Available after 7/1/2011			
1-8	Increase bachelor degrees awarded per academic year to 3,925.	3,925	3,775	3,650	3,521			
1-9	Increase master's degrees awarded per academic year to 1,450.	1,450	1,311	1,334	1,211			
1-10	Increase research and professional doctoral degrees awarded per academic year to 780.	780	717	719	734			
1-11	Increase degrees awarded per academic year in science, technology, engineering, and mathematics (STEM) disciplines, including degrees that prepare teachers in STEM disciplines, to 1300.	1,300	1,181	1,089	1,056			

2009-14 STRATEGIC PLAN AND METRICS

Goal 2: Promote Research and Creative Work to Increase the Intellectual, Social, and Economic Capital of Kentucky and the World Beyond its Borders.

2010-11 Highlights

Total Research & Development Expenditures reported to the National Science Foundation for 2009-2010 were \$360 million – a 2.3 percent increase over the previous year; federally-supported expenditures were up 19 percent; and industry-supported expenditures were up 21 percent.

UK experienced considerable success in competing for “stimulus” grants. The final tally on American Recovery & Reinvestment Act (ARRA) stimulus funding totaled \$113.5 million for 184 awards:

- This total was nearly \$80 million more than predicted at the outset based on UK’s relative competitiveness for federal funding.
- Counting only competitive awards, UK investigators outperformed those at a number of benchmark institutions in total ARRA dollars awarded.

Examples of ARRA funding include:

- The National Institute of Standards and Technology (NIST) awarded \$11.8 million to the UK Center for Applied Energy Research (CAER). This grant is being matched with \$3 million from the Commonwealth of Kentucky and \$1 million from UK to complete the \$15.8 million project.
- The College of Engineering received a three-year, \$300,000 award from the National Science Foundation for the Research Experiences for Undergraduates (REU) Program. REU provides support for 10 students during the summers of 2010, 2011, and 2012 to perform research with UK faculty, graduate students, and research staff, and participate in field trips and workshops.
- The University of Kentucky is the lead university on a \$6 million grant from the National Institutes of Health to study the molecular genetics and biochemical potential of medicinal plants. UK’s Joe Chappell (Plant and Soil Sciences) will capture the genetic blueprints of 14 plants, such as ginseng and foxglove, known for their medicinal and therapeutic value; this project will speed drug development efforts.

- The U.S. Department of Health and Human Services awarded more than \$6 million to UK to assist Kentucky physicians with maximizing the use of electronic health records. UK will establish the first Kentucky-based Health Information Technology Regional Extension Center (REC) focused on serving Kentucky health care practitioners. This investment is expected to support tens of thousands of jobs ranging from nurses and pharmacy techs to IT technicians and trainers.

Sponsored project awards received through the University of Kentucky Research Foundation (UKRF) for 7/1/2010 through 5/31/11 totaled \$278.4 million. Awards for 2009-2010 totaled \$338 million.

The Research Enrichment Fund, designed to stimulate collaborative research and scholarship, returned \$6.4 million to departments, centers, and institutes in 2010-2011 compared with \$5.3 million the previous year.

UKRF committed \$11.4 million in 2010-2011 in start-up support for 159 faculty members. This includes both new hires and ongoing commitments to recent hires.

2009-14 STRATEGIC PLAN AND METRICS

Goal 2: Promote Research and Creative Work to Increase the Intellectual, Social, and Economic Capital of Kentucky and the World Beyond its Borders.

			Measures of Progress				
Metrics	Goal	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5
2-1	Increase total annual research expenditures, as reported to the National Science Foundation in science and engineering fields, to \$430 million.	\$430 million	\$329 million	\$352 million	\$360* million		
2-2	Increase the five-year total for journal publications to 10,000.	10,000	8,991	9,413	Available after 7/1/2011		
2-3	Increase the five-year total for citations to 65,000.	65,000	52,169	56,520	Available after 7/1/2011		
2-4	Increase total annual invention disclosures, licenses, and options executed, and startups based on new licenses to 132.	132	118	106	121		
2-5	Increase total annual national and international recognition awards for research excellence.	Show Increase	--	75	58 (as of May 20, 2011)		

Note: Beginning in FY 2009-10, the Higher Education Research and Development survey includes all fields of R&D activities and expenditures in both science and engineering and non-science and engineering.

2009-14 STRATEGIC PLAN AND METRICS

Goal 3: Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals.

2010-11 Highlights

The number of full-time employees, as reported to the federal Integrated Postsecondary Education Data System (IPEDS) totaled 12,225 in 2010-2011 (based on payroll as of November 1, 2010). There were 6,565 part-time staff and 452 part-time faculty members also employed in 2010-2011.

The percent of staff at the appropriate point between the minimum and mid-point of the pay grade, based on performance and years of experience, increased from the baseline of 76.1 percent to 76.5 percent in 2010-2011.

Although UK lost some ground on the average instructional faculty salary compared to the median of the Top 20 universities (decreasing from 83.0% to 82.4%), the average instructional faculty salary at UK is 100.3 percent of the median of public Southeastern Conference universities.

Activities to support faculty promotion and tenure included:

- completed proposal to better define and reward teaching excellence;
- reviewed and shared best practices at benchmark institutions to help departments develop promotion and tenure evidence statements; and
- offered 10 workshops for faculty based on their developmental needs, with 294 faculty participating

As a follow-up to the UK@Work surveys conducted in October 2005 and February 2006, the 2010 Work-Life Survey was completed by UK faculty and staff in October 2010. Results showed an overall increase in commitment and satisfaction for both faculty and staff.

The UK Libraries' ranking by the Association of Research Libraries (ARL) remained at 36th. In 2010, the UK Libraries held over 3.9 million volumes, more than 87,000 periodical titles, and over 6.5 million microforms.

UK Information Technology (UKIT) has achieved six of the eight measures established to document parity commensurate with Top 20 public research universities by 2014. In 2010-2011 UKIT achieved its goals for the percent of classrooms with basic technology and with interactive technology. Also,

measure #8 – customizable and wireless student/faculty portal – was achieved: MobileLearn live on all three platforms – iPhone, Android, and Blackberry – with a total user base of 6,168; total application runs of 44,085; and average number of runs a day at 223.8 (as of March 2011).

In May 2011, the opening of the lobby, concourse, and two patient care floors of UK Albert B. Chandler Hospital's new Pavilion A marked an historic milestone in achieving UK HealthCare's mission of meeting the health care needs of Kentucky and beyond. The new centerpiece of UK Chandler Hospital bridges the art and science of medicine, assuring Kentuckians access to the very best advanced subspecialty care close to home.

Major capital projects completed include: Digital Village Building #2 (Marksbury Building); Expansion and upgrade of the Veterinary Diagnostic Laboratory; Renovation of Research Labs in Chemistry-Physics; Renovation in the Nursing Building; Renovations on the Third Floor of the Little Library; Children's Garden at the Arboretum; and Phase I of the Expansion of the Center for Applied Energy Research.

2009-14 STRATEGIC PLAN AND METRICS

Goal 3: Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals.

			Measures of Progress					
Metrics		Goal	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5
3-1	Increase the average all-ranks instructional faculty salary as a percent of the benchmark median to 90 percent.	90%	83.9%	83.0%	82.4%			
3-2	Improve work-life satisfaction among faculty and staff, according to results of the University-wide Work-Life Survey. ¹ <ul style="list-style-type: none"> • Commitment Scale (Faculty / Staff) • Satisfaction Items (Faculty / Staff) 	Show Increase	3.42 / 3.92 3.44 / 3.68	--	3.52 / 3.99 3.57 / 3.73			
3-3	Improve the ranking among public research universities of UK Libraries, according to the Library Investment Index of the Association of Research Libraries.	Show Increase	35 th	36 th	36 th			
3-4	Increase the percent of staff at the appropriate point between the minimum and mid-point of the pay grade, based on performance and years of experience, to 90 percent.	90%	76.1%	74.8%	76.5%			
3-5	Achieve parity commensurate with Top 20 public research universities for information technology solutions and service.	Achieve 100%	--	25%	75%			
3-6	Add one million gross square feet (GSF) of educational and general, research, and student support space, targeted in areas of greatest need.	1,000,000 GSF	--	313,208	359,948 (2-yr total)			
3-7	Renovate or modernize 200,000 square feet of classroom, research, and student support space, as prioritized by a needs analysis.	200,000 SF	--	83,981	259,101 (2-yr total)			

¹ NOTE: Based on items rated using a 5-point scale where 1=strongly disagree and 5=strongly agree.

2009-14 STRATEGIC PLAN AND METRICS

Goal 4: Promote Diversity and Inclusion.

2010-11 Highlights

UK enrolled a record 418 first-year African American students in Fall 2010; the number of new international students was 253.

Minority and international students at UK comprised 16 percent and women constituted 51 percent of the UK student body.

The proportion of students from diverse ethnic groups and other underserved populations increased over last year for racial/ethnic minorities (up 1.0%), international students (up 0.3%), and Pell Grant recipients (up 2.5%); the proportion decreased for Appalachian students (down 0.3%) and remained unchanged for students from underserved Kentucky counties.

The African American retention rate of 71.2 percent for the 2009 cohort was down 3.9 percentage points from the previous year.

The UK Affirmative Action Plan contains 21 employment goals established for major job categories. In 2010-2011 UK demonstrated success on 14 of the 21 goals.

Full-time faculty increased to 2,220 (including library faculty) in 2010-2011, compared to 2,165 in 2009-2010. Since 2000-2001, the number of female faculty increased by 42 percent and African-American faculty by 53 percent (overall full-time faculty increased 18 percent).

The Office of Institutional Diversity (OID) has further developed collaborative approaches to increase inclusiveness across the colleges.

- The Diversity & Inclusion Advisory Council reviews and advises on OID structure, programs, issues and plans for advancing the University's collective strategies around diversity and inclusion efforts.

- Commendable progress was made in developing more strategies in the colleges and across the University to achieve inclusive excellence. Designed to ultimately support aggressive recruitment plans that target students of color in Historically Black Colleges and Universities, OID held meetings to begin the formation of a UK/HBCU consortium.

- Now in its second year of sponsored funding but third year of operation, "Discover USA/Discover Germany," the new diversity collaborative developed between UK International Programs and Institutional Diversity, sent 20 diverse UK students for a four-week session of language, culture, and civics studies at the Freie Universitat in Berlin (FUBIS). Twenty-five FUBIS students came to UK for five weeks in August.

- OID orchestrated the establishment of a monthly collaborative gathering of personnel who work on undergraduate student retention, referred to as First Thursdays: The 411 on Student Success.

- The College of Arts & Sciences sponsored and orchestrated a stellar year-long program of presentations on political, cultural, social and economic issues that span from South Africa to Kentucky's borders.

- The Commission on Excellence, Diversity & Inclusion (CEDI) progressed in forming task forces and establishing methods to assess specific diversity and inclusion issues at UK. The most recent was the Task Force on Alumni Engagement to explore ways UK alums can assist diversity and inclusion efforts on campus and with the surrounding community. The Task Force on Student Success held important discussion sessions around ways students thought UK could improve the climate for living and learning.

2009-14 STRATEGIC PLAN AND METRICS

Goal 4: Promote Diversity and Inclusion.

			Measures of Progress				
Metrics	Goal	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5
4-1	Ensure that all educational and administrative units implement strategies to achieve inclusive excellence.	100%	--	Not Met	Available after 11/1		
4-2	Increase the proportion of students from diverse ethnic groups and other underserved populations. <ul style="list-style-type: none"> • Racial/Ethnic Minorities • International • Appalachian • Underserved KY Counties • Pell Grant Recipients 	Show Increase	10.1% 4.7% 12.4% 3.0% 11.5%	10.9% 4.7% 11.9% 2.8% 14.5%	11.9% 5.0% 11.6% 2.8% 17.0% ³		
4-3	Achieve the employment goals of UK's annual Affirmative Action Plan.	21	16	15	14		
4-4	Improve student, faculty, and staff ratings ¹ on the extent to which UK is an inclusive community, according to results of a University-wide survey. Students: Welcoming Campus Environment Scale Students: Perceptions of Disparate Treatment Scale Faculty: Welcoming Campus Environment Scale Faculty: Perceptions of Disparate Treatment Scale Staff: Welcoming Campus Environment Scale Staff: Perceptions of Disparate Treatment Scale	Show Increase	3.53 2.37 ² 3.51 2.17 ² 3.76 2.09 ²	Follow-up surveys will be conducted in 2013-2014 to obtain data on strategic plan progress for Metric 4-4.			
4-5	Improve student ratings ¹ of curricular and co-curricular effectiveness in promoting diversity and inclusion, according to results of a university-wide survey.	Show Increase	3.46	Follow-up survey will be conducted in 2013-2014 to obtain data on strategic plan progress for Metric 4-5.			
4-6	Increase the number of partnerships with community organizations whose purpose is to promote diversity and inclusion.	Show Increase	--	151	156		

¹NOTE: Based on a scale of 1 to 5, where 1=strongly disagree and 5=strongly agree

²NOTE: The lower the rating on this scale, the fewer the perceptions of disparate treatment.

³NOTE: Part of the Pell Grant increase is due to criteria changes to increase eligibility and more families applying for need-based aid.

2009-14 STRATEGIC PLAN AND METRICS

Goal 5: Improve the Quality of Life of Kentuckians through Engagement, Outreach, and Service.

2010-11 Highlights

According to the UK Engagement Measurement Instrument (UK-EMI), faculty and staff reporting engagement activities has increased from 545 in 2007 to 942 in 2010.

Student engagement activities expanded, promoting civic responsibility as important to the educational experience. FUSION 2010 was a remarkable success, with over 1,200 student volunteers and 100 job sites throughout Lexington, including Habitat for Humanity, Pralltown Neighborhood, and the YMCA.

In Spring 2011 over 600 DanceBlue participants raised \$674,000 – a new record - for the UK Children’s Hospital Pediatric Oncology Clinic and the families whose children have been affected by cancer.

Health care services included over 500,000 patient visits; 221,149 prescriptions from out-patient clinics; and 199,073 dental procedures.

Art and cultural events included free public events for 40,000 participants; over 25,000 visits to the UK Art Museum; over 57,000 patrons of the Singletary Center and Fine Arts theatres; and over 30,000 Kentucky students attending the Schmidt Opera Outreach Program performances or other events.

Cooperative Extension contacts totaled 7.7 million in 2010, as a result of activities such as:

- 235,657 youth involved in 4-H Youth Development programs
- 18,474 farmers adopted at least one new practice taught in Extension programs, documenting over \$33 million in additional income - \$12 million over the previous year
- 103,541 Kentuckians made lifestyle changes through health and wellness initiatives.
- Extension collaborated with numerous local public libraries, family resource centers, childcare centers, Head Start, public schools, and places of worship to engage 19,895 youth in 85 counties in the Literacy Eating & Activity for Pre-school Program (LEAP).

- 175,561 Kentuckians demonstrated a positive increase in practical living skills.

Activity on Kentucky Geological Survey Web Services totaled 3.4 million.

The Partnership Institute for Mathematics and Science Education Reform (PIMSER) administered over 30 large scale projects throughout the state with over \$2.7 million in continuing 2010-2011 external grant awards.

The University is the lead institution of a 10-university and community college Louis-Stokes Alliance for Minority Participation project in rural Kentucky and West Virginia. The goal is to increase the number of minority and underrepresented students awarded bachelor degrees in STEM. Over 50% of the targeted goal has been met in the five-year National Science Foundation supported program.

Competitive grants to support outreach and engagement included:

- the Gatton College of Business and Economics Center for Poverty Research received a five-year \$5.5 million grant from the U.S. Department of Agriculture for a *Research Program in Childhood Hunger*;
- the College of Health Sciences received a \$1 million grant from the National Institutes of Health for *Enhancing the Capacity of the Kentucky Appalachian Rural Rehabilitation Network*; and
- the College of Social work received a \$975,000 grant from the Department of Homeland Security for *Best Practice Guidelines for Pandemic Disaster Response: A Social Behavioral Evaluation*.

2009-14 STRATEGIC PLAN AND METRICS

Goal 5: Improve the Quality of Life of Kentuckians through Engagement, Outreach, and Service.

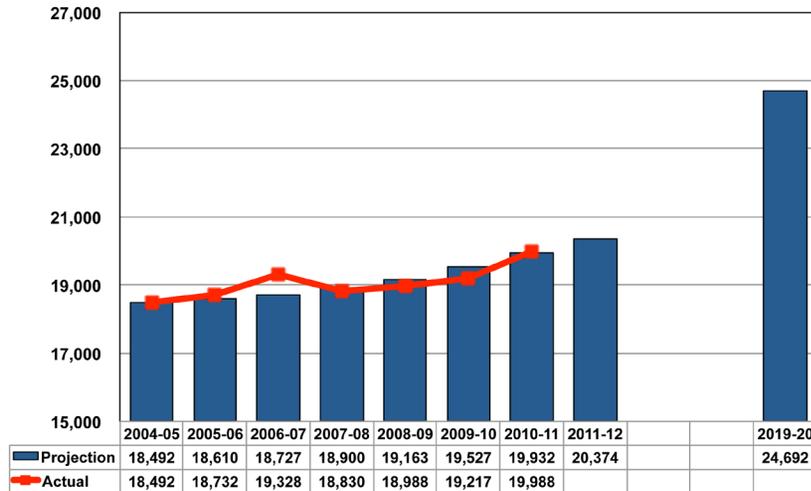
			Measures of Progress				
Metrics	Goal	Baseline	Year 1	Year 2	Year 3	Year 4	Year 5
5-1 Increase the number of faculty and staff reporting outreach and engagement activities on the Engagement Measurement Instrument (EMI) to 1,000.	1,000	674	666	942			
5-2 Increase outreach, service, and engagement (OSE) contacts made with individuals in providing University knowledge and expertise to improve lives and benefit communities.	Show Increase	--	11.8 million	14.1 million (as of May 23, 2011)			
5-3 Achieve at least five national, regional, or disciplinary competitive grants or recognitions for engagement and outreach.	5	--	5	8 (2-yr total)			



TOP 20 BUSINESS PLAN GROWTH TARGETS

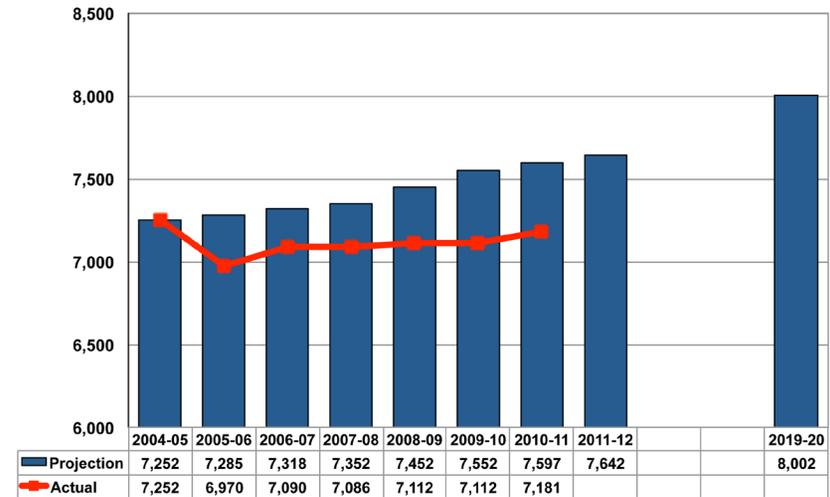
The original Top 20 Business Plan, approved by the Board of Trustees in December 2005, included targets for year-to-year growth in undergraduate and graduate enrollment, number of full-time faculty, and research volume.

Undergraduate Enrollment



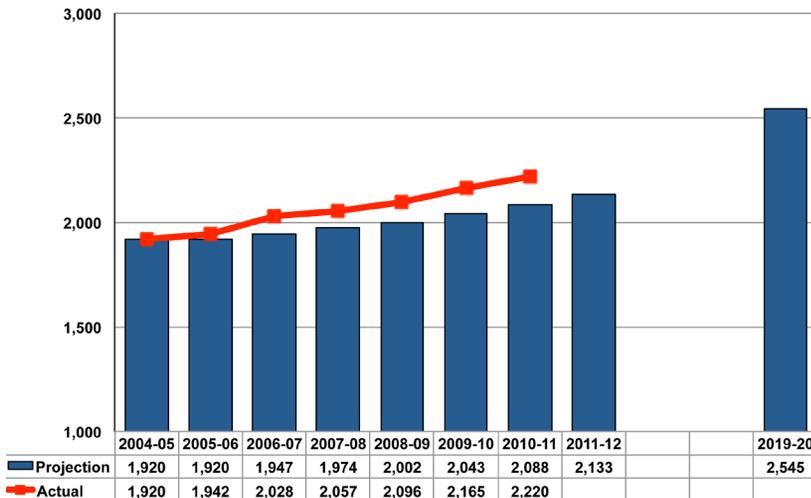
Source: IPEDS Fall Enrollment Survey

Graduate/Professional Enrollment



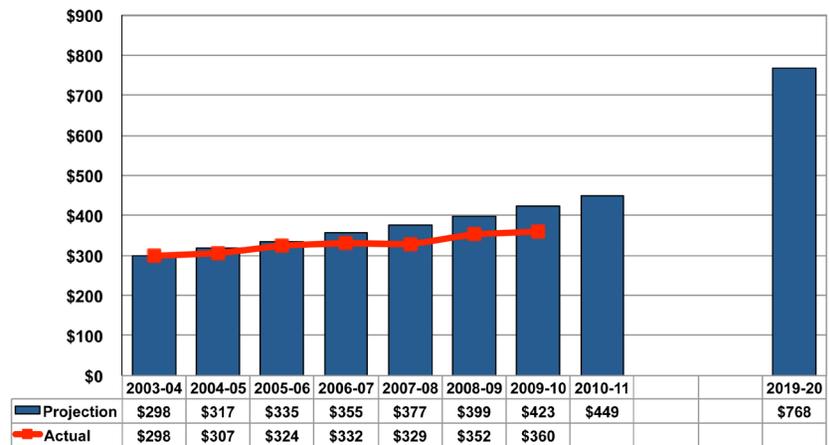
Source: IPEDS Fall Enrollment Survey

Full-Time Faculty



Source: IPEDS Human Resources Survey

Research Expenditures (in millions)



Note: Beginning in FY 2009-10, the Higher Education Research and Development survey began including all fields of R&D activities and expenditures. In prior years, the survey included only science and engineering.

Source: NSF Higher Education Research and Development Survey

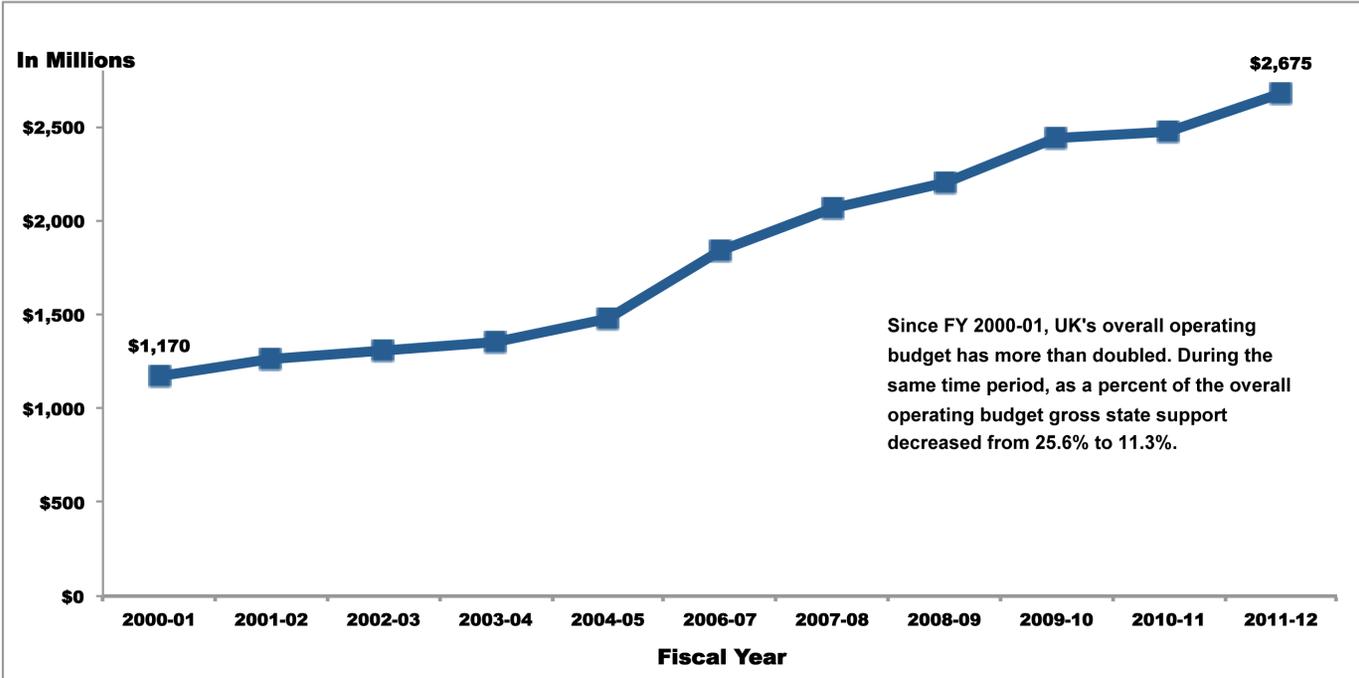
BUDGET AT A GLANCE

Summary

As the impact of the Great Recession continues, the University once again faces reduced state support and increasing costs. Even with these challenges, the recommended budget reflects the University’s commitment to the state’s mandate of becoming a Top 20 public research university. Unavoidable cost increases and critical investments for the University’s students and Kentucky’s people will be funded with new revenues and reallocation of existing resources. We will likely serve more students than ever before – significantly more than the number of students budgeted for in 2010-11. Being mindful of our responsibility for thoughtful stewardship, the recommended budget is balanced; the proposed expense budget equals projected revenues and fund balances.

The University’s recommended Fiscal Year 2011-12 consolidated operating budget totals \$2.7 billion, an increase of \$174.9 million or seven percent compared to the 2010-11 revised budget. The primary drivers of the increase are patient care revenue (\$107.7 million) and fund balances for capital projects (\$29.8 million) – revenues that are not used to support the operating costs of the University’s core instructional and engagement missions. So, the seven percent increase can be misleading because it does not reflect the painful budget reallocations implemented by various colleges, departments, and administrative units. UK Healthcare is expected to reach a significant milestone in FY 2011-12. With the opening of the new patient care facility, hospital revenues are expected to exceed \$1 billion dollars for the first time.

Original Total Operating Budget



BUDGET AT A GLANCE

Accountability

As Kentucky’s flagship institution and a public, research-extensive, land grant university, the University of Kentucky is a highly complex enterprise – the equivalent of one of Kentucky’s largest cities. As a non-profit entity, the University’s financial system is designed to manage resources by type and source of funds. The University processes almost one hundred million transactions annually involving tuition and fees; federal and state grants and contracts; hospital and clinical services including Medicare and Medicaid reimbursements; athletics; philanthropy; housing and dining; and payroll. The University tracks these transactions using over 20,000 separate cost centers, over 14,000 grant accounts and 2,000 endowment funds.

The University manages its financial resources using fund accounting – i.e. segregating accounts based upon the source and use of funds. Most of the decision-making for the use of funds is made at the local level. Each faculty member is accountable for the responsible use of his or her grant funding and each department ensures that gift funds and distributions from endowments are used in accordance with the donor’s restrictions. Each college and unit is to instruct, engage, discover, and serve within the confines of available funds.

The University follows generally accepted accounting principles including:

- Accrual accounting which recognizes revenues when earned and expenses when incurred (regardless of when cash is exchanged).
- Investments are carried at fair market value. Unrealized gains and losses are reported as investment income in the appropriate fund. The amount of investment income that can be spent in accordance with the University’s endowment investment policy is transferred from the endowment fund to the current fund.
- Capital assets are stated at cost and depreciated on a straight-line basis over the estimated useful life (buildings are depreciated over 40 years; equipment over five to 20 years). The outlay of funds for capital assets is shown in the current funds group and transferred to the plant fund. Depreciation expense is recorded in the plant fund.

	Operating Budget	Interim Financial Statements	Annual Financial Report
Purpose of Document	Manage resources to achieve goals	Compare actual to budget	Accountability Report actual results
Accrual Accounting	Modified	Modified	Yes
Fund Groups			
• Current	Current Fund only	Combined and Current Fund provided separately	Combined
• Loan			
• Endowment			
• Plant			
Statements			
• Net Assets	x	✓	x
• Revenues, Expenses, and Change in Net Assets	✓	✓	✓
• Cash Flows	✓	x	x

The consolidated budget, or financial plan, is established prior to the beginning of each fiscal year and often amended during the year as plans change and unanticipated events occur. Financial activity is reported quarterly using interim financial statements. Annual financial statements are audited by an independent accounting firm.

The budget, or financial plan, reflects the estimated current funds (revenues and expenses available for current operations) and transfers to and from other fund groups. It does not include transactions accounted for in the non-current fund groups (i.e., plant, endowment, loan), although it does reflect the revenue and expenses related to endowment spending distributions.

The budget encompasses the current operations of all University departments; colleges; healthcare and administrative units; athletics; and other affiliated corporations and foundations. The University’s audited financial statements are more comprehensive and include all assets, liabilities, revenues, and expenses of every fund group and other related entities.

BUDGET AT A GLANCE

What's Included?	Annual Operating Budget	Interim Financial Statements	Annual Financial Report
UK and UK HealthCare Operations	✓	✓	✓
<u>Affiliated Corporations</u>			
UK Research Foundation (UKRF)	✓	✓	✓
<i>For-Profit Subsidiaries:</i>			
Kentucky Technology, Inc. (KTI)	-	-	✓
Coldstream Laboratories, Inc. (CLI)	-	-	✓
UK Athletic Association (UKAA)	✓	✓	✓
The Fund for Advancement of Education and Research in the UK Medical Center (The Fund)	✓	✓	✓
Gluck Equine Research	✓	✓	✓
Mining Engineering	✓	✓	✓
Humanities	✓	✓	✓
Center on Aging	✓	✓	✓
Central Kentucky Medical Services (CKMS)	✓	✓	✓
<u>Non-Affiliated Corporation</u>			
Kentucky Medical Services Foundation (KMSF)	-	-	✓

BUDGET AT A GLANCE

Fiscal Year 2011-12 Consolidated Budget

Where does the money come from and what does it pay for?

- **General Funds** – comprise 72.6 percent of the budget. For management purposes, these funds are further classified as either undesignated or designated.

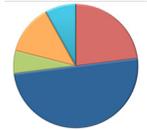
- o Undesignated General Funds total 22.9 percent of the budget and are the primary source of funds for the instructional and engagement missions of the University. These funds include state support for operations, tuition revenue, service assessments and investment income.

- o Designated General Funds total 49.8 percent of the University’s budget. These funds come to the University as unrestricted but are directed to particular schools and departments for specific purposes. Designated General Funds include such revenues as student fees; hospital and clinical services; and county appropriations.

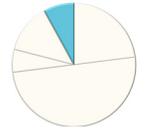
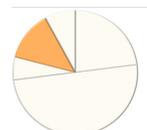
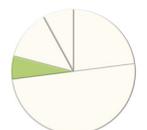
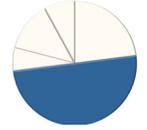
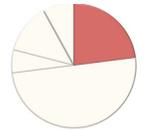
- **Auxiliary Funds** – are generated by enterprises that are generally self-supporting through charging fees to students and others external to the institution. These entities usually pay the University for central services such as purchasing, payroll, and administrative oversight. Auxiliary enterprises generate about 6.3 percent of the University’s revenues and include, but are not limited to, Housing, Dining, Parking, and the Athletic Association.

- **Restricted Funds** – account for 12.8 percent of the University’s budget and have externally established limitations or stipulations on their use. Restricted Funds include federal and state grants and contracts; endowment income; federal and state financial aid; and restricted gifts.

Where does the money come from and what does it pay for?
(2011-12 Operating Budget - \$2.7 billion)



Funds	Source of Funds	Use of Funds
Undesignated Recurring General Funds for E&G 23%	State appropriations Tuition Investment income Service assessments	Teaching Public service Administrative support Student Financial Aid
Designated General Funds 50%	UK HealthCare County Appropriations Mandatory fees Course and program fees	Public service Instruction
Auxiliary Funds 6%	UK Athletics Housing Dining Parking	Self-supporting auxiliary units pay all their expenses and receive no taxpayer or tuition support
Restricted Funds 13%	Research grants & contracts Endowment income Federal and state student aid Restricted gifts	Research Student Financial Aid Public service
Fund Balance 8%	Savings from prior years	Capital projects Faculty start-up packages Pilot programs



- **Fund Balances** – Fund balances are the accumulation of excess revenues and expenses from prior years and are considered non-recurring (i.e. one-time). For 2011-12, the budget recommendation includes appropriated fund balances of \$221.8 million (8.3 percent) of the total budget. These fund balances include General Funds and Restricted Funds.

BUDGET AT A GLANCE

Fiscal Year 2011-12 Budget

The recommended FY 2011-12 budget totals \$2,674,768,400, as shown below.

University of Kentucky Current Funds Revenue Budget

	2010-11	2011-12	Change
Recurring:			
Undesignated General Funds	\$586,602,500	\$611,793,200	\$25,190,700
Designated General Funds	1,211,359,000	1,330,786,800	119,427,800
Auxiliary Funds	155,918,100	167,314,600	11,396,500
Restricted Funds	353,932,700	343,112,800	(10,819,900)
Non-recurring:			
Fund Balances	192,009,700	221,761,000	29,751,300
Total	\$2,499,822,000	\$2,674,768,400	\$174,946,400

Undesignated General Funds

Even though the University's instructional, research and engagement activities are supported with a mix of undesignated and designated funds, most financial decisions are based on the availability of the *Undesignated General Funds*. For example, while these funds account for only 22.9 percent of the budget, decisions regarding faculty and staff salary increases are based on the cost to *Undesignated General Funds* and the decisions are generally applied University-wide regardless of fund source, including to affiliated corporations. Of course, given the complex nature of the institution, there are exceptions. For example, UK HealthCare has a separate compensation system due to its local market position. Following are the major changes in the *Undesignated General Funds* revenues and expenses for FY 2011-12.

Revenues. *Undesignated General Funds* revenues are projected to increase a net \$25.2 million (4.3 percent) and include a reduction in state support, increase in tuition revenue, and other minor adjustments.

State Support. The 2010 General Assembly passed the 2010-12 biennial budget, House Bill 1 (HB 1), on May 28, 2010 during an Extraordinary Session. HB 1 reflects a 1.0 percent reduction in state support in FY 2011-12. The Commonwealth of Kentucky relied on federal stimulus funds to lessen the impact of the poor economy for 2009-10 and 2010-11. The University's 2010-11 state support was a combination of \$289.3 million in state appropriations and \$17.2 million in State Fiscal Stabilization Funds (SFSF). The federal government has not appropriated any SFSF beyond 2010-11. HB 1 restores the majority of the SFSF with state appropriations (1.0 percent reduction enacted). State support will constitute 11.3 percent of the University's 2011-12 consolidated budget.

BUDGET AT A GLANCE

Tuition Revenue. Pursuant to Kentucky Council on Postsecondary Education's parameters, the University's Board of Trustees approved a six percent increase in tuition and mandatory fee rates effective Fall 2011. The rate increase and estimated enrollment growth is projected to generate additional tuition revenue totaling \$27.9 million.

Teaching Innovation Incentive Funding Program. Increased enrollment is predicated upon the new Teaching Innovation Incentive Funding program (TIIF) which is being implemented in 2011-12. Colleges will receive an allocation of tuition revenue to implement innovative curricula, improve instructional efficiency or effectiveness, and generate additional revenue. The funding provided will be based on overall increases in undergraduate and graduate student credit hour (SCH) production at the college level. The student credit hours included will be those generated in the Fall, Winter, and Spring semesters. Professional programs are excluded from this incentive. Under the TIIF program, colleges will receive \$120 for each incremental SCH generated over the 2009-10 base year (Fall 2009, Winter 2009, and Spring 2010). Participating colleges may request startup funding in 2011-12 to develop a group of new courses or curriculum and receive a nonrecurring allocation up to 75% of their projected TIIF return in advance. The first recurring transfer of the new incentive funds will be processed in the Fall 2012 based on actual SCH production during 2011-12 compared to 2009-10 (two years of change in SCH production). Over \$8 million of increased tuition revenue, net of scholarships, is expected to be generated as a result of TIIF with approximately half of the amount returned directly to the colleges and the remaining amount to be used to cover fixed costs, salary increases, and other investments.

Expenses. Fixed costs typically paid for with *Undesignated General Funds* are projected to increase \$14.2 million. These costs include faculty promotions; faculty and staff benefits; summer pay for faculty; student financial aid; prior commitments including the reform of the General Education curriculum; utilities; and maintenance and operating costs for new facilities coming online.

In addition to fixed costs, the 2011-12 Undesignated General Funds budget includes critical investments in students, faculty, staff, and facilities. These investments total over \$18.8 million and include the new incentive funding program; a modest increase in capital renewal funds; expanding the employee education program to post-doctoral fellows; and a salary increase for eligible faculty and staff.

Faculty and staff have been very loyal and supportive during the fiscal crisis as the University has not been able to award salary increases for three consecutive years. The proposed budget includes a three percent merit salary increase pool for eligible faculty and staff at an estimated cost of \$11.2 million to the Undesignated General Fund.

Funding the Gap. The projected \$25.2 million in additional revenue will not cover the projected \$33 million in increased fixed costs and strategic investments. As shown below, 2011-12 will be the fourth consecutive year colleges and departments have been required to reallocate funds to cover the increased expenses.

BUDGET AT A GLANCE

University of Kentucky Changes in Undesignated General Funds

	State Support (Excluding Earmarks)	Fixed Costs and Investments	Student Financial Aid	Tuition Revenue	Other Revenue	Total Shortfall
FY 2007-08	\$ 19,595,700	\$ (46,767,400)	\$ (6,245,600)	\$ 30,220,300	\$ 3,197,000	\$ -
FY 2008-09	\$ (19,817,500)	\$ (8,014,489)	\$ (200,000)	\$ 7,863,000	\$ 1,276,300	\$ (18,892,689)
FY 2009-10	\$ (6,365,400)	\$ (14,278,543)	\$ (1,500,000)	\$ 17,165,000	\$ (2,359,848)	\$ (7,338,791)
FY 2010-11	\$ (4,395,700)	\$ (12,630,800)	\$ (2,851,000)	\$ 14,471,800	\$ (1,589,300)	\$ (6,995,000)
FY 2011-12	\$ (3,129,800)	\$ (28,104,000)	\$ (4,847,700)	\$ 27,878,800	\$ 441,800	\$ (7,760,900)

In anticipation of the necessary reallocation, deans and administrators have been aligning their budgets accordingly for at least the past six months even though the exact amount was unknown. The \$7.7 million short-fall for 2011-12 has been covered by reducing budgets for operating expenses such as supplies and travel, eliminating vacant positions, and in a few instances eliminating filled positions.

Consolidated 2011-12 Operating Budget

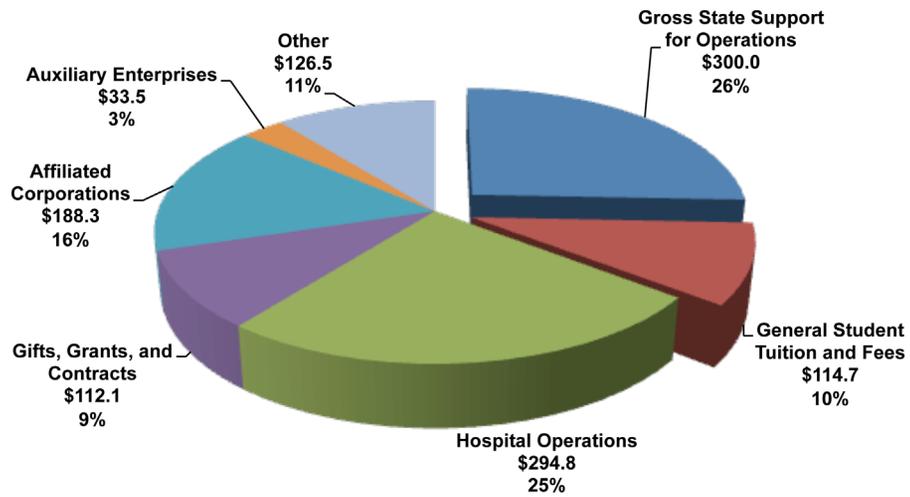
Summaries of the University's consolidated revenue and expense budget are presented in the following pages. The Revenue and Expense Summaries include detail revenue projections and estimated expenses by major object (personnel, operating expenses, capital outlay, and mandatory transfers) and by function. The recommended budget also is presented in the standard financial statement format prescribed by the Governmental Accounting Standards Board.

Future

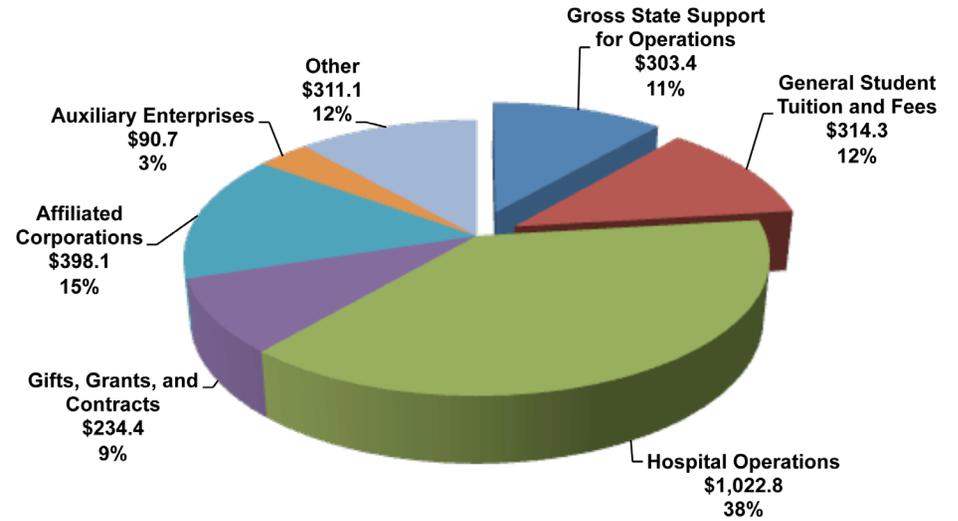
Many uncertainties continue to exist including the level and consistency of state support; the timing of the recovery of the national and the state economies; future increases in tuition and fees; and the changing fiscal landscape for higher education and research institutions in particular. The old business model of expecting traditional revenue streams to increase at a rate of at least two times inflation will not work in the future. Colleges and universities are searching for more efficient and effective modes of instruction, discovery, and public service.

TOTAL OPERATING BUDGET BY REVENUE COMPONENT

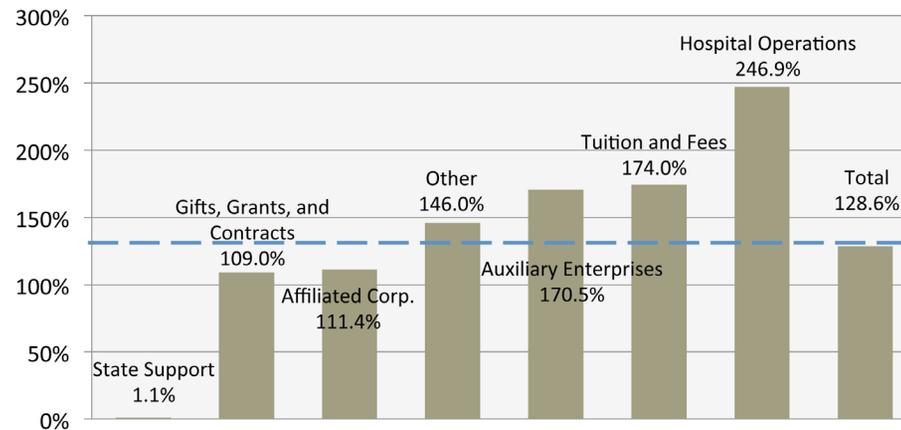
FY 2000-01 (in millions)



FY 2011-12 (in millions)

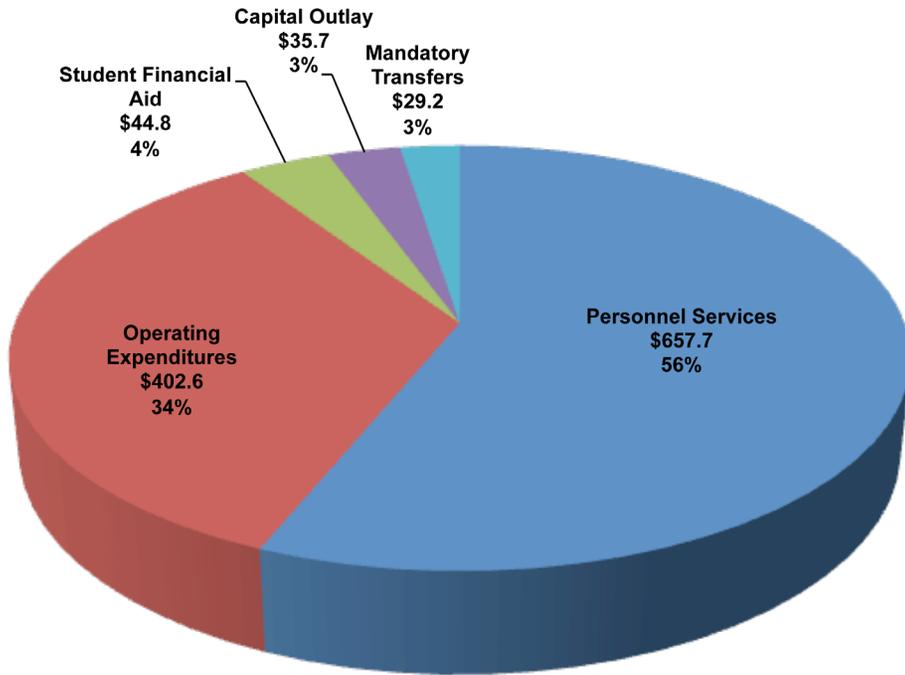


**Percentage Change in Revenue
FY 2000-01 to FY 2011-12**

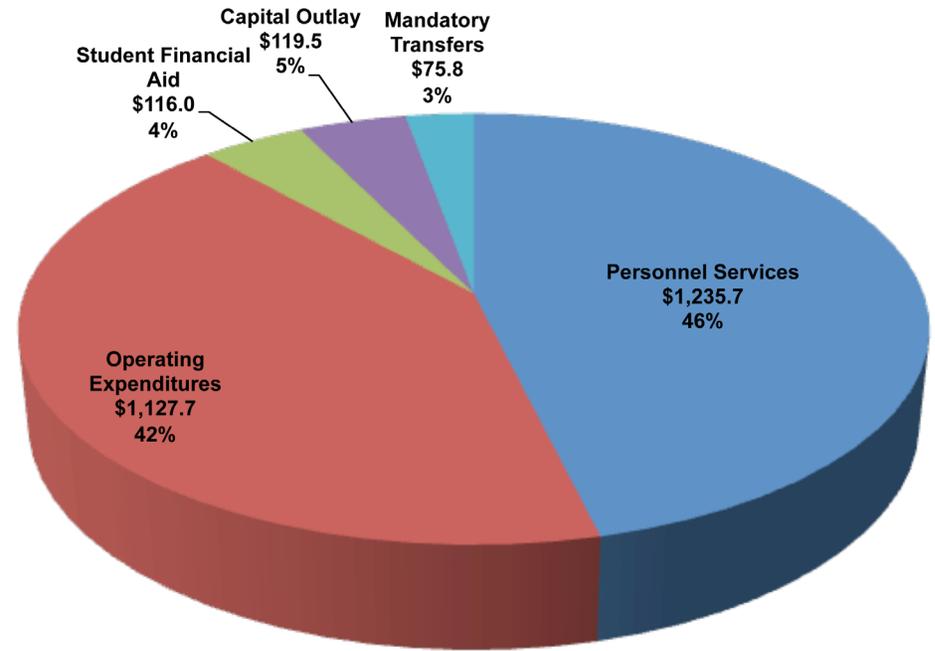


TOTAL OPERATING BUDGET BY EXPENSE COMPONENT

FY 2000-01 (in millions)



FY 2011-12 (in millions)



**FY 2011-12 OPERATING BUDGET
REVENUES BY FUND SOURCE**

	FY 2010-11	FY 2011-12	Change	Percent Change
UNDESIGNATED RECURRING GENERAL FUNDS FOR EDUCATIONAL AND GENERAL ACTIVITIES				
State Appropriation - Operating	\$289,294,100	\$303,387,900	\$14,093,800	4.9%
Federal Funds - State Fiscal Stabilization Fund	17,223,600	-	(17,223,600)	-100.0%
Student Tuition	257,177,200	284,812,200	27,635,000	10.7%
Other	22,907,600	23,593,100	685,500	3.0%
TOTAL UNDESIGNATED GENERAL FUNDS	\$586,602,500	\$611,793,200	\$25,190,700	4.3%
DESIGNATED GENERAL FUNDS				
UK HealthCare - Hospital Operations (Chandler/Good Samaritan)	\$915,106,900	\$1,022,759,200	\$107,652,300	11.8%
Gifts, Grants and Contracts	156,387,600	173,025,700	16,638,100	10.6%
UK Research Foundation - Indirect Cost Recoveries	44,000,000	43,000,000	(1,000,000)	-2.3%
Fees (noncredit, mandatory, program, course)	32,192,300	29,500,300	(2,692,000)	-8.4%
County Appropriations	17,828,300	18,380,800	552,500	3.1%
Other	45,843,900	44,120,800	(1,723,100)	-3.8%
TOTAL DESIGNATED GENERAL FUNDS	\$1,211,359,000	\$1,330,786,800	\$119,427,800	9.9%
AUXILIARY FUNDS				
Auxiliary Enterprises (UK Athletics, Housing, Dining, Parking)	\$135,196,500	\$142,472,800	\$7,276,300	5.4%
Fees (mandatory)	14,435,600	15,264,200	828,600	5.7%
Other	6,286,000	9,577,600	3,291,600	52.4%
TOTAL AUXILIARY FUNDS	\$155,918,100	\$167,314,600	\$11,396,500	7.3%
RESTRICTED FUNDS				
Federal and State Gifts, Grants and Contracts	\$334,625,800	\$309,084,000	(\$25,541,800)	-7.6%
Endowment and Investment	23,115,800	20,917,100	(2,198,700)	-9.5%
Federal Appropriations	17,722,900	17,722,900	-	0.0%
Other	(21,531,800)	(4,611,200)	16,920,600	-78.6%
TOTAL RESTRICTED FUNDS	\$353,932,700	\$343,112,800	(\$10,819,900)	-3.1%
FUND BALANCES - UNIVERSITY (General/Restricted Funds Only)	\$192,009,700	\$221,761,000	\$29,751,300	15.5%
TOTAL BUDGET	\$2,499,822,000	\$2,674,768,400	\$174,946,400	7.0%

**FY 2011-12 OPERATING BUDGET
EXPENSES BY FUND SOURCE**

	<u>FY 2010-11</u>	<u>FY 2011-12</u>	<u>Change</u>	<u>Percent Change</u>
UNDESIGNATED AND DESIGNATED GENERAL FUNDS				
Salaries, Wages and Benefits	\$947,713,100	\$1,029,953,400	\$82,240,300	8.7%
Operating Expenses	804,411,400	852,284,500	47,873,100	6.0%
Student Financial Aid	61,028,900	66,276,900	5,248,000	8.6%
Mandatory Transfers	58,395,400	62,293,400	3,898,000	6.7%
Capital Outlay	47,791,300	83,761,500	35,970,200	75.3%
	<u>\$1,919,340,100</u>	<u>\$2,094,569,700</u>	<u>\$175,229,600</u>	<u>9.1%</u>
AUXILIARY FUNDS				
Salaries, Wages and Benefits	\$53,627,500	\$57,726,700	\$4,099,200	7.6%
Operating Expenses	82,560,100	82,912,700	352,600	0.4%
Student Financial Aid	-	-	-	0.0%
Mandatory Transfers	13,369,100	13,504,100	135,000	1.0%
Capital Outlay	6,361,400	13,171,100	6,809,700	107.0%
	<u>\$155,918,100</u>	<u>\$167,314,600</u>	<u>\$11,396,500</u>	<u>7.3%</u>
RESTRICTED FUNDS				
Salaries, Wages and Benefits	\$154,709,700	\$148,056,000	(\$6,653,700)	-4.3%
Operating Expenses	203,939,400	192,514,100	(11,425,300)	-5.6%
Student Financial Aid	45,274,200	49,714,500	4,440,300	9.8%
Mandatory Transfers	-	-	-	0.0%
Capital Outlay	20,640,500	22,599,500	1,959,000	9.5%
	<u>\$424,563,800</u>	<u>\$412,884,100</u>	<u>(\$11,679,700)</u>	<u>-2.8%</u>
TOTAL BUDGET				
Salaries, Wages and Benefits	\$1,156,050,300	\$1,235,736,100	\$79,685,800	6.9%
Operating Expenses	1,090,910,900	1,127,711,300	36,800,400	3.4%
Student Financial Aid	106,303,100	115,991,400	9,688,300	9.1%
Mandatory Transfers	71,764,500	75,797,500	4,033,000	5.6%
Capital Outlay	74,793,200	119,532,100	44,738,900	59.8%
	<u>\$2,499,822,000</u>	<u>\$2,674,768,400</u>	<u>\$174,946,400</u>	<u>7.0%</u>

CAPITAL PROJECTS UNDERWAY

With an Approved Scope of at Least \$15 Million

Construct Patient Care Facility

Legislative Authorization: \$700,000,000

UK Board of Trustees Approved Scope: \$575,600,000

- The Patient Care Facility project opened to inpatients and visitors on May 22, 2011.
- The Emergency Department opened as scheduled for patient care on July 14, 2010.
- Work is progressing on the second phase of the project to include eight operating rooms, a hybrid suite, and related Post Anesthesia Care Unit/recovery space; the second phase should be ready in December 2011.
- Work also has begun on the Data Center project which will be ready for operation in 2012.



Construct Center for Applied Energy Research Laboratory Building #2

Legislative Authorization: \$19,776,913

UK Board of Trustees Approved Scope: \$19,776,913

- The new 43,156 gross square foot facility is being constructed adjacent to the original Center for Applied Energy Research Building at the Spindletop Research site. The research programs to be located in the new facility will include process development and prototype manufacturing and testing to support applied research on batteries, capacitors, and solar energy materials and biofuels. The Kentucky Biofuels Laboratory also will be located within the new facility.
- Construction began March 2010 with occupancy scheduled for December 2011.



CAPITAL PROJECTS UNDERWAY

With an Approved Scope of at Least \$15 Million

Fit-up 4th and 5th Floors of the New Pharmacy Building

Legislative Authorization: \$33,500,000

UK Board of Trustees Approved Scope: \$28,000,000

- This project, funded in part by the Commonwealth of Kentucky's Research Capital Match Program, will fit-up the shelled 4th and 5th floors of the new Pharmacy Building as wet bench research labs and research support space to accommodate rapidly expanding programs in cancer, cancer therapeutics, and pharmaceutical discovery.
- The project was initiated in April 2010 with completion expected in November 2011.

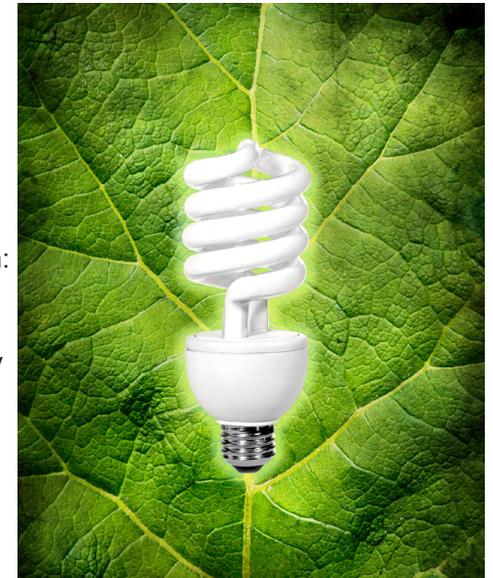


Guaranteed Energy Savings Performance Contract

Legislative Authorization: \$25,000,000

UK Board of Trustees Approved Scope: \$25,000,000

- In an ongoing effort to save energy, reduce costs, and create a more sustainable campus, the University has entered into an energy savings performance contract with AMERESCO, an energy service company based in Louisville, Kentucky.
- This project includes energy conservation measures that will reduce the University's overall energy consumption: upgrades to lighting systems to the latest electric saving technology; fume hood controls in Chemistry/Physics' HVAC systems; energy management software to monitor usage in real time; automatic utility metering devices; replacement of old plumbing fixtures with the latest water saving technology; and programs to encourage energy conservation tailored for campus and developed, implemented, and measured to maximize effectiveness and initiate a culture of energy efficiency.
- The project was initiated in December 2009 with completion expected January 2012.



CAPITAL PROJECTS UNDERWAY

With an Approved Scope of at Least \$15 Million

Lease-Purchase Ambulatory Electronic Health Records Equipment/System

Legislative Authorization: \$51,767,000

UK Board of Trustees Approved Scope: \$51,767,000

- This project was initiated in response to the federal Patient Protection and Affordable Care (PPAC) Act and the Health Information Technology for Economic and Clinical Health (HITECH) Act which mandate that every American realize the benefits of an electronic health records system by 2014.
- The PPAC Act included financial incentives for eligible hospitals and physicians to demonstrate meaningful use of an Electronic Health Record System.
- The project was initiated in February 2011 and is expected to be completed in December 2013.



Upgrade Student Center Infrastructure

Legislative Authorization: \$23,000,000

UK Board of Trustees Approved Scope: \$23,000,000

- This project will repair, upgrade, and improve existing building infrastructure systems such as plumbing and sanitary; mechanical; electrical and lighting; fire suppression/sprinkling; communications; and HVAC infrastructures in the Student Center. As these necessary infrastructure needs are addressed, aesthetic upgrades will be made as a result of and in support of the infrastructure upgrades.
- The project was initiated in February 2011 with completion expected in January 2012.



Current Funds Revenues, Appropriated Fund Balances, and Transfers

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
REVENUES								
State Appropriations								
Operating	\$289,294,100	\$0	\$0	\$289,294,100	\$303,387,900	\$0	\$0	\$303,387,900
Debt Service	1,370,600	0	0	1,370,600	0	0	0	0
Total State Appropriations	\$290,664,700	\$0	\$0	\$290,664,700	\$303,387,900	\$0	\$0	\$303,387,900
Student Tuition and Fees								
Tuition								
Fall, Spring, and Winter	\$247,113,700	\$0	\$0	\$247,113,700	\$272,210,800	\$0	\$0	\$272,210,800
Summer	10,063,500	0	0	10,063,500	12,601,400	0	0	12,601,400
Fees								
Noncredit	11,174,300	0	0	11,174,300	6,093,400	0	0	6,093,400
Mandatory Registration Fees	7,547,300	0	0	7,547,300	8,102,300	0	0	8,102,300
Other	13,470,700	0	0	13,470,700	15,304,600	0	0	15,304,600
Total Student Tuition and Fees	\$289,369,500	\$0	\$0	\$289,369,500	\$314,312,500	\$0	\$0	\$314,312,500
County Appropriations	\$17,828,300	\$0	\$0	\$17,828,300	\$18,380,800	\$0	\$0	\$18,380,800
Endowment and Investment Income	\$3,403,200	\$0	\$23,115,800	\$26,519,000	\$3,610,800	\$0	\$20,917,100	\$24,527,900
Federal Appropriations								
Agricultural Cooperative Extension Service	\$0	\$0	\$11,430,100	\$11,430,100	\$0	\$0	\$11,430,100	\$11,430,100
Agricultural Experiment Station	0	0	6,292,800	6,292,800	0	0	6,292,800	6,292,800
Total Federal Appropriations	\$0	\$0	\$17,722,900	\$17,722,900	\$0	\$0	\$17,722,900	\$17,722,900

Current Funds Revenues, Appropriated Fund Balances, and Transfers

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
REVENUES (Cont.)								
Gifts, Grants, and Contracts								
Federal Funds - State Fiscal								
Stabilization Funds*	\$0	\$0	\$17,223,600	\$17,223,600	\$0	\$0	\$0	\$0
Federal Grants and Contracts	212,000	0	18,375,000	18,587,000	212,000	0	21,118,000	21,330,000
Gifts and Other Grants and Contracts	2,203,000	0	32,140,000	34,343,000	2,280,900	0	34,775,500	37,056,400
Non-Governmental Grants and Contracts	135,576,100	0	0	135,576,100	152,005,300	0	0	152,005,300
State and Local Grants and Contracts	18,396,500	0	5,098,400	23,494,900	18,527,500	0	5,484,100	24,011,600
Total Gifts, Grants, and Contracts	\$156,387,600	\$0	\$72,837,000	\$229,224,600	\$173,025,700	\$0	\$61,377,600	\$234,403,300
Sales and Services								
Agricultural Farm Sales	\$1,231,100	\$0	\$0	\$1,231,100	\$1,282,000	\$0	\$0	\$1,282,000
Agricultural Public and Regulatory Services	2,990,000	0	0	2,990,000	2,966,000	0	0	2,966,000
Departmental Sales and Services	16,228,400	0	358,500	16,586,900	16,346,500	0	274,000	16,620,500
Total Sales and Services	\$20,449,500	\$0	\$358,500	\$20,808,000	\$20,594,500	\$0	\$274,000	\$20,868,500
Hospital Services	\$915,106,900	\$0	\$2,677,800	\$917,784,700	\$1,022,759,200	\$0	\$4,945,500	\$1,027,704,700
Auxiliary Enterprises								
Dining	\$0	\$16,818,000	\$0	\$16,818,000	\$0	\$19,449,800	\$0	\$19,449,800
Housing	0	28,059,400	0	28,059,400	0	30,174,500	0	30,174,500
Parking	0	11,170,000	0	11,170,000	0	11,170,000	0	11,170,000
Mandatory Registration and Other Fees	0	14,435,600	0	14,435,600	0	15,264,200	0	15,264,200
Other	0	7,467,900	0	7,467,900	0	8,339,600	0	8,339,600
Appropriated Fund Balances	0	7,276,200	0	7,276,200	0	6,348,900	0	6,348,900
Total Auxiliary Enterprises	\$0	\$85,227,100	\$0	\$85,227,100	\$0	\$90,747,000	\$0	\$90,747,000

Note:* State Fiscal Stabilization Funds will expire June 30, 2011.

Current Funds Revenues, Appropriated Fund Balances, and Transfers

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
REVENUES (Cont.)								
UK Affiliated Corporations								
Central Kentucky Management Services, Inc.	\$7,007,800	\$0	\$0	\$7,007,800	\$7,196,100	\$0	\$0	\$7,196,100
The Fund for Advancement of Education and Research in the University of Kentucky Medical Center	17,411,200	0	4,404,100	21,815,300	17,947,500	0	5,676,200	23,623,700
UK Athletic Association	0	64,405,000	0	64,405,000	0	66,990,000	0	66,990,000
UK Center on Aging Foundation, Inc.	0	0	200,700	200,700	0	0	200,500	200,500
UK Equine Research Foundation, Inc.	0	0	1,133,700	1,133,700	0	0	704,200	704,200
UK Humanities Foundation, Inc.	0	0	109,500	109,500	0	0	84,600	84,600
UK Mining Engineering Foundation, Inc.	0	0	80,000	80,000	0	0	69,400	69,400
UK Research Foundation - Recoveries of Facilities and Administrative Costs	44,000,000	0	0	44,000,000	43,000,000	0	0	43,000,000
UK Research Foundation - Other	6,618,000	0	261,788,800	268,406,800	8,483,000	0	247,706,400	256,189,400
Total UK Affiliated Corporations	\$75,037,000	\$64,405,000	\$267,716,800	\$407,158,800	\$76,626,600	\$66,990,000	\$254,441,300	\$398,057,900
TOTAL REVENUES	\$1,768,246,700	\$149,632,100	\$384,428,800	\$2,302,307,600	\$1,932,698,000	\$157,737,000	\$359,678,400	\$2,450,113,400
APPROPRIATED FUND BALANCES - UNIVERSITY	\$121,378,600	\$0	\$70,631,100	\$192,009,700	\$151,989,700	\$0	\$69,771,300	\$221,761,000
TRANSFERS	\$29,714,800	\$6,286,000	(\$30,496,100)	\$5,504,700	\$9,882,000	\$9,577,600	(\$16,565,600)	\$2,894,000
TOTAL CURRENT FUNDS REVENUES, APPROPRIATED FUND BALANCES, AND TRANSFERS	\$1,919,340,100	\$155,918,100	\$424,563,800	\$2,499,822,000	\$2,094,569,700	\$167,314,600	\$412,884,100	\$2,674,768,400

Current Funds Expenditures by Major Object

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
MAJOR OBJECT								
Personnel Services	\$947,713,100	\$53,627,500	\$154,709,700	\$1,156,050,300	\$1,029,953,400	\$57,726,700	\$148,056,000	\$1,235,736,100
Operating Expenses	865,440,300	82,560,100	249,213,600	1,197,214,000	918,561,400	82,912,700	242,228,600	1,243,702,700
Capital Outlay	47,791,300	6,361,400	20,640,500	74,793,200	83,761,500	13,171,100	22,599,500	119,532,100
Mandatory Transfers	58,395,400	13,369,100	0	71,764,500	62,293,400	13,504,100	0	75,797,500
TOTAL CURRENT FUNDS								
EXPENDITURES BY MAJOR OBJECT	\$1,919,340,100	\$155,918,100	\$424,563,800	\$2,499,822,000	\$2,094,569,700	\$167,314,600	\$412,884,100	\$2,674,768,400

Current Funds Expenditures by Function

FUNCTION	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Instruction	\$339,330,300	\$0	\$28,181,600	\$367,511,900	\$326,231,900	\$0	\$27,511,000	\$353,742,900
Research	91,165,200	0	208,124,700	299,289,900	91,212,700	0	193,781,300	284,994,000
Public Service	204,097,200	0	100,576,400	304,673,600	215,224,300	0	95,835,200	311,059,500
Libraries	20,870,600	0	4,647,600	25,518,200	21,074,400	0	5,033,500	26,107,900
Academic Support	77,023,600	0	24,004,500	101,028,100	78,998,800	0	23,885,900	102,884,700
Student Services	30,260,000	0	4,529,700	34,789,700	33,154,000	0	3,928,400	37,082,400
Institutional Support	106,129,800	0	3,110,400	109,240,200	106,347,700	0	3,694,500	110,042,200
Operation and Maintenance	66,050,600	0	436,900	66,487,500	83,635,200	0	439,400	84,074,600
Student Financial Aid	61,028,900	0	45,274,200	106,303,100	66,276,900	0	49,714,500	115,991,400
Total	\$995,956,200	\$0	\$418,886,000	\$1,414,842,200	\$1,022,155,900	\$0	\$403,823,700	\$1,425,979,600
Auxiliary Enterprises								
UK Athletic Association	\$0	\$72,970,400	\$0	\$72,970,400	\$0	\$76,954,400	\$0	\$76,954,400
Dining	0	16,156,100	0	16,156,100	0	18,757,900	0	18,757,900
Housing	0	19,242,600	0	19,242,600	0	21,053,900	0	21,053,900
Parking	0	7,227,700	0	7,227,700	0	7,225,700	0	7,225,700
University Health Services	0	13,007,200	0	13,007,200	0	11,778,500	0	11,778,500
Other	0	13,945,000	3,000,000	16,945,000	6,900,000	18,040,100	4,115,000	29,055,100
Total Auxiliary Enterprises	\$0	\$142,549,000	\$3,000,000	\$145,549,000	\$6,900,000	\$153,810,500	\$4,115,000	\$164,825,500
Hospital Services	\$864,988,500	\$0	\$2,677,800	\$867,666,300	\$1,003,220,400	\$0	\$4,945,400	\$1,008,165,800
Mandatory Transfers	\$58,395,400	\$13,369,100	\$0	\$71,764,500	\$62,293,400	\$13,504,100	\$0	\$75,797,500
TOTAL CURRENT FUNDS EXPENDITURES BY FUNCTION	\$1,919,340,100	\$155,918,100	\$424,563,800	\$2,499,822,000	\$2,094,569,700	\$167,314,600	\$412,884,100	\$2,674,768,400

Current Funds Statement of Revenues, Expenses and Changes in Net Assets

	<u>2010-11 Revised Budget</u>	<u>2011-12 Original Budget</u>	<u>Difference</u>
OPERATING REVENUES			
Student Tuition and Fees	\$289,369,500	\$314,312,500	\$24,943,000
Federal Grants and Contracts	180,413,000	170,712,000	(9,701,000)
State and Local Grants and Contracts	83,598,500	80,112,500	(3,486,000)
Nongovernmental Grants and Contracts	161,137,000	177,844,600	16,707,600
Recoveries of Facilities and Administrative Costs	44,000,000	43,000,000	(1,000,000)
Sales and Services	40,191,600	40,717,700	526,100
Federal Appropriations	17,722,900	17,722,900	0
County Appropriations	17,828,300	18,380,800	552,500
Hospital Services	903,106,900	1,010,759,200	107,652,300
Auxiliary Enterprises:			
Housing and Dining	44,877,400	49,624,300	4,746,900
Athletics	63,255,000	65,690,000	2,435,000
Other Auxiliaries	33,072,000	34,772,300	1,700,300
Total Operating Revenues	\$1,878,572,100	\$2,023,648,800	\$145,076,700
OPERATING EXPENSES			
Educational and General			
Instruction	\$341,678,700	\$344,981,300	\$3,302,600
Research	286,100,500	283,048,500	(3,052,000)
Public Service	205,681,900	201,291,900	(4,390,000)
Libraries	12,648,500	13,155,100	506,600
Academic Support	99,760,800	100,143,100	382,300
Student Services	34,782,200	37,090,000	2,307,800
Institutional Support	103,399,300	104,228,700	829,400
Operations and Maintenance of Plant	64,776,900	68,024,600	3,247,700
Student Financial Aid	105,923,100	115,991,400	10,068,300
Total Educational and General	\$1,254,751,900	\$1,267,954,600	\$13,202,700

Current Funds Statement of Revenues, Expenses and Changes in Net Assets

	<u>2010-11 Revised Budget</u>	<u>2011-12 Original Budget</u>	<u>Difference</u>
OPERATING EXPENSES (Cont.)			
Clinical Operations	\$96,842,800	\$109,081,000	\$12,238,200
Hospital and Clinics	865,017,800	972,254,800	107,237,000
Auxiliary Enterprises:			
Housing and Dining	35,398,700	38,811,800	3,413,100
Athletics	68,346,800	71,339,400	2,992,600
Other Auxiliaries	32,442,100	30,488,200	(1,953,900)
Total Operating Expenses	<u>\$2,352,800,100</u>	<u>\$2,489,929,800</u>	<u>\$137,129,700</u>
Net Loss from Operations	<u>(\$474,228,000)</u>	<u>(\$466,281,000)</u>	<u>\$7,947,000</u>
NONOPERATING REVENUES (EXPENSES)			
State Appropriations	\$290,664,700	\$303,387,900	\$12,723,200
State Fiscal Stabilization Funds	17,223,600	0	(17,223,600)
Gifts and Non-exchange Grants	50,739,600	55,881,400	5,141,800
Investment Income	39,281,200	37,158,900	(2,122,300)
Other Non-operating Revenues and Expenses, Net	4,366,700	4,628,400	261,700
Net Nonoperating Revenues (Expenses)	<u>\$402,275,800</u>	<u>\$401,056,600</u>	<u>(\$1,219,200)</u>
Net Income (Loss) before Other Revenues, Expenses, Gains or Losses	<u>(\$71,952,200)</u>	<u>(\$65,224,400)</u>	<u>\$6,727,800</u>
Capital Grants and Gifts	5,380,100	7,351,000	1,970,900
Other, Net	10,500	10,500	0
Total Other Revenues (Expenses)	<u>\$5,390,600</u>	<u>\$7,361,500</u>	<u>\$1,970,900</u>

Current Funds Statement of Revenues, Expenses and Changes in Net Assets

	<u>2010-11 Revised Budget</u>	<u>2011-12 Original Budget</u>	<u>Difference</u>
NON-GASB ACTIVITY			
Appropriated Fund Balance	\$208,078,900	\$239,807,500	\$31,728,600
Capital Purchases and Transfers	(74,793,300)	(108,911,100)	(34,117,800)
Debt Service Transfers	(71,764,500)	(75,797,500)	(4,033,000)
Noncapital Transfers	5,040,500	2,764,000	(2,276,500)
Total Non-GASB Activity	\$66,561,600	\$57,862,900	(\$8,698,700)
INCREASE IN NET ASSETS	\$0	\$0	\$0
RECONCILIATION			
Operating Revenues	\$1,878,572,100	\$2,023,648,800	\$145,076,700
Nonoperating Revenues	621,250,000	651,119,600	29,869,600
Total Revenues	\$2,499,822,100	\$2,674,768,400	\$174,946,300
Operating Expenses	\$2,352,800,100	\$2,489,929,800	\$137,129,700
Nonoperating Expenses	147,022,000	184,838,600	37,816,600
Total Expenses	\$2,499,822,100	\$2,674,768,400	\$174,946,300

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
FINANCE AND ADMINISTRATION								
Executive Vice President	\$942,200	\$0	\$39,500	\$981,700	\$903,600	\$0	\$46,400	\$950,000
Campus Services								
Administration	388,800	0	0	388,800	390,600	0	0	390,600
Environmental Health and Safety	980,100	0	0	980,100	992,400	325,000	0	1,317,400
Mandatory Transfers - Parking	0	3,468,300	0	3,468,300	0	3,470,200	0	3,470,200
Parking and Transportation	0	7,227,700	0	7,227,700	0	7,225,700	0	7,225,700
University Police	3,653,900	0	0	3,653,900	3,693,900	16,900	0	3,710,800
Facilities Management								
Administration	331,400	0	0	331,400	1,436,400	0	0	1,436,400
Auxiliary Services Operations	1,147,900	118,000	5,900	1,271,800	1,154,300	114,000	5,900	1,274,200
Capital Construction	485,800	0	0	485,800	249,100	40,000	0	289,100
Housing Operations	0	19,242,600	31,400	19,274,000	0	21,053,900	31,400	21,085,300
Mandatory Transfers - Housing	0	5,273,000	0	5,273,000	0	5,274,400	0	5,274,400
Mandatory Transfers - Facilities	0	0	0	0	656,500	115,800	0	772,300
Physical Plant	52,435,200	189,300	2,000	52,626,500	55,895,300	153,500	2,000	56,050,800
Real Estate Services	374,400	0	0	374,400	398,500	0	0	398,500
Stores	0	0	0	0	0	1,000	0	1,000
Student Aid	0	0	2,900	2,900	0	0	2,900	2,900
University Bookstore Operations	0	125,100	0	125,100	0	65,100	0	65,100
Human Resource Services	5,175,400	300	600	5,176,300	5,279,300	432,900	500	5,712,700
Information Technology								
Administration	481,300	0	0	481,300	339,800	0	0	339,800
Communications and Network Systems	713,300	0	0	713,300	745,000	0	0	745,000
Enterprise Computing Services	13,017,300	0	0	13,017,300	13,630,800	0	0	13,630,800
Support Services - Information Technology	7,354,300	0	0	7,354,300	7,487,300	0	0	7,487,300
Institutional Equity and Equal Opportunity	351,200	0	0	351,200	361,800	0	0	361,800
Internal Audit	811,400	0	0	811,400	822,100	0	0	822,100
Purchasing	1,886,600	0	0	1,886,600	1,925,100	0	0	1,925,100
Treasurer	6,072,900	0	230,000	6,302,900	6,068,800	0	230,000	6,298,800
University Budget Office	1,147,900	0	0	1,147,900	1,306,900	0	0	1,306,900
TOTAL FINANCE AND ADMINISTRATION	\$97,751,300	\$35,644,300	\$312,300	\$133,707,900	\$103,737,500	\$38,288,400	\$319,100	\$142,345,000

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
UK HEALTHCARE								
Continuing Education	\$4,663,500	\$0	\$0	\$4,663,500	\$715,500	\$0	\$0	\$715,500
Hospital System								
Corporate	\$277,770,000	\$0	\$0	\$277,770,000	\$298,575,400	\$0	\$0	\$298,575,400
Chandler Hospital	491,522,600	0	2,677,800	494,200,400	560,370,200	0	4,945,500	565,315,700
Chandler Hospital - Internal Loan	0	0	0	0	35,000,000	0	0	35,000,000
Good Samaritan Hospital	95,695,900	0	0	95,695,900	105,101,000	0	0	105,101,000
Ambulatory Services	0	0	0	0	4,173,800	0	0	4,173,800
Mandatory Transfers	40,684,400	0	0	40,684,400	43,811,700	0	0	43,811,700
Total Hospital System	\$905,672,900	\$0	\$2,677,800	\$908,350,700	\$1,047,032,100	\$0	\$4,945,500	\$1,051,977,600
University Health Service								
University Health Service - Operations	\$0	\$12,623,100	\$0	\$12,623,100	\$0	\$11,306,500	\$0	\$11,306,500
The Fund - Salary Supplement	0	384,100	0	384,100	0	472,000	0	472,000
Mandatory Transfers	0	1,819,600	0	1,819,600	0	1,839,400	0	1,839,400
Total University Health Service	\$0	\$14,826,800	\$0	\$14,826,800	\$0	\$13,617,900	\$0	\$13,617,900
TOTAL UK HEALTHCARE	\$910,336,400	\$14,826,800	\$2,677,800	\$927,841,000	\$1,047,747,600	\$13,617,900	\$4,945,500	\$1,066,311,000

Expenses by Colleges and Units

	2010-11 Revised Budget			2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds		
<u>PRESIDENT</u>							
Office of the President							
Administration	\$1,088,300	\$0	\$742,200	\$1,381,500	\$0	\$992,200	\$2,373,700
Board of Trustees	30,000	0	0	30,000	0	0	30,000
Boone Center	0	1,273,200	0	0	1,303,600	0	1,303,600
Staff Senate	82,700	0	0	82,900	0	0	82,900
Student Aid	0	0	100	0	0	100	100
University Senate	103,300	0	0	104,100	0	0	104,100
Center for Rural Development	396,800	0	0	392,900	0	0	392,900
Commercialization and Economic Development							
Administration	3,294,200	0	87,000	3,260,000	0	73,200	3,333,200
Center for Entrepreneurship	630,000	0	0	643,000	0	0	643,000
Development							
Administration	4,316,800	0	364,800	4,086,000	0	553,900	4,639,900
Student Aid	0	0	13,300	0	0	27,300	27,300
Intercollegiate Athletics							
Operations	0	0	3,694,800	0	0	4,231,000	4,231,000
Internal Loan	0	0	0	6,900,000	0	0	6,900,000
Student Aid	0	0	225,000	0	0	0	0
Legal Counsel	1,829,600	0	0	1,857,400	0	0	1,857,400
University Relations							
Administration	769,800	0	0	780,600	0	0	780,600
Alumni Affairs	1,389,700	0	190,300	1,467,800	0	197,100	1,664,900
Public Relations	1,590,400	0	0	1,615,400	0	0	1,615,400
Student Aid	0	0	42,400	0	0	41,500	41,500
WUKY	471,500	0	1,477,700	488,000	0	1,458,300	1,946,300
TOTAL PRESIDENT	\$15,993,100	\$1,273,200	\$6,837,600	\$23,089,600	\$1,303,600	\$7,574,600	\$31,967,800

Expenses by Colleges and Units

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL	
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds			
PROVOST								
College of Agriculture								
Administration	\$1,615,500	\$0	\$842,500	\$2,458,000	\$2,725,200	\$0	\$889,000	\$3,614,200
Advanced Genetic Technology Center	0	117,200	0	117,200	0	141,700	0	141,700
Agricultural Economics	1,197,200	0	22,200	1,219,400	1,230,800	0	41,000	1,271,800
Animal and Food Sciences	1,511,900	0	87,700	1,599,600	1,435,800	0	102,100	1,537,900
Arboretum	237,900	0	44,100	282,000	242,500	0	57,600	300,100
Biosystems and Agricultural Engineering	494,600	0	14,600	509,200	521,900	0	16,400	538,300
Community and Leadership Development	667,600	0	3,400	671,000	745,000	0	0	745,000
Entomology	274,700	0	2,900	277,600	245,300	0	2,900	248,200
Family and Consumer Science	0	0	1,000	1,000	0	0	22,700	22,700
Family Studies	1,756,500	0	6,600	1,763,100	1,754,800	0	6,600	1,761,400
Forestry	542,400	36,000	25,700	604,100	520,800	73,900	14,400	609,100
Horticulture	459,900	0	26,700	486,600	478,600	0	27,900	506,500
Landscape Architecture	704,500	0	50,400	754,900	752,000	0	53,700	805,700
Libraries - Agriculture	0	0	5,100	5,100	0	0	5,100	5,100
Merchandising, Apparel, and Textiles	823,200	0	0	823,200	903,400	0	0	903,400
Nutrition and Food Sciences	1,521,300	0	9,400	1,530,700	1,432,200	0	7,600	1,439,800
Plant and Soil Sciences	591,200	0	46,400	637,600	634,300	0	41,100	675,400
Plant Pathology	157,100	0	5,700	162,800	151,900	0	5,500	157,400
Plant Pathology Research Challenge Trust								
Fund Research and Graduate Programs	5,500	0	0	5,500	7,800	0	0	7,800
Regulatory Service	0	0	3,400	3,400	0	0	3,100	3,100
School of Human Environmental Sciences	306,100	0	546,100	852,200	369,500	0	644,300	1,013,800
Student Aid	0	0	1,675,700	1,675,700	0	0	1,715,900	1,715,900
Center for the Environment	100	0	0	100	2,000	0	0	2,000
Veterinary Science	92,000	0	13,100	105,100	102,700	0	14,800	117,500
Total Agriculture	\$12,959,200	\$153,200	\$3,432,700	\$16,545,100	\$14,256,500	\$215,600	\$3,671,700	\$18,143,800

Expenses by Colleges and Units

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL	
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds			
PROVOST (cont.)								
Agricultural Experiment Station								
Director	\$2,001,600	\$0	\$1,089,400	\$3,091,000	\$1,352,200	\$0	\$786,400	\$2,138,600
Advancement	485,800	0	89,600	575,400	483,600	0	73,700	557,300
Agricultural Communications and Data Center	637,300	0	5,000	642,300	424,000	0	5,000	429,000
Agricultural Economics	1,257,700	0	576,300	1,834,000	1,324,100	0	664,200	1,988,300
Agricultural Motor Pool Security	79,800	0	0	79,800	87,800	0	0	87,800
Agronomy Research Challenge Trust Fund								
Research and Graduate Programs	583,600	0	333,500	917,100	576,200	0	290,100	866,300
Animal and Food Sciences	4,001,000	0	1,656,900	5,657,900	3,999,000	0	1,665,900	5,664,900
Associate Director	1,385,100	0	276,700	1,661,800	1,377,700	0	302,700	1,680,400
Biosystems and Agricultural Engineering	2,010,800	0	654,100	2,664,900	2,030,600	0	642,500	2,673,100
Business Office	418,800	0	111,400	530,200	538,800	0	113,500	652,300
Center for Equine Health Care	151,700	0	85,300	237,000	153,900	0	67,600	221,500
Community and Leadership Development	747,000	0	156,900	903,900	696,300	0	148,800	845,100
Entomology	1,549,200	0	1,414,900	2,964,100	1,711,400	0	1,421,300	3,132,700
Farm and Facilities Operations	4,459,000	0	0	4,459,000	4,716,800	0	0	4,716,800
Forestry	1,060,700	0	709,400	1,770,100	1,070,500	0	746,700	1,817,200
Groundwater Program	482,400	0	0	482,400	477,000	0	0	477,000
Horticulture	997,900	0	835,000	1,832,900	1,160,400	0	876,400	2,036,800
Landscape Architecture	91,600	0	10,000	101,600	94,300	0	13,400	107,700
Livestock Disease Diagnostic Center	0	0	136,000	136,000	0	0	161,300	161,300
Plant and Soil Sciences	5,310,500	0	2,745,600	8,056,100	5,425,200	0	2,721,200	8,146,400
Plant Pathology	1,480,400	0	730,300	2,210,700	1,525,300	0	811,700	2,337,000
Plant Pathology Research Challenge Trust								
Fund Research and Graduate Programs	289,900	0	0	289,900	290,000	0	0	290,000
School of Human Environmental Sciences	16,200	0	111,400	127,600	16,200	0	127,300	143,500
Center for the Environment	106,700	0	0	106,700	110,600	0	12,000	122,600
Veterinary Science	2,150,700	0	8,191,800	10,342,500	2,279,100	0	6,830,000	9,109,100
Total Agricultural Experiment Station	\$31,755,400	\$0	\$19,919,500	\$51,674,900	\$31,921,000	\$0	\$18,481,700	\$50,402,700

Expenses by Colleges and Units

	2010-11 Revised Budget			TOTAL	2011-12 Original Proposed Budget			TOTAL
	UNRESTRICTED		RESTRICTED		UNRESTRICTED		RESTRICTED	
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
PROVOST (cont.)								
Agricultural Public Service								
Advancement	\$0	\$0	\$10,500	\$10,500	\$0	\$0	\$10,500	\$10,500
Agriculture Motor Pool	0	175,000	0	175,000	0	0	0	0
Agricultural Economics	0	0	0	0	30,000	0	0	30,000
Agricultural Programs	182,000	0	0	182,000	182,000	0	0	182,000
Animal and Food Sciences	121,000	0	14,200	135,200	120,000	0	13,600	133,600
Arboretum	0	0	40,000	40,000	0	0	45,000	45,000
Center for the Environment	17,000	0	0	17,000	1,000	0	1,000	2,000
Community and Leadership Development	87,800	0	6,900	94,700	67,600	0	3,000	70,600
Entomology	300,000	0	0	300,000	300,000	0	0	300,000
Family and Consumer Sciences	0	0	0	0	0	0	25,000	25,000
Forestry	31,000	0	0	31,000	25,000	0	0	25,000
Horticulture	15,000	0	0	15,000	10,000	0	0	10,000
Livestock Disease Diagnostic Center	5,149,300	0	500	5,149,800	5,285,700	0	3,500	5,289,200
Nutrition and Food Sciences	0	0	5,200	5,200	0	0	2,000	2,000
Plant and Soil Sciences	270,000	0	4,000	274,000	212,500	0	0	212,500
Plant Pathology	2,100	0	15,000	17,100	1,800	0	16,800	18,600
Regulatory Services	4,048,600	0	340,000	4,388,600	4,034,700	0	365,000	4,399,700
Robinson Station	2,000	0	0	2,000	6,000	0	11,100	17,100
Veterinary Science	461,000	0	800	461,800	492,500	0	800	493,300
Total Agricultural Public Service	\$10,686,800	\$175,000	\$437,100	\$11,298,900	\$10,768,800	\$0	\$497,300	\$11,266,100
Kentucky Tobacco Research and Development Center	\$0	\$0	\$2,789,400	\$2,789,400	\$0	\$0	\$3,100,000	\$3,100,000

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
PROVOST (cont.)								
Agricultural Cooperative Extension Service								
Director	\$1,438,800	\$0	\$996,200	\$2,435,000	\$793,100	\$0	\$695,700	\$1,488,800
Advancement	55,000	0	2,200	57,200	43,800	0	2,200	46,000
Agricultural Communications and Data Center	820,700	0	1,615,600	2,436,300	1,031,900	0	1,683,500	2,715,400
Agricultural Economics	1,896,100	0	399,000	2,295,100	1,820,900	0	406,500	2,227,400
Animal and Food Sciences	1,534,500	0	359,900	1,894,400	1,604,200	0	382,600	1,986,800
Assistant Director - Agriculture Programs	223,100	0	285,700	508,800	229,100	0	273,600	502,700
Associate Director	528,100	0	237,600	765,700	529,400	0	149,900	679,300
Biosystems and Agricultural Engineering	991,300	0	327,800	1,319,100	1,025,300	0	332,600	1,357,900
Business Office	250,800	0	90,800	341,600	195,800	0	62,200	258,000
Community and Leadership Development	610,300	0	274,200	884,500	662,900	0	265,400	928,300
Developmental Programs	8,300	0	23,000	31,300	17,900	0	23,000	40,900
E-Extension Program	130,800	0	53,900	184,700	135,800	0	58,300	194,100
Entomology	542,300	0	168,700	711,000	550,800	0	176,200	727,000
Extension Personnel	242,000	0	449,200	691,200	297,000	0	383,500	680,500
Family and Consumer Sciences	1,078,700	0	1,868,100	2,946,800	665,600	0	1,987,800	2,653,400
Field Programs	36,988,100	0	2,930,900	39,919,000	37,733,300	0	3,039,700	40,773,000
Forestry	720,400	0	186,000	906,400	713,600	0	192,100	905,700
Horticulture	1,025,500	0	271,700	1,297,200	936,100	0	293,600	1,229,700
Nutrition and Food Sciences	0	0	0	0	5,600	0	0	5,600
Plant and Soil Sciences	1,164,000	0	565,100	1,729,100	1,172,900	0	485,100	1,658,000
Plant Pathology	374,300	0	58,900	433,200	403,900	0	63,300	467,200
School of Human Environmental Sciences	0	0	27,600	27,600	0	0	2,700	2,700
Veterinary Science	292,800	0	10,700	303,500	93,700	0	51,400	145,100
West Kentucky Extension Program	317,600	0	0	317,600	296,600	0	0	296,600
4-H Youth Development Programs	621,000	2,201,600	1,410,100	4,232,700	530,200	2,393,200	1,396,400	4,319,800
Total Agricultural Cooperative Extension Service	\$51,854,500	\$2,201,600	\$12,612,900	\$66,669,000	\$51,489,400	\$2,393,200	\$12,407,300	\$66,289,900

Expenses by Colleges and Units

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL	
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds			
PROVOST (cont.)								
College of Arts and Sciences								
Administration	\$4,810,100	\$0	\$645,200	\$5,455,300	\$4,601,400	\$0	\$726,700	\$5,328,100
Aerospace Science	57,900	0	0	57,900	59,100	0	0	59,100
African American Studies and Research Programs	11,200	0	0	11,200	11,200	0	0	11,200
Anthropology	1,965,000	0	35,400	2,000,400	2,335,700	0	46,300	2,382,000
Biological Sciences	4,605,100	0	118,000	4,723,100	4,976,800	0	113,400	5,090,200
Chemistry	5,141,000	0	55,500	5,196,500	5,284,600	0	195,600	5,480,200
Chemistry Research Challenge Trust Fund								
Research and Graduate Programs	511,000	0	4,000	515,000	524,900	0	41,400	566,300
Student Aid	200,000	0	0	200,000	200,000	0	0	200,000
English	6,168,500	0	227,500	6,396,000	7,732,700	0	254,600	7,987,300
Geography	2,099,300	0	11,000	2,110,300	2,407,100	0	22,000	2,429,100
Geography Research Challenge Trust Fund								
Research and Graduate Programs	340,900	0	0	340,900	357,100	0	0	357,100
Geology	1,284,900	0	50,100	1,335,000	1,457,600	0	133,700	1,591,300
Hispanic Studies	1,822,500	0	57,800	1,880,300	2,007,000	0	41,300	2,048,300
History	3,266,300	0	195,100	3,461,400	3,538,900	0	214,900	3,753,800
Interdisciplinary Programs	31,400	0	26,100	57,500	31,400	0	30,500	61,900
Library - English	0	0	18,800	18,800	0	0	20,200	20,200
Mathematics	4,853,000	0	131,100	4,984,100	4,926,200	0	132,500	5,058,700
Military Science	46,900	500	1,200	48,600	54,900	500	5,000	60,400
Modern and Classical Languages	3,407,400	0	35,500	3,442,900	3,625,100	0	46,200	3,671,300
Philosophy	1,941,700	0	7,500	1,949,200	2,013,100	0	6,000	2,019,100
Physics and Astronomy	4,681,000	0	47,800	4,728,800	4,902,800	0	47,600	4,950,400
Physics and Astronomy Research Challenge								
Trust Fund Research and Graduate Programs	117,100	0	0	117,100	186,500	0	0	186,500
Political Science	1,931,700	0	4,200	1,935,900	1,785,200	0	7,000	1,792,200
Psychology	4,143,800	0	29,400	4,173,200	4,253,000	0	26,600	4,279,600
Psychology Research Challenge Trust Fund								
Research and Graduate Programs	236,900	0	0	236,900	256,000	0	0	256,000
Student Aid	75,000	0	0	75,000	75,000	0	0	75,000

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
PROVOST (cont.)								
College of Arts and Sciences (cont.)								
Sociology	\$1,604,600	\$0	\$31,600	\$1,636,200	\$2,050,400	\$0	\$33,500	\$2,083,900
Statistics	2,051,000	0	1,500	2,052,500	2,090,900	0	1,600	2,092,500
Student Aid	6,700	0	1,179,600	1,186,300	6,700	0	2,641,400	2,648,100
Women's Studies	726,800	0	10,900	737,700	919,700	0	17,100	936,800
Total Arts and Sciences	\$58,138,700	\$500	\$2,924,800	\$61,064,000	\$62,671,000	\$500	\$4,805,100	\$67,476,600
Gatton College of Business and Economics								
Administration	\$2,085,500	\$0	\$10,394,000	\$12,479,500	\$1,989,400	\$0	\$9,930,700	\$11,920,100
Center for Business and Economic Research	200,800	0	0	200,800	208,000	0	0	208,000
Center for Poverty Research	19,800	0	1,900	21,700	18,000	0	2,700	20,700
Development	290,300	0	0	290,300	298,700	0	0	298,700
Economics	2,757,000	0	93,600	2,850,600	2,927,000	0	92,700	3,019,700
Economics Research Challenge Trust Fund								
Research and Graduate Programs	170,100	0	0	170,100	169,800	0	0	169,800
Graduate Center	978,200	0	0	978,200	1,003,700	0	600	1,004,300
International Business and Management Center	350,000	0	49,900	399,900	350,000	0	53,700	403,700
Management	6,847,600	0	386,600	7,234,200	7,120,100	0	373,800	7,493,900
Management Research Challenge Trust								
Fund Research and Graduate Programs	436,600	0	0	436,600	446,200	0	0	446,200
MBA Center	689,500	0	0	689,500	697,700	0	0	697,700
School of Accountancy	3,155,600	0	231,000	3,386,600	3,224,200	0	267,600	3,491,800
Student Aid	137,500	0	1,030,800	1,168,300	132,500	0	1,424,600	1,557,100
Undergraduate Center	951,000	0	0	951,000	1,089,700	0	0	1,089,700
Total Business and Economics	\$19,069,500	\$0	\$12,187,800	\$31,257,300	\$19,675,000	\$0	\$12,146,400	\$31,821,400

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
PROVOST (cont.)								
College of Communications and Information Studies								
Administration	\$915,200	\$0	\$31,800	\$947,000	\$941,100	\$0	\$25,400	\$966,500
Center for Instructional Communication								
Excellence, Research, and Development	710,900	0	0	710,900	914,100	0	0	914,100
Department of Communication	2,105,300	0	113,000	2,218,300	2,122,100	0	121,100	2,243,200
Graduate Program	348,900	0	9,800	358,700	359,100	0	10,800	369,900
School of Journalism and Telecommunications	2,216,600	0	452,000	2,668,600	2,287,100	0	334,900	2,622,000
School of Library and Information Science	1,464,200	0	133,300	1,597,500	1,441,300	0	131,400	1,572,700
Student Aid	0	0	233,200	233,200	0	0	198,300	198,300
Total Communications and Information Studies	\$7,761,100	\$0	\$973,100	\$8,734,200	\$8,064,800	\$0	\$821,900	\$8,886,700
College of Dentistry								
Administration	\$1,600,900	\$0	\$158,100	\$1,759,000	\$2,452,700	\$0	\$160,200	\$2,612,900
Dental Clinics	0	1,000,000	0	1,000,000	0	890,000	0	890,000
Department of Oral Health Practice	5,155,400	0	26,200	5,181,600	5,306,800	0	40,700	5,347,500
Department of Oral Health Science	4,492,300	0	19,800	4,512,100	4,558,200	0	16,900	4,575,100
Education	439,500	0	68,500	508,000	450,000	0	68,500	518,500
Graduate and Residency Programs	3,212,900	0	28,200	3,241,100	3,454,900	0	28,100	3,483,000
Office of Academic Affairs	256,400	0	0	256,400	263,200	0	0	263,200
Office of Administrative Affairs	1,743,200	0	18,800	1,762,000	1,662,200	0	20,800	1,683,000
Office of Student Affairs	325,500	0	53,300	378,800	335,300	0	72,300	407,600
Patient Care	3,137,600	0	5,000	3,142,600	2,934,600	0	3,500	2,938,100
Patient Care Education Support	11,300	0	0	11,300	11,300	0	0	11,300
Public and Professional Services	1,649,500	0	145,700	1,795,200	1,423,700	0	248,700	1,672,400
Research and Graduate Studies	563,800	0	304,700	868,500	542,800	0	325,000	867,800
Student Aid	0	0	186,500	186,500	0	0	228,100	228,100
The Fund - Salary Supplement	2,500,000	0	0	2,500,000	2,650,000	0	0	2,650,000
Total Dentistry	\$25,088,300	\$1,000,000	\$1,014,800	\$27,103,100	\$26,045,700	\$890,000	\$1,212,800	\$28,148,500

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
PROVOST (cont.)								
College of Design								
Administration	\$1,449,600	\$0	\$14,500	\$1,464,100	\$1,322,200	\$0	\$16,800	\$1,339,000
Historic Preservation	23,400	0	6,000	29,400	67,700	0	6,000	73,700
Interior Design	424,500	0	14,000	438,500	432,100	0	14,000	446,100
Library - Design	0	0	4,500	4,500	0	0	4,000	4,000
School of Architecture	1,886,700	0	340,300	2,227,000	2,013,500	0	334,400	2,347,900
Student Aid	0	0	190,200	190,200	0	0	155,100	155,100
Total Design	\$3,784,200	\$0	\$569,500	\$4,353,700	\$3,835,500	\$0	\$530,300	\$4,365,800
College of Education								
Administration	\$1,834,500	\$0	\$217,600	\$2,052,100	\$2,135,900	\$0	\$206,500	\$2,342,400
Administration and Supervision	771,900	0	4,400	776,300	792,000	0	4,400	796,400
Center for Professional Development	129,700	0	0	129,700	132,300	0	0	132,300
Collaborative Literacy Program	3,702,000	0	0	3,702,000	3,693,100	0	0	3,693,100
Curriculum and Instruction	2,793,500	0	500	2,794,000	2,220,000	0	500	2,220,500
Educational Policy Studies	1,181,100	0	100	1,181,200	1,229,200	0	100	1,229,300
Educational Psychology and Counseling	1,522,300	0	16,800	1,539,100	1,701,100	0	13,800	1,714,900
Instructional Media and Technology	258,700	0	0	258,700	276,300	0	0	276,300
Kinesiology and Health Promotion	1,602,600	0	104,300	1,706,900	1,586,900	0	100,700	1,687,600
Science, Technology, Engineering, and Mathematics (STEM) Education	0	0	0	0	546,400	0	0	546,400
Special Education and Rehabilitation Counseling	2,060,500	0	243,700	2,304,200	2,052,000	0	233,700	2,285,700
Student Aid	0	0	536,800	536,800	0	0	518,000	518,000
Teacher Education and Certification	870,200	0	0	870,200	864,100	0	0	864,100
Vocational Education	633,500	0	100	633,600	633,500	0	100	633,600
Total Education	\$17,360,500	\$0	\$1,124,300	\$18,484,800	\$17,862,800	\$0	\$1,077,800	\$18,940,600

Expenses by Colleges and Units

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED	RESTRICTED		UNRESTRICTED	RESTRICTED		TOTAL	
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds			
PROVOST (cont.)								
College of Engineering								
Administration	\$2,909,800	\$0	\$440,700	\$3,350,500	\$2,861,500	\$0	\$364,200	\$3,225,700
Alumni Development	998,600	0	0	998,600	983,500	0	0	983,500
Center for Aluminum Technology	200,000	0	293,300	493,300	40,000	0	208,500	248,500
Center for Biomedical Engineering	1,173,800	0	9,700	1,183,500	1,104,400	0	3,200	1,107,600
Center for Robotics and Manufacturing Systems	1,580,000	0	35,000	1,615,000	1,500,000	0	79,300	1,579,300
Chemical and Materials Engineering	2,461,200	0	247,100	2,708,300	2,533,900	0	306,600	2,840,500
Chemical and Materials Engineering Research								
Challenge Trust Fund								
Research and Graduate Programs	486,300	0	467,800	954,100	596,100	0	555,300	1,151,400
Student Aid	100,000	0	0	100,000	100,000	0	0	100,000
Civil Engineering	2,853,300	10,700	1,154,000	4,018,000	3,011,800	20,000	1,245,400	4,277,200
Computer Operations	600,200	0	0	600,200	624,800	0	0	624,800
Computer Science	3,201,800	0	172,400	3,374,200	3,319,000	0	92,600	3,411,600
Computer Science Research Challenge Trust								
Fund Research and Graduate Programs	736,000	0	359,700	1,095,700	786,100	0	381,800	1,167,900
Electrical Engineering	3,515,400	12,900	212,300	3,740,600	3,557,700	8,100	150,100	3,715,900
Electrical Engineering Research Challenge								
Trust Fund Research and Graduate Programs	391,000	0	252,400	643,400	397,200	0	270,100	667,300
Student Aid	51,100	0	0	51,100	51,100	0	0	51,100
Engineering Electron Microscopy	0	18,400	0	18,400	0	31,700	0	31,700
Library - Shaver	0	0	17,200	17,200	0	0	17,200	17,200
Mechanical Engineering	3,960,000	0	803,900	4,763,900	4,001,000	0	363,300	4,364,300
Mining Engineering	1,203,900	0	286,300	1,490,200	1,366,100	0	62,300	1,428,400
Office of Institutional Services Technical								
Liaison (OISTL)	100,000	0	80,300	180,300	100,000	0	39,400	139,400
Paducah Engineering Program	1,187,100	0	0	1,187,100	1,214,500	0	0	1,214,500
Student Aid	4,000	0	3,586,200	3,590,200	4,000	0	3,123,500	3,127,500
Transportation Center	1,075,000	0	317,500	1,392,500	1,075,000	0	291,200	1,366,200
Visualization and Virtual Environments	0	0	81,000	81,000	0	0	35,300	35,300
Total Engineering	\$28,788,500	\$42,000	\$8,816,800	\$37,647,300	\$29,227,700	\$59,800	\$7,589,300	\$36,876,800

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
PROVOST (cont.)								
College of Fine Arts								
Administration	\$1,088,000	\$0	\$76,300	\$1,164,300	\$1,428,000	\$0	\$73,200	\$1,501,200
Art	2,350,300	0	110,400	2,460,700	2,821,400	0	103,300	2,924,700
Band	273,900	0	12,800	286,700	253,400	0	5,300	258,700
Music	5,148,600	0	606,600	5,755,200	5,407,300	0	684,900	6,092,200
Singletary Center for the Arts	789,200	0	14,200	803,400	797,300	0	11,300	808,600
Student Aid	0	0	338,300	338,300	0	0	291,600	291,600
Theatre Arts	1,083,700	0	212,200	1,295,900	1,226,800	0	156,000	1,382,800
Total Fine Arts	\$10,733,700	\$0	\$1,370,800	\$12,104,500	\$11,934,200	\$0	\$1,325,600	\$13,259,800
College of Health Sciences								
Administration	\$1,940,700	\$0	\$140,300	\$2,081,000	\$1,908,600	\$0	\$128,000	\$2,036,600
Department of Clinical Sciences	2,486,900	0	8,900	2,495,800	2,474,000	0	12,300	2,486,300
Department of Rehabilitation	3,820,200	0	45,300	3,865,500	4,009,700	0	43,200	4,052,900
Patient Care Education Support	44,500	0	0	44,500	44,500	0	0	44,500
Student Affairs	237,800	0	0	237,800	256,400	0	0	256,400
Student Aid	105,000	0	160,700	265,700	105,000	0	158,800	263,800
The Fund - Salary Supplement	25,000	0	0	25,000	25,000	0	0	25,000
Total Health Sciences	\$8,660,100	\$0	\$355,200	\$9,015,300	\$8,823,200	\$0	\$342,300	\$9,165,500
College of Law								
Administration	\$1,273,500	\$0	\$2,068,800	\$3,342,300	\$1,416,800	\$0	\$3,479,900	\$4,896,700
Continuing Legal Education	467,400	0	0	467,400	467,200	0	0	467,200
Law Instruction	4,883,700	0	0	4,883,700	5,073,200	0	0	5,073,200
Library - Law	1,908,200	0	13,900	1,922,100	1,948,800	0	13,900	1,962,700
Mineral Law Center	39,200	0	100	39,300	40,400	0	100	40,500
Student Aid	0	0	733,900	733,900	0	0	789,900	789,900
Total Law	\$8,572,000	\$0	\$2,816,700	\$11,388,700	\$8,946,400	\$0	\$4,283,800	\$13,230,200

Expenses by Colleges and Units

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL	
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds			
PROVOST (cont.)								
College of Medicine								
Administration	\$4,105,700	\$0	\$538,000	\$4,643,700	\$6,965,900	\$0	\$100,600	\$7,066,500
Anatomy and Neurobiology	2,073,000	0	414,900	2,487,900	2,199,200	0	417,600	2,616,800
Anesthesiology	10,586,500	0	47,300	10,633,800	12,107,500	0	10,700	12,118,200
Behavioral Science	2,839,700	0	49,300	2,889,000	2,921,400	0	57,000	2,978,400
Cardiovascular Research Center	381,900	0	6,400	388,300	416,200	0	49,200	465,400
Center for Drug and Alcohol Research	106,700	0	0	106,700	205,700	0	0	205,700
Diagnostic Radiology	9,473,100	0	100,100	9,573,200	9,765,100	0	104,300	9,869,400
Emergency Medicine	3,762,300	0	1,400	3,763,700	3,772,400	0	2,600	3,775,000
Family Practice	3,818,200	0	318,000	4,136,200	3,337,300	0	359,600	3,696,900
Family Practice - Rural Clinics	5,451,600	0	10,300	5,461,900	4,704,700	0	25,700	4,730,400
Graduate Center for Toxicology	1,359,500	0	108,200	1,467,700	1,388,400	0	161,000	1,549,400
Graduate Medical Education	257,100	0	209,200	466,300	253,100	0	443,800	696,900
Housestaff Education Support	918,900	0	0	918,900	918,900	0	0	918,900
Internal Medicine	30,684,700	0	3,467,000	34,151,700	36,579,400	0	3,195,000	39,774,400
Kentucky Clinic Administration/Ambulatory Care	2,397,700	0	0	2,397,700	2,158,100	0	0	2,158,100
Kentucky Telecare	64,100	0	0	64,100	64,100	0	0	64,100
Library (Offutt) - Ophthalmology	0	0	5,300	5,300	0	0	4,800	4,800
Microbiology, Immunology, and Molecular Genetics	3,295,300	0	10,700	3,306,000	3,304,700	0	1,900	3,306,600
Molecular and Biomedical Pharmacology	1,756,900	0	108,500	1,865,400	1,776,400	0	106,200	1,882,600
Molecular and Cellular Biochemistry	2,970,100	0	204,300	3,174,400	3,102,500	0	197,700	3,300,200
Neurology	5,668,800	0	576,200	6,245,000	6,660,600	0	512,300	7,172,900
Neurosurgery	4,837,200	0	350,900	5,188,100	5,467,300	0	298,100	5,765,400
Nutritional Sciences	539,200	0	26,100	565,300	842,100	0	25,100	867,200
Obstetrics and Gynecology	9,618,000	0	969,100	10,587,100	8,726,000	0	834,100	9,560,100
Office of Academic Affairs	1,640,100	0	43,100	1,683,200	2,292,600	0	46,500	2,339,100
Office of Health Research and Development	520,100	0	78,200	598,300	522,700	0	87,200	609,900
Off-Site Clinics	2,680,100	0	0	2,680,100	2,959,100	0	0	2,959,100
Ophthalmology	6,614,300	0	183,400	6,797,700	6,933,600	0	173,300	7,106,900
Orthopaedic Surgery	10,479,600	0	198,200	10,677,800	11,782,400	0	138,000	11,920,400
Pathology and Laboratory Medicine	5,502,200	0	12,800	5,515,000	5,381,800	0	0	5,381,800
Patient Care Education Support	1,324,100	0	0	1,324,100	1,324,100	0	0	1,324,100

Expenses by Colleges and Units

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL	
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds			
PROVOST (cont.)								
College of Medicine (cont.)								
Pediatrics	\$22,644,100	\$0	\$1,510,900	\$24,155,000	\$26,537,900	\$0	\$1,434,800	\$27,972,700
Physical Medicine and Rehabilitation	2,487,500	0	558,300	3,045,800	2,822,400	0	284,300	3,106,700
Physiology	2,961,000	0	41,300	3,002,300	3,002,000	0	26,300	3,028,300
Psychiatry	6,287,400	0	82,300	6,369,700	5,801,600	0	161,000	5,962,600
Radiation Medicine	3,346,500	0	301,900	3,648,400	3,106,700	0	320,800	3,427,500
Research Challenge Trust Fund Research and Graduate Programs								
Advanced Medical Research	1,910,500	0	0	1,910,500	2,017,600	0	0	2,017,600
Student Aid	295,700	0	0	295,700	295,700	0	0	295,700
Toxicity	512,500	0	0	512,500	527,500	0	0	527,500
Spinal Cord	1,107,300	0	1,510,700	2,618,000	1,114,600	0	1,956,400	3,071,000
Student Aid - Diversity Scholarships	40,000	0	0	40,000	40,000	0	0	40,000
Student Aid - Other	0	0	3,416,200	3,416,200	0	0	2,784,800	2,784,800
Surgery and Divisions	16,800,000	0	1,973,200	18,773,200	17,528,400	0	1,740,000	19,268,400
UK Health Plans	469,900	0	0	469,900	206,400	0	0	206,400
Total Medicine	\$194,589,100	\$0	\$17,431,700	\$212,020,800	\$211,834,100	\$0	\$16,060,700	\$227,894,800
Area Health Education Center Program	\$1,505,100	\$0	\$8,200	\$1,513,300	\$1,485,800	\$0	\$8,000	\$1,493,800
Center for Cancer Prevention, Education, Research, and Patient Care	\$3,394,700	\$0	\$15,072,100	\$18,466,800	\$3,359,800	\$0	\$10,895,000	\$14,254,800
Student Aid	0	0	6,800	6,800	0	0	7,500	7,500
Total Cancer Center	\$3,394,700	\$0	\$15,078,900	\$18,473,600	\$3,359,800	\$0	\$10,902,500	\$14,262,300
Center for Excellence in Rural Health	\$4,734,700	\$0	\$99,700	\$4,834,400	\$4,925,900	\$0	\$245,200	\$5,171,100
Primary Care Residency Program	\$3,136,000	\$0	\$0	\$3,136,000	\$3,149,900	\$0	\$0	\$3,149,900
Sanders-Brown Center on Aging	\$2,346,500	\$0	\$1,905,800	\$4,252,300	\$2,184,800	\$0	\$1,864,100	\$4,048,900

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
PROVOST (cont.)								
College of Nursing								
Administration	\$1,954,000	\$0	\$229,800	\$2,183,800	\$2,067,900	\$0	\$225,300	\$2,293,200
Continuing Education	157,000	0	0	157,000	155,000	0	0	155,000
Instruction	7,564,700	0	184,500	7,749,200	7,576,100	0	168,000	7,744,100
Student Aid	0	0	88,500	88,500	0	0	70,700	70,700
Total Nursing	\$9,675,700	\$0	\$502,800	\$10,178,500	\$9,799,000	\$0	\$464,000	\$10,263,000
College of Pharmacy								
Administration	\$2,500,200	\$0	\$537,700	\$3,037,900	\$2,660,500	\$0	\$474,100	\$3,134,600
Patient Care Education Support	150,100	0	0	150,100	150,100	0	0	150,100
Pharmaceutical Science	4,986,500	0	589,300	5,575,800	4,970,800	0	570,600	5,541,400
Pharmaceutical Science Research Challenge								
Trust Fund Research and Graduate Programs	732,600	0	0	732,600	743,700	0	0	743,700
Pharmacy Managed Care	36,000	0	0	36,000	30,000	0	0	30,000
Pharmacy Practice and Science	5,336,700	0	1,346,100	6,682,800	5,369,200	0	1,228,300	6,597,500
Student Affairs	768,500	0	64,700	833,200	800,200	0	31,600	831,800
Student Aid	0	0	842,700	842,700	0	0	959,200	959,200
Total Pharmacy	\$14,510,600	\$0	\$3,380,500	\$17,891,100	\$14,724,500	\$0	\$3,263,800	\$17,988,300

Expenses by Colleges and Units

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL	
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds			
PROVOST (cont.)								
College of Public Health								
Administration	\$909,100	\$0	\$1,700	\$910,800	\$863,100	\$0	\$2,500	\$865,600
Biostatistics	689,400	0	0	689,400	751,600	0	0	751,600
Council on Aging	251,200	0	275,800	527,000	279,500	0	356,500	636,000
Epidemiology	543,400	0	0	543,400	575,300	0	0	575,300
Gerontology Research Challenge Trust								
Fund Research and Graduate Programs	847,100	0	12,100	859,200	869,800	0	10,000	879,800
Student Aid	101,800	0	0	101,800	0	0	0	0
Health Behavior	692,100	0	48,000	740,100	732,600	0	44,500	777,100
Health Services Management	678,100	0	75,500	753,600	844,300	0	69,800	914,100
Preventive Medicine and Clinics	1,553,300	0	0	1,553,300	1,507,600	0	0	1,507,600
Student Aid	0	0	125,400	125,400	0	0	129,200	129,200
Total Public Health	\$6,265,500	\$0	\$538,500	\$6,804,000	\$6,423,800	\$0	\$612,500	\$7,036,300
College of Social Work								
Administration and Instruction	\$2,911,700	\$0	\$379,400	\$3,291,100	\$2,993,000	\$0	\$376,300	\$3,369,300
Continuing Education	452,500	0	0	452,500	452,100	0	0	452,100
Student Aid	0	0	152,000	152,000	0	0	155,500	155,500
Total Social Work	\$3,364,200	\$0	\$531,400	\$3,895,600	\$3,445,100	\$0	\$531,800	\$3,976,900
Libraries								
Administration	\$9,769,500	\$0	\$303,200	\$10,072,700	\$10,013,300	\$0	\$358,900	\$10,372,200
Collections and Operations	6,113,000	0	4,214,400	10,327,400	6,023,500	0	4,494,900	10,518,400
Medical Center Library	3,074,400	0	26,500	3,100,900	3,083,300	0	23,600	3,106,900
Student Aid	0	0	26,500	26,500	0	0	27,600	27,600
Total Libraries	\$18,956,900	\$0	\$4,570,600	\$23,527,500	\$19,120,100	\$0	\$4,905,000	\$24,025,100

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED		UNRESTRICTED		RESTRICTED		TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds			
PROVOST (cont.)									
Multidisciplinary Graduate Programs									
Center on Public Administration	\$959,300	\$0	\$0	\$959,300	\$987,800	\$0	\$0	\$987,800	
Center on Public Policy	300,000	0	0	300,000	216,000	0	0	216,000	
Extended Campus Graduate Centers	15,000	0	0	15,000	0	0	0	0	
General Academic Support	1,720,100	0	0	1,720,100	1,946,300	0	0	1,946,300	
Graduate School	2,075,900	0	15,800	2,091,700	2,093,600	0	17,600	2,111,200	
Graduate School Research Challenge									
Trust Fund Student Aid	2,641,300	0	0	2,641,300	2,641,300	0	0	2,641,300	
James W. Martin School of Public Policy and Administration	1,092,100	0	129,500	1,221,600	1,093,600	0	124,000	1,217,600	
Patterson School of Diplomacy and International Commerce	776,100	0	327,200	1,103,300	756,900	0	302,400	1,059,300	
Student Aid - Graduate Centers	0	0	360,100	360,100	0	0	487,000	487,000	
Student Aid - Graduate School	2,286,100	0	717,300	3,003,400	2,284,600	0	760,600	3,045,200	
Total Multidisciplinary Graduate Programs	\$11,865,900	\$0	\$1,549,900	\$13,415,800	\$12,020,100	\$0	\$1,691,600	\$13,711,700	
Office of the Provost									
Academic Administration	\$1,438,300	\$0	\$22,100	\$1,460,400	\$1,558,900	\$0	\$21,100	\$1,580,000	
Academic Ombud	85,700	0	0	85,700	87,400	0	0	87,400	
Administration	725,900	0	1,039,600	1,765,500	759,100	0	1,084,900	1,844,000	
Art Museum	647,700	0	294,600	942,300	667,700	0	354,800	1,022,500	
Benefits Reserve	0	0	0	0	0	0	0	0	
Business Plan and Diverse Faculty Lines	843,500	0	0	843,500	752,400	0	0	752,400	
Faculty Affairs	404,900	0	0	404,900	414,600	0	0	414,600	
Faculty Catch-up and Retention Pool	1,273,000	0	0	1,273,000	1,138,700	0	0	1,138,700	
Faculty Development	87,500	0	0	87,500	87,500	0	0	87,500	
General Education Enhancement Initiatives	1,414,600	0	0	1,414,600	0	0	0	0	
Institutional Research, Planning, and Effectiveness	1,057,100	0	0	1,057,100	1,088,400	0	0	1,088,400	
Program Reserve	1,715,200	0	0	1,715,200	1,290,600	0	0	1,290,600	
Provost Budget Office	925,800	0	0	925,800	917,600	0	0	917,600	

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED		UNRESTRICTED		RESTRICTED		TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds			
PROVOST (cont.)									
Office of the Provost (cont.)									
Residuals	\$1,000,000	\$0	\$0	\$1,000,000	\$1,000,000	\$0	\$0	\$1,000,000	
Resource Management	427,400	0	0	427,400	440,700	0	0	440,700	
Teaching Innovation and Incentive Fund	0	0	0	0	4,000,000	0	0	4,000,000	
Total Office of the Provost	\$12,046,600	\$0	\$1,356,300	\$13,402,900	\$14,203,600	\$0	\$1,460,800	\$15,664,400	
Center for Clinical Translational Sciences	\$569,400	\$0	\$0	\$569,400	\$588,100	\$0	\$0	\$588,100	
Enrollment Management									
Administration	\$901,500	\$0	\$0	\$901,500	\$794,300	\$0	\$1,000	\$795,300	
Registrar	5,405,200	0	50,300	5,455,500	4,790,500	0	50,000	4,840,500	
Scholarship Office	267,500	0	0	267,500	278,100	0	0	278,100	
Student Aid - Administration	1,323,800	0	0	1,323,800	1,368,600	0	0	1,368,600	
Student Aid - Programs	33,600	0	5,061,100	5,094,700	33,600	0	4,736,400	4,770,000	
Student Aid - Student Loan and Work Study									
Matching Program	271,500	0	0	271,500	271,500	0	0	271,500	
Student Billing Services	1,168,500	0	0	1,168,500	1,161,000	0	0	1,161,000	
Summer School	3,719,000	0	0	3,719,000	3,710,800	0	0	3,710,800	
Total Enrollment Management	\$13,090,600	\$0	\$5,111,400	\$18,202,000	\$12,408,400	\$0	\$4,787,400	\$17,195,800	
Institutional Diversity									
Office of the Vice President	\$930,800	\$0	\$37,500	\$968,300	\$931,900	\$0	\$49,000	\$980,900	
Learning Services Center	489,200	0	0	489,200	501,800	0	0	501,800	
Minority Student Affairs	125,400	0	0	125,400	127,100	0	0	127,100	
MLK Cultural Center	203,700	0	0	203,700	205,600	0	0	205,600	
Recruitment and Retention	84,900	0	0	84,900	86,900	0	0	86,900	
Student Aid	0	0	273,700	273,700	0	0	256,100	256,100	
Total Institutional Diversity	\$1,834,000	\$0	\$311,200	\$2,145,200	\$1,853,300	\$0	\$305,100	\$2,158,400	

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
PROVOST (cont.)								
Office of International Affairs								
Office of the Director	\$596,000	\$0	\$0	\$596,000	\$627,500	\$0	\$0	\$627,500
International Student Services	457,300	0	0	457,300	470,100	0	200	470,300
International Study Abroad and Exchange Programs	2,984,800	0	0	2,984,800	3,452,100	0	0	3,452,100
Student Aid - Study Abroad	594,000	0	0	594,000	619,000	0	31,700	650,700
Total Office of International Affairs	\$4,632,100	\$0	\$0	\$4,632,100	\$5,168,700	\$0	\$31,900	\$5,200,600
Partnership Institute for Mathematics and Science Reform	\$1,541,700	\$0	\$16,000	\$1,557,700	\$1,114,500	\$0	\$5,000	\$1,119,500
Student Affairs								
Office of the Vice President	\$1,145,400	\$3,600	\$33,700	\$1,182,700	\$1,175,400	\$3,500	\$49,400	\$1,228,300
Campus Recreation	2,259,800	0	1,400	2,261,200	2,414,300	0	1,600	2,415,900
Counseling and Testing	1,083,800	0	12,500	1,096,300	1,153,200	0	12,800	1,166,000
Dean of Students	1,489,700	0	135,200	1,624,900	1,532,300	0	165,500	1,697,800
Dining Services - Catering	0	1,600,000	0	1,600,000	0	1,740,000	0	1,740,000
Dining Services - Operations	0	16,156,000	0	16,156,000	0	18,757,900	0	18,757,900
Mandatory Transfers	0	66,000	0	66,000	0	66,000	0	66,000
Residence Life	3,933,500	324,900	9,000	4,267,400	4,257,400	458,800	13,300	4,729,500
Student Activities Leadership and Involvement	270,700	2,576,500	50,000	2,897,200	265,200	2,812,800	32,700	3,110,700
Student Aid	10,500	0	67,400	77,900	10,500	0	69,000	79,500
Student Center	107,800	3,716,900	4,500	3,829,200	111,300	4,436,800	4,600	4,552,700
Student Publications	157,100	85,000	0	242,100	161,800	55,400	0	217,200
Total Student Affairs	\$10,458,300	\$24,528,900	\$313,700	\$35,300,900	\$11,081,400	\$28,331,200	\$348,900	\$39,761,500

Expenses by Colleges and Units

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL	
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds			
PROVOST (cont.)								
Student Financial Aid - Central								
2020 Scholars Program	\$3,923,900	\$0	\$0	\$3,923,900	\$4,062,600	\$0	\$0	\$4,062,600
Academic Competitiveness Grant	0	0	700,000	700,000	0	0	0	0
Catalyst Scholarships	787,000	0	0	787,000	834,300	0	0	834,300
College Access Program Grant	0	0	2,830,400	2,830,400	0	0	3,184,100	3,184,100
College Work Study Program	0	0	920,000	920,000	0	0	833,000	833,000
Commonwealth Scholarships	2,291,600	0	0	2,291,600	2,416,500	0	0	2,416,500
Diversity Scholarships	8,909,800	0	0	8,909,800	9,641,300	0	0	9,641,300
Governor's Scholar/Governor's School for the Arts Scholarships	7,367,800	0	0	7,367,800	8,192,700	0	0	8,192,700
Graduate School Scholarships	11,360,700	0	0	11,360,700	12,297,500	0	0	12,297,500
Legacy Tuition Scholarships	1,009,500	0	0	1,009,500	1,200,000	0	0	1,200,000
National Science and Math Access to Retain Talent (SMART)	0	0	425,000	425,000	0	0	0	0
Other Student Aid	3,121,100	0	837,500	3,958,600	3,355,200	0	543,700	3,898,900
Pell Grants	0	0	15,500,000	15,500,000	0	0	19,500,000	19,500,000
President/Provost Scholarships	3,166,900	0	0	3,166,900	4,118,700	0	0	4,118,700
Supplemental Educational Opportunity Grants	0	0	800,000	800,000	0	0	745,000	745,000
Teach Grant Program	0	0	30,000	30,000	0	0	40,000	40,000
Undergraduate Scholarships - Fund for Excellence	250,000	0	0	250,000	238,700	0	0	238,700
University Scholarships	10,294,900	0	0	10,294,900	11,365,000	0	0	11,365,000
Total Student Financial Aid - Central	\$52,483,200	\$0	\$22,042,900	\$74,526,100	\$57,722,500	\$0	\$24,845,800	\$82,568,300

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED		UNRESTRICTED		RESTRICTED		TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds			
PROVOST (cont.)									
Undergraduate Education									
Advising and Transfer Center	\$952,200	\$0	\$0	\$952,200	\$974,300	\$0	\$0	\$974,300	
Associate Provost for Undergraduate Studies	1,605,800	0	0	1,605,800	1,673,800	0	0	1,673,800	
Chellgren Center	194,800	0	394,600	589,400	198,400	0	310,300	508,700	
Debate Program	329,700	0	42,700	372,400	329,100	0	38,200	367,300	
Experiential Education	818,800	0	109,500	928,300	874,100	0	70,800	944,900	
Honors Program	508,800	0	35,200	544,000	515,400	0	37,700	553,100	
Humanities Program	207,100	0	144,800	351,900	212,000	0	146,500	358,500	
Library - Humanities	0	0	1,000	1,000	0	0	1,000	1,000	
Robinson Scholars Program	130,300	0	149,900	280,200	134,600	0	210,900	345,500	
Robinson Scholars Scholarships	1,000,000	0	0	1,000,000	1,000,000	0	0	1,000,000	
Student Aid	3,900	0	120,000	123,900	3,900	0	351,400	355,300	
Total Undergraduate Education	\$5,751,400	\$0	\$997,700	\$6,749,100	\$5,915,600	\$0	\$1,166,800	\$7,082,400	
University Engagement									
University Engagement	\$589,800	\$0	\$1,100	\$590,900	\$607,000	\$0	\$1,100	\$608,100	
Japanese Programs	326,700	0	15,100	341,800	326,600	0	15,100	341,700	
Total University Engagement	\$916,500	\$0	\$16,200	\$932,700	\$933,600	\$0	\$16,200	\$949,800	
University Press									
Administration and Operations	\$2,619,100	\$0	\$162,200	\$2,781,300	\$2,631,600	\$0	\$150,100	\$2,781,700	
Student Aid	0	0	6,000	6,000	0	0	6,000	6,000	
Total University Press	\$2,619,100	\$0	\$168,200	\$2,787,300	\$2,631,600	\$0	\$156,100	\$2,787,700	
TOTAL PROVOST	\$675,500,300	\$28,101,200	\$147,277,000	\$850,878,500	\$711,616,200	\$31,890,300	\$145,966,500	\$889,473,000	

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
RESEARCH								
African American Studies and Research Program	\$95,200	\$0	\$0	\$95,200	\$96,300	\$0	\$0	\$96,300
Appalachian Center	257,000	0	2,000	259,000	249,400	0	33,600	283,000
Center for Applied Energy Research	5,499,500	0	25,000	5,524,500	5,238,500	0	15,000	5,253,500
Center for Computational Sciences								
Center for Computational Sciences	680,600	0	37,300	717,900	647,300	0	37,300	684,600
Computational Sciences Professorship	58,600	0	0	58,600	58,600	0	0	58,600
Center of Membrane Sciences								
Center of Membrane Sciences	84,100	0	0	84,100	85,700	0	0	85,700
Student Aid	0	0	15,000	15,000	0	0	0	0
Center for Research on Violence Against Women	448,600	0	89,500	538,100	487,300	0	86,500	573,800
Division of Laboratory Animal Resources	0	60,000	0	60,000	0	867,600	0	867,600
Interdisciplinary Human Development Institute	597,100	0	5,900	603,000	394,800	25,900	5,700	426,400
Kentucky Geological Survey	4,132,800	0	2,100	4,134,900	4,214,900	70,000	2,100	4,287,000
Kentucky Water Resources Research Institute	273,000	0	500	273,500	278,400	0	400	278,800
Research Administration and Program Support								
Administration	529,500	0	9,100	538,600	554,800	0	9,200	564,000
General Research Support	2,477,400	0	0	2,477,400	2,027,800	349,200	0	2,377,000
Research Equipment Maintenance	1,451,200	0	0	1,451,200	1,318,000	0	0	1,318,000
Sponsored Projects Administration	5,531,900	0	0	5,531,900	5,873,600	0	0	5,873,600
Student Aid	120,000	0	10,000	130,000	120,000	0	10,000	130,000
Survey Research Center	24,200	300,000	0	324,200	24,200	300,000	0	324,200
Tracy Farmer Institute for Sustainability and the Environment	15,000	0	153,600	168,600	11,500	0	263,000	274,500
UK Clinical Research Organization	8,500	0	0	8,500	20,000	909,100	0	929,100
TOTAL RESEARCH	\$22,284,200	\$360,000	\$350,000	\$22,994,200	\$21,701,100	\$2,521,800	\$462,800	\$24,685,700

Expenses by Colleges and Units

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL	
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds			
UNIVERSITY-WIDE								
Annual Giving Program	\$282,800	\$0	\$0	\$282,800	\$282,800	\$0	\$0	\$282,800
Common Insurance Funds	3,227,000	0	0	3,227,000	3,600,000	0	0	3,600,000
Family Education Program	1,576,200	0	0	1,576,200	1,576,200	0	0	1,576,200
General Expenses	2,005,200	0	0	2,005,200	2,015,100	0	0	2,015,100
General Liability/Auto Liability	2,875,900	0	0	2,875,900	3,600,000	0	0	3,600,000
General University Reserve	7,926,100	0	0	7,926,100	9,698,600	0	0	9,698,600
Institutional Dues and Memberships	150,000	0	0	150,000	150,000	0	0	150,000
Instructional Equipment	1,000,000	0	0	1,000,000	1,000,000	0	0	1,000,000
Mandatory Transfers	17,711,000	0	0	17,711,000	17,825,200	0	0	17,825,200
Minority Recruitment	400,000	0	0	400,000	400,000	0	0	400,000
Operating and Capital Projects	116,436,300	0	0	116,436,300	103,411,600	0	0	103,411,600
Professional Liability Insurance	371,000	0	0	371,000	402,000	0	0	402,000
Program Improvement Fund	598,400	0	0	598,400	1,000,000	0	0	1,000,000
Special Projects Reserve	118,400	0	0	118,400	118,400	0	0	118,400
Student Aid	88,500	0	0	88,500	88,500	0	0	88,500
University Audit	181,400	0	0	181,400	181,400	0	0	181,400
TOTAL UNIVERSITY WIDE	\$154,948,200	\$0	\$0	\$154,948,200	\$145,349,800	\$0	\$0	\$145,349,800

Expenses by Colleges and Units

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
<u>UK AFFILIATED CORPORATIONS</u>								
Central Kentucky Management Services, Inc.	\$7,007,800	\$0	\$0	\$7,007,800	\$7,196,100	\$0	\$0	\$7,196,100
The Fund for Advancement of Education and Research in the University of Kentucky Medical Center	6,325,500	0	4,404,100	10,729,600	6,204,200	0	5,676,200	11,880,400
UK Athletic Association	0	75,712,600	0	75,712,600	0	79,692,600	0	79,692,600
UK Center on Aging Foundation, Inc.	0	0	200,700	200,700	0	0	200,500	200,500
UK Gluck Equine Research Foundation, Inc.	0	0	526,000	526,000	0	0	378,500	378,500
UK Humanities Foundation, Inc.	0	0	109,500	109,500	0	0	84,600	84,600
UK Mining Engineering Foundation, Inc.	0	0	80,000	80,000	0	0	69,400	69,400
UK Research Foundation	29,193,300	0	261,788,800	290,982,100	27,927,600	0	247,206,400	275,134,000
TOTAL UK AFFILIATED CORPORATIONS	\$42,526,600	\$75,712,600	\$267,109,100	\$385,348,300	\$41,327,900	\$79,692,600	\$253,615,600	\$374,636,100
GRAND TOTAL	\$1,919,340,100	\$155,918,100	\$424,563,800	\$2,499,822,000	\$2,094,569,700	\$167,314,600	\$412,884,100	\$2,674,768,400

Executive Vice President for Finance and Administration

The Executive Vice President for Finance and Administration (EVPFA) provides executive management, coordination, and support for all areas of University administration, including:

- Campus Services
- Facilities Management
- Human Resource Services
- Information Technology
- Internal Audit
- The Office of Institutional Equity and Equal Opportunity
- The Office of the Treasurer
- The University Budget Office

Executive Vice President for Finance and Administration

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	413,200	0	0	413,200	519,800	0	0	519,800
Other	105,900	0	0	105,900	0	0	0	0
Fringe Benefits	134,600	0	0	134,600	167,200	0	0	167,200
Total Personnel Services	653,700	0	0	653,700	687,000	0	0	687,000
Operating Expenses	278,500	0	39,500	318,000	206,600	0	46,400	253,000
Capital Outlay	10,000	0	0	10,000	10,000	0	0	10,000
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$942,200	\$0	\$39,500	\$981,700	\$903,600	\$0	\$46,400	\$950,000

Campus Services

The Assistant Vice President for Campus Services is responsible for promoting a safe and accessible campus. Divisions of Campus Services, along with major initiatives for Fiscal Year 2011-12, are:

- The Office of Emergency Management coordinates disaster preparedness, mitigation, response, and recovery activities. For 2011-12:
 - Establish University-wide Emergency Operations Planning Committee
 - Complete initial phase of installation of outdoor early warning siren/speaker tower
 - Ensure completion of building emergency action plans for all buildings
- Environmental Health and Safety minimizes health, environmental, and regulatory risks. Departments include the University Fire Marshal Office, Occupational Health and Safety, Radiation Safety, Environmental Management, and Biological Safety.

For 2011-12:

- Implement comprehensive electronic chemical inventory system and strengthen compliance with federal Occupational Safety and Health Administration and Department of Homeland Security requirements
- Initiate Safe Patient Handling Program to reduce UK HealthCare employee injuries
- Assess levels of lead in University housing and child-occupied facilities
- Parking and Transportation Services promotes safe and reasonable access for faculty, staff, students, and visitors. For 2011-12:
 - Purchase replacement buses with engines that exceed current life expectations and include 'low floor' rider access
 - Install Global Positioning System on passenger buses and parking control vehicles to determine most effective route service and

offer riders up-to-the-second location of buses, available by computer or smart phone

- Enhance alternative transportation initiatives such as bike racks and bike lanes, pedestrian access, mass transit, car sharing, and car pooling
- The University Police Department promotes a safe and secure environment for students, faculty, staff, and visitors and is responsible for the planning, implementation, and coordination of the University's public safety program. For 2011-12:
 - Organize security services under the University Police Department.
 - Expand surveillance camera operations
 - Exceed nationally-recognized training requirements for law enforcement officials
 - Increase programs to better inform University stakeholders on safe practices

Campus Services

Campus Services

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	2,856,900	5,605,500	0	8,462,400	2,924,000	6,246,000	0	9,170,000
Other	426,600	297,200	0	723,800	436,400	269,700	0	706,100
Fringe Benefits	1,060,200	2,103,200	0	3,163,400	1,098,400	2,367,600	0	3,466,000
Total Personnel Services	4,343,700	8,005,900	0	12,349,600	4,458,800	8,883,300	0	13,342,100
Operating Expenses	979,100	2,752,100	0	3,731,200	979,700	2,896,400	0	3,876,100
Capital Outlay	0	1,577,800	0	1,577,800	0	1,452,400	0	1,452,400
Recharges/Pass Thru	(300,000)	(5,108,100)	0	(5,408,100)	(361,600)	(5,664,600)	0	(6,026,200)
Total	\$5,022,800	\$7,227,700	\$0	\$12,250,500	\$5,076,900	\$7,567,500	\$0	\$12,644,400
Mandatory Transfers	0	3,468,300	0	3,468,300	0	3,470,300	0	3,470,300
TOTAL FUNDS	\$5,022,800	\$10,696,000	\$0	\$15,718,800	\$5,076,900	\$11,037,800	\$0	\$16,114,700

Facilities Management

The Vice President for Facilities Management oversees planning, constructing, operating, and maintaining the physical assets of the University, including buildings, grounds, roads, and utility systems. Divisions of Facilities Management:

- The Physical Plant Divisions (Campus and UK HealthCare) handle all maintenance and operations of buildings, hospitals, clinics, grounds, roads, sidewalks, and central utility plants and lines. Services include routine and preventive maintenance, building repairs, custodial functions, building renovations, and related services.
- The Capital Project Management Division oversees all major building projects from design through the building phase and provides interior design services for renovations and building improvements.
- Auxiliary Services Division oversees residence halls and graduate and family apartments; bookstore operations; duplicating and copier

operations; central facilities stores; the post office (including bulk mail services); creative graphics services; and the central key shop. Auxiliary Services also conducts the annual College of Business Management Institute for over 700 business officers from across the United States.

- Real Estate Services Division oversees off-campus leases, maintenance of certain University-owned real estate, and property acquisition and disposal.

Plans for Fiscal Year 2011-12:

- Hire Physical Plant Director
- Implement:
 - Training program for new trades and maintenance employees
 - Custodial Efficiency, Staffing, Quality Improvement Study project
- Complete:
 - Energy Management project
 - Hospital Surgery Suites
 - Hospital Data Center

- Center for Applied Energy Research building
- Top two floors of Biological-Pharmaceutical Building
- Wildcat Coal Lodge
- Track and Field replacement
- Commence:
 - New Housing project
 - Student Center renovations
- Implement Department-wide focus on deferred maintenance and capital renewal by:
 - Increasing funding
 - Updating critical inventory
 - Developing long-range strategy to address escalating needs
 - Continuing implementation of SAP Preventive Maintenance program
- Continue restoring and updating Facilities Management records and building space data project
- Continue reducing Facilities Management Supply Center inventories and move to “just-in-time” delivery

Facilities Management

Facilities Management

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	15,558,700	20,051,200	0	35,609,900	15,974,300	21,438,400	0	37,412,700
Other	3,410,300	756,900	0	4,167,200	3,784,600	817,900	0	4,602,500
Fringe Benefits	5,643,500	8,371,900	0	14,015,400	5,866,000	9,017,700	0	14,883,700
Total Personnel Services	24,612,500	29,180,000	0	53,792,500	25,624,900	31,274,000	0	56,898,900
Operating Expenses	30,122,200	48,244,600	42,200	78,409,000	33,458,700	59,348,800	42,200	92,849,700
Capital Outlay	50,000	0	0	50,000	50,000	1,065,500	0	1,115,500
Recharges/Pass Thru	(10,000)	(57,749,600)	0	(57,759,600)	0	(70,260,800)	0	(70,260,800)
Total	\$54,774,700	\$19,675,000	\$42,200	\$74,491,900	\$59,133,600	\$21,427,500	\$42,200	\$80,603,300
Mandatory Transfers	0	5,273,000	0	5,273,000	656,500	5,390,200	0	6,046,700
TOTAL FUNDS	\$54,774,700	\$24,948,000	\$42,200	\$79,764,900	\$59,790,100	\$26,817,700	\$42,200	\$86,650,000

Human Resource Services

The Associate Vice President for Human Resources (HR) manages all University employee-related functions and services, including oversight of HR Policies and Procedures; employment [including the Student and Temporary Employee Placement Service (STEPS)]; employee relations; compensation programs; employee records; employee training and development; benefits; work-life; elder care; unemployment; and health and wellness programs. The mission of HR is to promote equitable policies and practices, provide guidance on HR decisions in support of the University's mission, and exceed customer expectations.

Human Resources efforts are focused primarily on Goal 3 of the UK Strategic Plan 2009-2014 – “Develop the human and physical resources of the University to achieve the institution’s Top 20 Goals” - and in particular Objectives 3.1-3.6. Additional efforts also are aligned with Goals 1, 2, 4 and 5 of the Strategic Plan.

Human Resource Services

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	4,816,200	599,500	0	5,415,700	5,320,500	634,200	0	5,954,700
Other	687,800	11,213,100	0	11,900,900	838,200	12,597,900	0	13,436,100
Fringe Benefits	1,624,200	1,163,900	0	2,788,100	1,814,500	1,355,700	0	3,170,200
Total Personnel Services	7,128,200	12,976,500	0	20,104,700	7,973,200	14,587,800	0	22,561,000
Operating Expenses	1,501,700	698,200	600	2,200,500	1,512,500	768,100	500	2,281,100
Capital Outlay	65,700	0	0	65,700	65,700	0	0	65,700
Recharges/Pass Thru	(3,520,200)	(13,674,400)	0	(17,194,600)	(4,272,100)	(14,923,000)	0	(19,195,100)
Total	\$5,175,400	\$300	\$600	\$5,176,300	\$5,279,300	\$432,900	\$500	\$5,712,700

Information Technology

The Chief Information Officer (CIO) manages the University's information technology functions, including seven major units:

- Enterprise Applications Group (EAG) is responsible for planning, operating, and maintaining administrative applications for finance, human resources, student life-cycle management, plant maintenance, materials management, myUK portal, the data warehouse, institutional reporting, informatics, mobile-portal-workflow, and associated end-user training.
- Infrastructure Operations Group (IOG) is responsible for Communications and Network Systems delivering telecommunications service needs, including University-wide voice, data, and video communications systems. IOG also

is responsible for electronic mail, calendar scheduling, database administration, shared file and print services, electronic library system, electronic print management system, Data Center operations, storage infrastructure, and the research computing infrastructure.

- Customer Experience Operations Group (CEO) is responsible for computer labs for students, the Customer Service Center (which includes the Help Desk), and desktop technical services.
- Planning, Administration, and Finance Group (PAF) is responsible for IT budget and finance administration; planning, project management, business process analysis, IT communications, and public relations; and Information Technology Infrastructure Library quality programs.

- Architecture, Innovation, and Experience Design Group (AIG) is responsible for enterprise architecture, innovation strategies, the Site Lab, the University Web Site, and security policy and monitoring.
- Academic Technology Group (ATG) is responsible for instructional technology; graphics and multimedia production; learning management systems; Blackboard content management system; and Social Sciences Teaching and Research Statistics (SSTARS) Center.
- Business Process Improvement and Strategic Projects (BPI) is responsible for providing leadership for enterprise-wide business process improvement projects and key strategic IT projects.

Information Technology

	2010-11 Revised Budget			2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds		
Personnel Services							
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	9,942,000	4,076,100	0	10,677,200	4,235,200	0	14,912,400
Other	1,013,700	555,800	0	801,500	601,300	0	1,402,800
Fringe Benefits	3,262,500	1,364,300	0	3,505,300	1,400,100	0	4,905,400
Total Personnel Services	14,218,200	5,996,200	0	14,984,000	6,236,600	0	21,220,600
Operating Expenses	4,976,100	12,585,400	0	4,997,100	16,318,000	0	21,315,100
Capital Outlay	2,547,900	0	0	2,397,900	5,022,900	0	7,420,800
Recharges/Pass Thru	(176,000)	(18,581,600)	0	(176,100)	(27,577,500)	0	(27,753,600)
Total	\$21,566,200	\$0	\$0	\$22,202,900	\$0	\$0	\$22,202,900

Institutional Equity and Equal Opportunity

The Associate Vice President for Institutional Equity and Equal Opportunity, the Assistant Vice President for Equal Opportunity, the Technical Compliance Officer, and the Office Administrative Assistant are responsible for monitoring provision of equal opportunity for all members of the University community in all areas of instruction, research, service, and employment. The Institutional Equity and Equal Opportunity Office also develops and disseminates the University's Affirmative Action Plan; insures compliance with federal and state statutes and regulations; serves as the institutional equal opportunity representative to the Kentucky Council on Postsecondary Education; investigates and trains the University community regarding

discriminatory issues; and fosters a diverse and inclusive learning and working environment.

In FY 2011-2012 the Institutional Equity and Equal Opportunity Office will continue timely and effective management of all phases of the University's commitment to provision of equal opportunity. The Office also will coordinate development of a new campus-wide diversity plan and provide leadership that addresses changes to federal regulations regarding accessibility for individuals with disabilities.

Institutional Equity and Equal Opportunity

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	263,500	0	0	263,500	271,400	0	0	271,400
Other	0	0	0	0	0	0	0	0
Fringe Benefits	85,000	0	0	85,000	87,700	0	0	87,700
Total Personnel Services	348,500	0	0	348,500	359,100	0	0	359,100
Operating Expenses	2,700	0	0	2,700	2,700	0	0	2,700
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$351,200	\$0	\$0	\$351,200	\$361,800	\$0	\$0	\$361,800

Internal Audit

The Internal Audit Department provides auditing and consulting services to all entities and subsidiaries of the University. The Department assists the University administration and the Board of Trustees in the effective discharge of their fiduciary and administrative responsibilities by providing independent, objective assurance and consulting services with respect to evaluating risk management, control, and governance processes. Internal Audit furnishes management with analyses, recommendations, counsel, and information regarding the activities examined.

Internal Audit provides the following services to the University:

- Core review is a preventative measure that evaluates key control procedures and is designed to provide reasonable assurance to senior administrators and the Board of Trustees that management's objectives are being met.
- Investigation is a procedure to document the occurrence of theft or misappropriation (e.g. employee theft) that has occurred and the associated corrective action to be implemented by management.
- Compliance review is a detective measure used to provide verification that key control procedures are in place and working as management intended.
- Advisory reviews serve as awareness and consulting reports that have been requested by management or result from analysis of trends.
- Information technology reviews are conducted to evaluate the quality of the controls and safeguards over the information technology resources of the University.

Internal Audit

	2010-11 Revised Budget			2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds		
Personnel Services							
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	589,400	0	0	593,800	0	0	593,800
Other	3,100	0	0	8,600	0	0	8,600
Fringe Benefits	191,800	0	0	192,700	0	0	192,700
Total Personnel Services	784,300	0	0	795,100	0	0	795,100
Operating Expenses	27,100	0	0	27,000	0	0	27,000
Capital Outlay	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0
Total	\$811,400	\$0	\$0	\$822,100	\$0	\$0	\$822,100

Purchasing

The Purchasing Division is responsible for managing the procurement process for all academic, administrative, and health care departments at the University. It is comprised of three units: Central Purchasing, Capital Construction Purchasing, and Hospital Purchasing.

The Division performs the following activities:

- Identifies and maintains sources of supply to ensure maximum value and meet the procurement needs of all departments

- Assists departments with major acquisitions by conducting public bid solicitations and establishing contracts
- Conducts procurement processes in a fair and impartial manner
- Maintains compliance with prevailing state laws and University policies and procedures
- Oversees procurement efforts to ensure they are conducted with integrity, efficiency, and accountability

Purchasing

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	1,367,600	0	0	1,367,600	1,394,200	0	0	1,394,200
Other	0	0	0	0	0	0	0	0
Fringe Benefits	449,600	0	0	449,600	461,400	0	0	461,400
Total Personnel Services	1,817,200	0	0	1,817,200	1,855,600	0	0	1,855,600
Operating Expenses	69,400	0	0	69,400	69,500	0	0	69,500
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$1,886,600	\$0	\$0	\$1,886,600	\$1,925,100	\$0	\$0	\$1,925,100

Treasurer

The Office of the Treasurer is responsible for effective stewardship of the University's resources in support of the University's mission. The Office of the Treasurer serves the University community by:

- Establishing and maintaining official accounting systems, records, and related systems of internal control in accordance with generally accepted accounting principles and with the requirements of the Commonwealth of Kentucky
- Providing stewardship and accountability for institutional assets and all financial resources received and used

The Office provides leadership, education, and assistance for effective financial management to all academic and administrative units and manages and

dispenses financial resources in accordance with applicable policies, restrictions, regulations, and laws.

The Office has University-wide responsibilities for:

- treasury, investments, banking services, payroll, accounts payable, travel program, post-award grants, and contracts accounting; and for reporting long-term debt, property records, and risk management functions
- accounting and preparation of internal and external financial reports, including the general purpose financial statements
- coordination and oversight of the annual external (independent) audits

The Office's specific goals, which are in addition to the normal work-plan, responsibilities, and continuous

improvement efforts include:

- Providing opportunities to develop selected UK students to prepare for leading roles in an innovation-driven economy and global society
- Providing leadership to promote a culture of compliance
- Continually improving the services provided to the University research community
- Promoting stewardship and enhancement of the University's financial resources to support the development of the human and physical resources of the University
- Promoting diversity and inclusion
- Improving the quality of life of Kentuckians through engagement, outreach, and service

Treasurer

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	4,685,800	0	0	4,685,800	4,735,500	0	0	4,735,500
Other	36,600	0	0	36,600	16,600	0	0	16,600
Fringe Benefits	1,571,300	0	0	1,571,300	1,599,400	0	0	1,599,400
Total Personnel Services	6,293,700	0	0	6,293,700	6,351,500	0	0	6,351,500
Operating Expenses	227,500	0	230,000	457,500	174,500	0	230,000	404,500
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	(448,300)	0	0	(448,300)	(457,200)	0	0	(457,200)
Total	\$6,072,900	\$0	\$230,000	\$6,302,900	\$6,068,800	\$0	\$230,000	\$6,298,800

University Budget Office

The Vice President for Financial Operations and Treasurer is responsible for coordinating University-wide:

- Resource Planning, including assisting in the implementation of the University's Top 20 Business Plan and Strategic Plan and coordinating the Capital Plan and biennial capital request, as well as monitoring and developing responses to state and national socioeconomic, political, and educational trends that have an impact on Kentucky's postsecondary education system in general and the University in particular
- Budgeting activities, including coordinating the development, implementation, and monitoring of the University's annual Operating and Capital Budgets; working with the Council on Postsecondary Education on the biennial budget request; and analyzing financial data for institutional decision-making

University Budget Office

	2010-11 Revised Budget			2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds		
Personnel Services							
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	835,300	0	0	950,800	0	0	950,800
Other	0	0	0	3,700	0	0	3,700
Fringe Benefits	267,700	0	0	307,500	0	0	307,500
Total Personnel Services	1,103,000	0	0	1,262,000	0	0	1,262,000
Operating Expenses	44,900	0	0	44,900	0	0	44,900
Capital Outlay	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0
Total	\$1,147,900	\$0	\$0	\$1,306,900	\$0	\$0	\$1,306,900

UK HealthCare – Continuing Education

Continuing Education provides educational support to the colleges of Medicine and Pharmacy as well as educational support to clinical operations. It will be focused on continuing to reduce operational costs and integrate resources to be more cost effective as well as better balance its external versus internal funding resources.

UK HealthCare - Continuing Education

	2010-11 Revised Budget			2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds		
Personnel Services							
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	628,200	0	0	431,100	0	0	431,100
Other	9,000	0	0	0	0	0	0
Fringe Benefits	221,200	0	0	73,000	0	0	73,000
Total Personnel Services	858,400	0	0	504,100	0	0	504,100
Operating Expenses	3,805,100	0	0	211,400	0	0	211,400
Capital Outlay	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0
Total	\$4,663,500	\$0	\$0	\$715,500	\$0	\$0	\$715,500

UK HealthCare - Corporate

The UK HealthCare - Corporate budget for FY 2011-12 reflects the administrative, financial, marketing, development, information technology, quality and process improvement, service excellence, health information management, patient access, employee engagement, and legal support provided to all of the UK HealthCare system.

information systems, patient access, health information management, space planning, and supply chain initiatives.

These specific services are provided to enable the participating entities to better manage their operations and financial systems. This also has led to standardization with budgeting, marketing,

UK HealthCare - Corporate

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	43,117,700	0	0	43,117,700	61,249,400	0	0	61,249,400
Other	0	0	0	0	369,300	0	0	369,300
Fringe Benefits	13,360,800	0	0	13,360,800	17,393,100	0	0	17,393,100
Total Personnel Services	56,478,500	0	0	56,478,500	79,011,800	0	0	79,011,800
Operating Expenses	220,299,400	0	0	220,299,400	223,737,400	0	0	223,737,400
Capital Outlay	992,100	0	0	992,100	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$277,770,000	\$0	\$0	\$277,770,000	\$302,749,200	\$0	\$0	\$302,749,200
Mandatory Transfers	40,684,400	0	0	40,684,400	43,811,700	0	0	43,811,700
TOTAL FUNDS	\$318,454,400	\$0	\$0	\$318,454,400	\$346,560,900	\$0	\$0	\$346,560,900

UK HealthCare – Chandler Hospital

The Chandler Hospital budget for FY 2011-12 reflects the following emphases:

- Staff salary enhancements to maintain competitive salaries
- Additional growth driven by strategic initiatives in Cardiology, Hematology/Oncology, Neurosciences, Orthopedics, Cardio-Thoracic Surgery, Transplant, Pediatrics, and Outreach
- Opening of new Pavilion facility structural and operational support
- Investments in College of Medicine departments as well as increased purchased services
- Cost efficient operations
- Maintenance and enhancement of state-of-the-art facilities and equipment
- Expansion of information systems thru Ambulatory Registration/Scheduling system

The Chandler Hospital supports strategic initiatives that show potential growth and opportunity in conjunction with numerous College of Medicine departments and services related to health care. The budget also includes additional investments in numerous College departments including Pediatrics, Medicine, Surgery, Radiation Oncology, Radiology, and Anesthesiology. In addition, the Chandler Hospital provides support for residency training programs in the colleges of Medicine, Dentistry, and Pharmacy.

The FY 2011-12 operating budget reflects a continuing commitment to the Integrated Clinical Information System, which supports both patient management protocols and strategies for improved clinical outcomes and more cost-effective care. Additionally, significant emphasis is placed on management information systems in order to provide data necessary to continually analyze and examine ways to improve

operations.

The Chandler Hospital continues to be engaged in the development of expanded delivery systems and managed care products. These efforts provide a patient pool for the Hospital System, Kentucky Clinic, Kentucky Clinic South, and the Polk-Dalton Clinic. These programs, combined with other investments in outpatient service opportunities, continue to focus on community and ambulatory care.

The FY 2011-12 budget reflects operational expenses from the opening of the two patient floors, common space, and parking garage connection which occurred in May 2011. Construction has begun and will continue in FY 2011-12 for the additional operating rooms. In addition, renovation projects are underway for areas including the Ophthalmology Clinic and Childcare Development Center.

UK HealthCare - Chandler Hospital

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	156,747,200	0	0	156,747,200	171,995,100	0	0	171,995,100
Other	9,419,700	0	0	9,419,700	10,922,100	0	0	10,922,100
Fringe Benefits	50,114,700	0	0	50,114,700	53,307,100	0	0	53,307,100
Total Personnel Services	216,281,600	0	0	216,281,600	236,224,300	0	0	236,224,300
Operating Expenses	277,738,000	0	1,355,100	279,093,100	324,145,900	0	4,034,400	328,180,300
Capital Outlay	0	0	1,322,700	1,322,700	35,000,000	0	911,100	35,911,100
Recharges/Pass Thru	(2,497,000)	0	0	(2,497,000)	0	0	0	0
Total	\$491,522,600	\$0	\$2,677,800	\$494,200,400	\$595,370,200	\$0	\$4,945,500	\$600,315,700

UK HealthCare – Good Samaritan Hospital

Good Samaritan Hospital's budget for FY 2011-12 reflects the University's operation of this community hospital. Good Samaritan Hospital's current volume is expected to grow, but its excess capacity will be leveraged as patient volume from Chandler Hospital continues to transfer to Good Samaritan Hospital. This provides the opportunity for increasing the hospital system capacity of UK HealthCare.

Cost effective operations will continue to be the focus in the upcoming budget year for this facility. Significant emphasis continues to be placed on management and financial information systems in order to provide data necessary to improve operations.

UK HealthCare - Good Samaritan Hospital

	2010-11 Revised Budget			2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds		
Personnel Services							
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	30,817,100	0	0	34,223,300	0	0	34,223,300
Other	2,089,300	0	0	2,440,500	0	0	2,440,500
Fringe Benefits	9,760,800	0	0	10,272,200	0	0	10,272,200
Total Personnel Services	42,667,200	0	0	46,936,000	0	0	46,936,000
Operating Expenses	53,028,700	0	0	58,165,000	0	0	58,165,000
Capital Outlay	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0
Total	\$95,695,900	\$0	\$0	\$105,101,000	\$0	\$0	\$105,101,000

UK HealthCare – University Health Service

The University Health Service (UHS) provides preventive and primary health care and education for students and other members of the University of Kentucky community. The UHS operates a primary care clinic, an immunization and tuberculosis screening clinic, a gynecology clinic, and a mental health clinic for students. The UHS administers the UK Managed Care Worker's Compensation program. In addition, the UHS functions as the employee health service for the Chandler Hospital, Good Samaritan Hospital, and the medical center colleges, primarily being involved with tuberculin testing (updating and maintenance) and immunization documentation and administration.

UK HealthCare - University Health Service

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	0	3,574,500	0	3,574,500	0	3,568,000	0	3,568,000
Other	0	902,600	0	902,600	0	700,000	0	700,000
Fringe Benefits	0	1,141,600	0	1,141,600	0	1,158,100	0	1,158,100
Total Personnel Services	0	5,618,700	0	5,618,700	0	5,426,100	0	5,426,100
Operating Expenses	0	7,910,000	0	7,910,000	0	6,352,400	0	6,352,400
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	(521,500)	0	(521,500)	0	0	0	0
Total	\$0	\$13,007,200	\$0	\$13,007,200	\$0	\$11,778,500	\$0	\$11,778,500
Mandatory Transfers	0	1,819,600	0	1,819,600	0	1,839,400	0	1,839,400
TOTAL FUNDS	\$0	\$14,826,800	\$0	\$14,826,800	\$0	\$13,617,900	\$0	\$13,617,900

President

Executive direction and management of the University's operations, both academic and fiscal, are carried out by the Board of Trustees and the President.

As the Chief Executive Officer of the University, the President is responsible for all official communication with the Board and external agencies. The President provides leadership on all policy, planning, and development functions of the institution. The following officials report directly to the President:

- Provost
- Executive Vice President for Finance and Administration
- Executive Vice President for Health Affairs
- General Counsel
- Vice President for Commercialization and Economic Development
- Vice President for Development
- Vice President for University Relations
- Director of Athletics

President

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	762,900	369,900	0	1,132,800	947,300	346,700	0	1,294,000
Other	42,200	291,700	0	333,900	42,200	319,900	0	362,100
Fringe Benefits	241,700	132,500	0	374,200	309,400	124,800	0	434,200
Total Personnel Services	1,046,800	794,100	0	1,840,900	1,298,900	791,400	0	2,090,300
Operating Expenses	252,500	479,100	742,300	1,473,900	294,600	512,200	992,300	1,799,100
Capital Outlay	5,000	0	0	5,000	5,000	0	0	5,000
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$1,304,300	\$1,273,200	\$742,300	\$3,319,800	\$1,598,500	\$1,303,600	\$992,300	\$3,894,400

Center for Rural Development

The Center for Rural Development, located in Somerset, is a non-profit organization with the primary mission of improving the quality of life for individuals in its 42 county service region in southern and eastern Kentucky by providing, promoting, and supporting innovative and sustainable community development solutions.

Center for Rural Development

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Fringe Benefits	0	0	0	0	0	0	0	0
Total Personnel Services	0	0	0	0	0	0	0	0
Operating Expenses	356,800	0	0	356,800	392,900	0	0	392,900
Capital Outlay	40,000	0	0	40,000	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$396,800	\$0	\$0	\$396,800	\$392,900	\$0	\$0	\$392,900

Commercialization and Economic Development

The Office for Commercialization and Economic Development oversees intellectual property management, business development, incubation facilities, a research park, and business investments. It is the focal point for deal flow and decision-making about commercialization and economic development opportunities. It coordinates University-related federal, state, and local technology-based economic development initiatives and programs.

The Vice President for Commercialization and Economic Development (VPCED) reports directly to the President and has responsibility for the Center

for Business Development and Entrepreneurship, the Office of Technology Transfer, and the Office of Commercial Development (which includes Coldstream Research Campus). The VPCED also is Chief Executive Officer of the University of Kentucky's for-profit corporation, Kentucky Technology, Inc., which is wholly owned by the UK Research Foundation. The VPCED represents the University in the state's technology-based economic development policies, programs, initiatives, and activities.

The VPCED, in collaboration with the Vice President for Research, is responsible for identifying and

developing concentrated areas of technology transfer focused on translational research in disciplines such as pharmacy, medicine, engineering, biotechnology, and chemistry. The Office works with other colleges and universities; local, state, and federal agencies; local business communities; entrepreneurs; and venture capital funds. The Office provides the infrastructure for business development and business services within the University and businesses in the community. The VPCED is responsible for growing the University's revenue through licensing (royalties and equity), investments in start-ups, and real estate development.

Commercialization and Economic Development

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	1,380,500	0	0	1,380,500	1,398,800	0	0	1,398,800
Other	0	0	0	0	0	0	0	0
Fringe Benefits	449,700	0	0	449,700	461,600	0	0	461,600
Total Personnel Services	1,830,200	0	0	1,830,200	1,860,400	0	0	1,860,400
Operating Expenses	2,105,000	0	87,000	2,192,000	2,053,600	0	73,200	2,126,800
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	(11,000)	0	0	(11,000)	(11,000)	0	0	(11,000)
Total	\$3,924,200	\$0	\$87,000	\$4,011,200	\$3,903,000	\$0	\$73,200	\$3,976,200

Development

The Office of Development provides institutional leadership that maximizes gifts from the private sector to assist the University in becoming a Top 20 public research university. The Office:

- Identifies and cultivates donors around the world
- Manages and participates in the solicitation of donors
- Enlists volunteers
- Receives gifts
- Ensures proper recording and stewardship of gifts to the University

The University received \$64.7 million in cash gifts from more than 41,000 donors in FY 2009-10.

Development

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	2,493,900	43,900	0	2,537,800	2,568,800	45,200	0	2,614,000
Other	53,500	107,800	31,000	192,300	60,800	142,700	32,700	236,200
Fringe Benefits	826,000	16,300	0	842,300	822,400	17,500	0	839,900
Total Personnel Services	3,373,400	168,000	31,000	3,572,400	3,452,000	205,400	32,700	3,690,100
Operating Expenses	943,400	73,500	347,100	1,364,000	634,000	81,400	548,500	1,263,900
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	(241,500)	0	(241,500)	0	(286,800)	0	(286,800)
Total	\$4,316,800	\$0	\$378,100	\$4,694,900	\$4,086,000	\$0	\$581,200	\$4,667,200

Intercollegiate Athletics

The Director of Intercollegiate Athletics manages the day-to-day operations of the University's intercollegiate athletics program.

The University of Kentucky has a rich tradition of excellence in collegiate athletics, both in the teams it fields and in the student-athletes that participate. The UK Athletics Department sponsors 22 varsity sports that compete at the Division I level in the National Collegiate Athletic Association (NCAA). The UK Athletics Department is a self-supporting,

fully-funded athletics department that provides to its student-athletes in each sport the maximum amount of financial aid allowed by NCAA rules. The Wildcats also are a charter member of the highly competitive Southeastern Conference (SEC). Only men's soccer, which participates in Conference USA, and UK's lone co-ed sport (rifle) compete outside the SEC. The Department's goal continues to be to graduate student-athletes and compete for championships.

Intercollegiate Athletics

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Fringe Benefits	0	0	0	0	0	0	0	0
Total Personnel Services	0	0	0	0	0	0	0	0
Operating Expenses	0	0	919,800	919,800	0	0	131,000	131,000
Capital Outlay	0	0	3,000,000	3,000,000	6,900,000	0	4,100,000	11,000,000
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$0	\$0	\$3,919,800	\$3,919,800	\$6,900,000	\$0	\$4,231,000	\$11,131,000

Legal Counsel

The Office of Legal Counsel is responsible for overseeing all legal matters involving the University and its affiliated corporations. In addition to advising the President and Board of Trustees, the Office advises other members of the administration, faculty, and staff on legal matters within their areas.

Legal Counsel

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	1,292,200	0	0	1,292,200	1,311,900	0	0	1,311,900
Other	82,800	0	0	82,800	83,700	0	0	83,700
Fringe Benefits	422,900	0	0	422,900	430,100	0	0	430,100
Total Personnel Services	1,797,900	0	0	1,797,900	1,825,700	0	0	1,825,700
Operating Expenses	31,700	0	0	31,700	31,700	0	0	31,700
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$1,829,600	\$0	\$0	\$1,829,600	\$1,857,400	\$0	\$0	\$1,857,400

University Relations

University Relations builds partnerships and fosters relationships between the University and the many constituencies it serves, including its alumni; the Lexington community; local and state-wide organizations; local, state, and federal governments; media; corporations; and other friends of the University. University Relations includes:

- WUKY, the University's licensed, noncommercial, National Public Radio FM broadcast station
- The Office of Public Relations, which is responsible for the University's public relations and marketing programs
- Corporate Partnerships, which develops a supportive relationship between the University and local, state, and national corporations
- The Government Relations Office, which serves as the liaison between the University and the legislative and executive branches of state government
- The UK Alumni Association, which fosters lifelong engagements among alumni, friends, and the Association and supports the mission and goals of the University

University Relations

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	2,917,500	0	200,900	3,118,400	3,081,700	0	227,600	3,309,300
Other	105,900	0	25,000	130,900	27,800	0	0	27,800
Fringe Benefits	946,500	0	67,900	1,014,400	989,800	0	77,200	1,067,000
Total Personnel Services	3,969,900	0	293,800	4,263,700	4,099,300	0	304,800	4,404,100
Operating Expenses	253,500	0	1,416,600	1,670,100	254,500	0	1,392,100	1,646,600
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	(2,000)	0	0	(2,000)	(2,000)	0	0	(2,000)
Total	\$4,221,400	\$0	\$1,710,400	\$5,931,800	\$4,351,800	\$0	\$1,696,900	\$6,048,700

College of Agriculture

The earliest mission of the College of Agriculture was educating students and preparing them for service to and leadership in their local, state, national, and international communities. It remains the most important way the College enhances the future of the Commonwealth and the world community.

Today, the College provides educational opportunities for over 2,450 undergraduates and nearly 500 graduate students. The College of Agriculture must attract and graduate outstanding students with diverse backgrounds and the skills to meet the challenges of the future. The faculty in the instructional programs of the College also are doing research to solve real problems and extending knowledge throughout the Commonwealth via its fully integrated research and extension missions.

The College of Agriculture provides instruction leading to Bachelor of Science degrees across diverse applied and basic disciplines: Agriculture; Agricultural Biotechnology; Agricultural Economics; Animal and Food Sciences; Community Communications and Leadership Development; Career and Technical Education (Agricultural Education and Family and Consumer Science Education); Dietetics; Equine Science and Management; Family Sciences; Food

Science; Forestry; Home Economics; Horticulture, Plant, and Soil Sciences; Hospitality Management and Tourism; Human Nutrition; Landscape Architecture; Merchandising, Apparel, and Textiles; Natural Resource Conservation and Management; and Sustainable Agriculture.

The College's graduate programs are comprehensive and recognized as high quality. The most recent Faculty Scholarly Activity Index ranked the Agricultural Sciences research programs 10th in the U.S., with Plant Sciences ranking 5th. Excellence in research is an integral part of the graduate education mission. The College offers Master of Science degrees in Agricultural Economics; Animal Sciences; Biosystems and Agricultural Engineering; Career, Technical, and Leadership Education; Crop Science; Entomology; Family Studies; Forestry; Hospitality and Dietetics Administration; Merchandising, Apparel, and Textiles; Plant and Soil Science; Plant Pathology; and Veterinary Science.

The College offers Doctor of Philosophy degrees in Agricultural Economics; Animal Sciences; Biosystems and Agricultural Engineering; Crop Science; Entomology; Family Studies; Plant Pathology; Plant Physiology; Soil Science; and Veterinary Science.

The education programs of the College of Agriculture provide the scientific and practical grounding that enables each student to develop an awareness of the role of agriculture, the food and fiber system, families and consumers, and society in meeting human needs while protecting the quality of life and the environment. Graduates of the College are employed in all sectors of the agricultural and general economy: corporations, government agencies, educational institutions, family farms, small businesses, private businesses and non-profit organizations.

College of Agriculture

College of Agriculture

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$7,395,500	\$0	\$0	\$7,395,500	\$7,750,400	\$0	\$0	\$7,750,400
Staff	1,435,000	75,000	28,300	1,538,300	1,954,300	76,600	29,100	2,060,000
Other	702,300	0	182,900	885,200	690,300	0	119,700	810,000
Fringe Benefits	2,595,300	26,000	10,900	2,632,200	2,827,500	26,800	11,400	2,865,700
Total Personnel Services	12,128,100	101,000	222,100	12,451,200	13,222,500	103,400	160,200	13,486,100
Operating Expenses	831,100	560,900	3,210,600	4,602,600	1,034,000	678,900	3,511,500	5,224,400
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	(508,700)	0	(508,700)	0	(566,700)	0	(566,700)
Total	\$12,959,200	\$153,200	\$3,432,700	\$16,545,100	\$14,256,500	\$215,600	\$3,671,700	\$18,143,800

Agricultural Experiment Station and Public Service

As a land-grant university, the University of Kentucky has been contributing to agricultural productivity for over 140 years. It continues to discover new processes and technologies that increase the use of the Commonwealth's land and forest resources in an environmentally safe manner. Modern agricultural research also involves new discoveries in diverse subjects that include gene science, food safety, and rural communities.

The Kentucky Agricultural Experiment Station serves as the research arm of the College of Agriculture. Research is conducted in 13 departments. The College also operates a number of research and education centers across the state and campus.

Established in 1923 as the Robinson Station, the Robinson Center for Appalachian Resource Sustainability functions as the center for agricultural and forestry activities in eastern Kentucky. The Robinson Center includes the nearly 15,000 acre Robinson Forest and the Wood Utilization Center. Initially established in 1925 as the West Kentucky Substation, the Princeton Research and Education Center functions as the center of agricultural activities in western Kentucky. Research, diagnostic testing services, and educational programming are conducted at the Princeton Center. Additional research facilities are located in central Kentucky. The 1,500 acre Animal Research Center (ARC) in Woodford County is

currently the home to beef, swine, and sheep research. The Coldstream Farm houses the dairy and poultry research units. The Equine Research Campus is located at Maine Chance Farm. Additional research activities are conducted at Spindletop Farm and Eden Shale Farm.

Two internationally prominent research centers are located on the UK campus. The Kentucky Tobacco Research and Development Center (KTRDC) conducts and supports unique research programs that examine new agricultural crop opportunities based on tobacco and other plants. The KTRDC research projects explore the development and use of tobacco as a production system for plant-made pharmaceuticals, and the discovery of new plant natural products having potential for commercialization. The Maxwell H. Gluck Equine Research Center is a state-of-the-art facility dedicated to equine research. The comprehensive research programs generate new knowledge that helps veterinary clinicians provide the best care for horses.

The College houses diagnostic and testing centers that serve Kentuckians. The Division of Regulatory Services administers four state laws that regulate the distribution of feed, fertilizer, and seed and the marketing of raw milk, and it operates two service programs for testing seed and soil. Through these programs, Regulatory Services affords consumer protection, fair markets, and services for all

Kentuckians. The Veterinary Diagnostic Laboratory (formerly the Livestock Disease Diagnostic Center) is a full service animal health diagnostic facility. The VDL is charged with the diagnosis of animal diseases, and the performance of tests which safeguard the health of the animal population in Kentucky. The Lab helps identify infectious and regulatory diseases and provides the means to meet export sales requirements and an early warning system for impending epidemics.

Three College-wide initiatives are underway to promote interdisciplinary efforts and communicate College activities. These include the Equine Initiative (EI), the Community and Economic Development Initiative for Kentucky (CEDIK), and the Environment and Natural Resources Initiative (ENRI). Each initiative includes research, teaching, and extension programming

Agricultural Experiment Station and Public Service

Agricultural Experiment Station and Public Service

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$12,438,600	\$0	\$366,900	\$12,805,500	\$12,109,300	\$0	\$411,100	\$12,520,400
Staff	13,085,700	455,600	3,600,800	17,142,100	13,796,600	506,600	3,748,500	18,051,700
Other	560,200	102,800	3,169,400	3,832,400	557,800	63,400	3,029,000	3,650,200
Fringe Benefits	8,980,300	173,300	0	9,153,600	9,188,500	194,800	0	9,383,300
Total Personnel Services	35,064,800	731,700	7,137,100	42,933,600	35,652,200	764,800	7,188,600	43,605,600
Operating Expenses	7,251,200	2,168,800	12,609,300	22,029,300	6,959,200	2,246,900	11,225,400	20,431,500
Capital Outlay	132,800	0	610,200	743,000	132,800	0	565,000	697,800
Recharges/Pass Thru	(6,600)	(2,725,500)	0	(2,732,100)	(54,400)	(3,011,700)	0	(3,066,100)
Total	\$42,442,200	\$175,000	\$20,356,600	\$62,973,800	\$42,689,800	\$0	\$18,979,000	\$61,668,800

Kentucky Tobacco Research and Development Center

The Kentucky Tobacco Research and Development Center is the administrative, research, and resource center of the University of Kentucky and is funded by a dedicated tax on cigarettes sold in Kentucky and through externally-funded grants. The Kentucky Tobacco Research Board has final approval for the expenditure of funds on all research projects. The Center conducts research in the application of biotechnology to develop new applications for tobacco and other crop plants.

Kentucky Tobacco Research and Development Center

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$71,800	\$71,800
Staff	0	0	0	0	0	0	0	0
Other	0	0	1,024,800	1,024,800	0	0	1,024,800	1,024,800
Fringe Benefits	0	0	0	0	0	0	20,600	20,600
Total Personnel Services	0	0	1,024,800	1,024,800	0	0	1,117,200	1,117,200
Operating Expenses	0	0	1,759,600	1,759,600	0	0	1,882,800	1,882,800
Capital Outlay	0	0	5,000	5,000	0	0	100,000	100,000
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$0	\$0	\$2,789,400	\$2,789,400	\$0	\$0	\$3,100,000	\$3,100,000

Agricultural Cooperative Extension Service

The Agricultural Cooperative Extension Service (CES) has offices in each of Kentucky's 120 counties, serving as the link between Kentucky's land-grant universities and the people of the Commonwealth. The CES is jointly funded with county, state, and federal funds, as well as additional external grants, gifts, and contracts. CES programs are carried out in cooperation with Kentucky State University and the U.S. Department of Agriculture.

County extension agents conduct research-based educational programs in agriculture and natural resources, family and consumer sciences, 4-H youth development, and community and economic development.

In addition to programs conducted within the College of Agriculture, the CES is working in dynamic

partnerships with other UK colleges and agencies to maximize the benefit to the citizens and communities of Kentucky. These partners include the UK colleges of Medicine, Public Health, and Fine Arts. The UK CES, in conjunction with the UK College of Engineering, has launched a new program in 4-H Youth Development called SET, focusing on Science, Engineering, and Technology. Another example of non-traditional partnerships is with the Kentucky Arts Council. In cooperation with the College of Fine Arts, the CES now has five fine arts agents.

The scope of work of the UK Agricultural Cooperative Extension Service is broad, making over seven million contacts annually across Kentucky and providing significant leadership and youth development for over 240,000 youth as a part of the 4-H Youth Development Program.

Additional information about contact volume is provided below.

Contact Category	Actual 2009-2010	Projected 2010-2011
Total clientele contacts	7,738,000	7,700,000
4-H enrollment	248,275	250,000
Homemaker enrollment	15,000	15,000

Agricultural Cooperative Extension Service

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$6,184,800	\$0	\$180,100	\$6,364,900	\$6,064,100	\$0	\$233,600	\$6,297,700
Staff	29,382,500	484,000	7,206,000	37,072,500	29,373,300	429,500	7,278,000	37,080,800
Other	1,237,200	181,100	344,300	1,762,600	328,300	181,100	406,200	915,600
Fringe Benefits	12,261,900	179,400	1,374,400	13,815,700	12,364,000	164,700	1,471,900	14,000,600
Total Personnel Services	49,066,400	844,500	9,104,800	59,015,700	48,129,700	775,300	9,389,700	58,294,700
Operating Expenses	2,788,100	1,347,100	3,481,400	7,616,600	3,359,700	1,602,600	2,999,200	7,961,500
Capital Outlay	0	10,000	26,700	36,700	0	15,300	18,400	33,700
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$51,854,500	\$2,201,600	\$12,612,900	\$66,669,000	\$51,489,400	\$2,393,200	\$12,407,300	\$66,289,900

College of Arts and Sciences

Encompassing the liberal arts disciplines of the humanities, social sciences, and the mathematical and natural sciences, the College of Arts and Sciences has a comprehensive and varied mission. For its undergraduate students, the College offers programs of study in 25 academic majors. With an undergraduate enrollment of almost 5,000 the College awards more than 1,000 baccalaureate degrees each academic year. Two of the University's most popular majors, Biology (more than 1,300 students) and Psychology (almost 900 students), are both Arts and Sciences majors. At the graduate level, the College awards almost 200 graduate degrees annually in 32 master's and doctoral programs of study.

Research also is a primary mission of the 17 academic departments and 14 interdisciplinary programs within the College. Much of the research conducted in the College is supported by grants and contracts

from federal, state, and private funding agencies and foundations, ranging from the National Science Foundation and the National Institutes of Health to the Guggenheim and the National Endowment for the Humanities. College researchers also engage in cooperative research projects with faculty in many other University units, including the colleges of Agriculture, Business and Economics, Design, Education, Engineering, and Medicine.

The College offers many services to the citizens of the Commonwealth. Individual faculty members lend their expertise to state and local governments. Faculty are involved in projects and services ranging from the preservation of Kentucky's cultural heritage to a broad range of initiatives in health services, environmental research, and material science.

A major initiative is the investment in the College's

Center for Advanced Materials. Materials and energy research is a strength within the College, and through the Center the College is expanding current efforts to investigate the basic properties of solids and liquids. These research areas underlie the construction of new technologies and have endless practical applications. Working through UK's Center for Advanced Materials (CAM) Physics Professor Gang Cao is exploring ways to not only create new materials in the energy field but train future generations in the development process to save increasingly limited quantities of environmental-based products such as helium. Similarly, Chemistry Professor John Anthony, along with two UK mathematics professors and a Princeton collaborator, received a National Science Foundation grant totaling \$1.3 million for their work on the development of new environmentally-friendly organic solar panels.

College of Arts and Sciences

	2010-11 Revised Budget				2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED		UNRESTRICTED		RESTRICTED		TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds			
Personnel Services									
Faculty	\$29,227,000	\$0	\$0	\$29,227,000	\$32,468,200	\$0	\$0	\$32,468,200	
Staff	6,031,300	0	0	6,031,300	6,480,900	0	0	6,480,900	
Other	7,561,000	0	56,000	7,617,000	8,854,100	0	12,900	8,867,000	
Fringe Benefits	10,770,600	0	0	10,770,600	11,834,500	0	0	11,834,500	
Total Personnel Services	53,589,900	0	56,000	53,645,900	59,637,700	0	12,900	59,650,600	
Operating Expenses	4,548,800	500	2,868,800	7,418,100	3,033,300	500	4,792,200	7,826,000	
Capital Outlay	0	0	0	0	0	0	0	0	
Recharges/Pass Thru	0	0	0	0	0	0	0	0	
Total	\$58,138,700	\$500	\$2,924,800	\$61,064,000	\$62,671,000	\$500	\$4,805,100	\$67,476,600	

Gatton College of Business and Economics

The Carol Martin Gatton College of Business and Economics offers instruction leading to bachelor's degrees in Economics (including a Bachelor of Arts degree through the College of Arts and Sciences), Business Administration, Accounting, and Business and Economics; master's degrees in Business Administration, Accounting, and Economics; and doctoral degrees in Business Administration and Economics.

The College has two programs to attract high achieving students: The Global Scholars Program, which is now in its fifth year; and the Scholars in Engineering and Management (SEAM) Program, which is in its third year and is jointly offered with the College of Engineering. Additionally, the Undergraduate Resource Center offers a number of enrichment opportunities for undergraduate students including the Gatton Student Research Publication, The GatCats (who do peer professionalism training), and the Gatton Ambassadors.

This is the sixth year of the College's One Year Master of Business Administration (MBA) program. This immersive MBA program gives students the opportunity to complete the equivalent of a two-year program in 11 intensive months. This MBA program has grown in reputation and currently has reached its capacity of 75 students. The curriculum is built around three key business processes: New Product Development, Supply Chain Management, and Mergers and Acquisitions. Another unique feature of the MBA program is a built-in consultancy-like experience.

The Master of Science in Accounting (MSACC) program is now in the third year of the revised program

where students have the option to prepare and sit for the CPA exam while completing their graduate coursework. The MSACC courses are offered in modules synchronous with the Becker CPA review course and the program has experienced significant success for pass rates on the CPA exam. The August 2009 graduating class placed 6th nationally among advanced degree programs and the 2010 August graduating class pass rates are comparable with the August 2009 graduating class (the National Association of State Boards of Accountancy will release rankings in September 2011). Prior to 2008, enrollment in the MSACC program was under 20 students in some years. Current enrollment in the program is 43 students. The quality of students recruited into the program also has improved significantly. The average grade point average overall and in the major for the 2010-11 class is in the 3.6 range and the average General Management Admission Test (GMAT) score is 622. The MSACC program is working earnestly to improve these numbers even further for the 2011-12 incoming class.

Faculty research programs in the School of Management, the Von Allmen School of Accountancy, and the Department of Economics are funded from gift-supported endowment funds and the Research Challenge Trust Fund. Many faculty members engage in research projects for federal, state, and local governments; business organizations; and professional associations. In addition, many faculty in the schools of Accountancy and Management and the Department of Economics serve as editors or editorial board members on some of the country's leading scholarly business journals.

Several centers support the research and service missions of the Gatton College:

- The Douglas J. Von Allmen Green Marketing Center performs scholarly research that contributes to green marketing practice and theory.
- The Center for Business and Economic Research conducts a number of research studies for state and local government agencies, not-for-profit organizations, and private industry.
- The Center for Sports Marketing annually administers the UK Sports Marketing Academy and serves as a clearinghouse for student internships in the field of sports marketing.
- The Kentucky Center for Poverty Research focuses on the causes, consequences, and effects of poverty in Kentucky and the South.
- The Executive Education Center (EEC) works with organizations to design and develop professional executive education programs that address specific performance or strategic needs. The length of these programs can vary from two days to one week to one year. The EEC also provides a series of public programs, including certificate programs that cater to the emerging needs of the community and the evolving economic times. The value to participants is the cross section of attendees and the very interactive nature of the programs.
- The mission of the LINKS Center for Research on Social Networks in Business is to promote a social network perspective in the study and management of organizations through research, training, lectures, and conferences.

Three centers support the academic and instructional activities of the College:

Gatton College of Business and Economics

- The Graduate Center provides support services for the doctoral and other programs for the College.
- The MBA Center administers the MBA program, which includes a one year immersive program, a part-time evening program, and a program in Athens, Greece. The one year program is the major MBA program and provides innovative state of the art education and includes engagement with the business community through Project Connect. Over 25 companies have participated in Project Connect during the last five years, including Humana, Sylvania, Alltech, United Technologies, LeanCor, Belcan, GE, Tempur-Pedic, ACS, Papa John's, Kaba Mas Corp., Brown Forman, Ryder Trucking, Lexmark International, Valvoline, and IMG.
- The Undergraduate Resource Center provides advising and enrichment services to students. Advising services are designed to retain students at the University and assist them in transitioning into upper division coursework. Enrichment activities include administration and advising for the Global Scholars and SEAM programs; promoting and assisting students in studying abroad; professionalism and career workshops; retention related programming; and leadership instruction.

Gatton College of Business and Economics

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$10,126,900	\$0	\$0	\$10,126,900	\$10,623,700	\$0	\$0	\$10,623,700
Staff	2,415,200	0	0	2,415,200	2,556,200	0	0	2,556,200
Other	913,300	0	1,052,100	1,965,400	936,800	0	997,600	1,934,400
Fringe Benefits	3,757,700	0	190,800	3,948,500	3,876,700	0	171,500	4,048,200
Total Personnel Services	17,213,100	0	1,242,900	18,456,000	17,993,400	0	1,169,100	19,162,500
Operating Expenses	1,856,400	0	10,944,900	12,801,300	1,681,600	0	10,977,300	12,658,900
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$19,069,500	\$0	\$12,187,800	\$31,257,300	\$19,675,000	\$0	\$12,146,400	\$31,821,400

College of Communications and Information Studies

The College of Communications and Information Studies is dedicated to improving people's lives through excellence in research, service, and education and training for undergraduate and graduate students in the multicultural, multiethnic global society of the information age. It competes to be a national leader at the undergraduate and graduate levels among public research universities in the fields of Communication, Journalism, Integrated Strategic Communications, Telecommunications, and Library and Information Science. The College's research furthers understanding of how individuals construct, process, store, and share effective communication messages.

The College offers instruction leading to undergraduate degrees in Communication through the Department of Communication and undergraduate degrees in Integrated Strategic Communication, Journalism, and Telecommunication through the School of Journalism and Telecommunications. The School of Journalism and Telecommunications is accredited by the Accrediting Council on Education in Journalism and Mass Communications. Beginning with Academic Year 2010-11, the College participated in the University's initial revised general education initiative with the organization of the Department of Instructional Communication. Baccalaureate programs prepare graduates for a wide-range of careers in businesses, government, and non-profit organizations. The College's undergraduate degrees and course enrollments have experienced considerable growth during the past decade. The College produces nearly 10 percent of all undergraduate degrees awarded at UK.

The graduate programs in the College of Communications and Information Studies include a master's degree in Library Science through the School of Library and Information Science and master's and doctoral degrees in Communication through the College's graduate programs in Communication. The School of Library and Information Science (SLIS) is the only graduate program in Kentucky accredited by the American Library Association. SLIS offers a number of on-line academic credit courses. These graduate programs provide instruction in library and information science and in health, interpersonal, and mass communication. Graduates of the College's graduate programs are in high demand within the Commonwealth of Kentucky and nationally, and increasingly internationally for a wide-range of careers as researchers, librarians, and communication and information professionals.

The faculty of the College of Communications and Information Studies are active in a number of individual and group-sponsored projects. College faculty members are involved in state, national, and international projects sponsored by state and the federal governments, private foundations, and professional organizations. Sponsored projects involve health and risk communication, rural journalism, public policy issues, digitized image recognition, and information sciences. The College of Communications and Information Studies is recognized and ranked nationally as having one of the leading sponsored and applied Communications research programs in the country.

The College of Communications and Information Studies provides a wide-range of public service and engagement. Summer workshops, professional workshops, and public forums sponsored by the College attract regional, national, and international participants, including high school students, college students, and business, health, and education professionals. Lectures by distinguished professionals are offered to UK students and the public throughout the year.

The College of Communication and Information Studies has a major initiative underway to expand its online academic credit courses to provide more flexibility for UK students and to attract new students to UK; the College is building on its recognition in health communication with increased faculty research and public engagement within the Commonwealth, the nation, and internationally; and the College continues to grow as a leader in information and communication technologies in its preparation of students, its scholarly productivity, and its contributions to society.

College of Communications and Information Studies

College of Communications and Information Studies

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$4,351,000	\$0	\$0	\$4,351,000	\$4,543,300	\$0	\$0	\$4,543,300
Staff	896,600	0	18,200	914,800	976,500	0	15,200	991,700
Other	481,300	0	0	481,300	433,600	0	39,400	473,000
Fringe Benefits	1,587,400	0	1,400	1,588,800	1,606,700	0	9,500	1,616,200
Total Personnel Services	7,316,300	0	19,600	7,335,900	7,560,100	0	64,100	7,624,200
Operating Expenses	444,800	0	953,500	1,398,300	504,700	0	757,800	1,262,500
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$7,761,100	\$0	\$973,100	\$8,734,200	\$8,064,800	\$0	\$821,900	\$8,886,700

College of Dentistry

The College of Dentistry offers a professional degree program leading to a Doctor of Medical Dentistry (D.M.D.) that prepares students to practice general dentistry. Disease prevention and diagnosis, patient care, use of auxiliaries, coordination of treatment with dental specialties, and community involvement are emphasized.

The College also offers post-graduate programs in:

- General Practice
- Oral and Maxillofacial Surgery
- Orofacial Pain
- Orthodontics
- Pediatric Dentistry
- Periodontology

Students in Orofacial Pain, Orthodontics, and Periodontics receive a Master of Science degree from the University of Kentucky Graduate School in addition to a specialty certificate. A Master of Science degree is also optional for pediatric dentistry residents in addition to receipt of clinical specialty certificates. The College also has one-year, post-graduate fellowships in Orofacial Pain and Oral and Maxillofacial Surgery as well as international fellowships in Orthodontics and Periodontics.

In response to the University of Kentucky's goal to become a Top 20 public research institution by 2020, the College of Dentistry established a Center for

Oral Health Research (COHR). The COHR is the focus of the College's initiatives in clinical, basic, and epidemiologic oral health research. These initiatives fulfill UK's academic responsibility to generate new oral health knowledge and apply it to societal problems. Interdisciplinary research complements ongoing projects and further involves College faculty with colleagues elsewhere in the University and outside the institution. The COHR utilizes relationships with existing Ph.D. programs in Biomedical Sciences, Pharmaceutical Sciences, Public Policy and Administration, Epidemiology, Behavioral Sciences, Nutritional Sciences, Gerontology, Communication Sciences, and Biomedical Engineering to train dental researchers and academics. These unique relationships can provide a cadre of trained oral health scientists that is required for a robust future of dental research, education, and practice.

In recognition of its role as part of a land-grant institution, the College views its public service mission in the broadest context. Services to the citizens of the Commonwealth include both on- and off-campus activities. Service rotations and other programs are provided in response to the needs of civic agencies, local governments, and the dental profession. Continuing education provides up-to-date skills for practitioners and helps maintain the quality of dental health care in Kentucky. Some examples of the College's statewide service are:

- Veteran's Administration Hospitals
- Area Health Education Centers
- Family Care Center
- Nathaniel Mission
- Kentucky Oral Health Network
- Kentucky Clinics
- Children's school dental clinics in Fulton and Menifee counties
- Onsite screening in Woodford County elementary schools
- UK Center for Excellence in Rural Health in Hazard
- School-based dental outreach programs that include four mobile dental vans serving eastern, western, and central Kentucky counties
- "Seal Kentucky," an innovative preventative program targeting Appalachian counties
- West Kentucky Outreach Program

The Kentucky Oral Health Network develops oral health research and care infrastructure in rural communities across Kentucky, allowing UK to reach out to the practicing community to move evidence-based dentistry into practice, and to the populations in these communities to participate in cutting-edge research protocols to improve oral health. Ongoing affiliations for this project are with the Trover Foundation and Hopkins County Health Department, St. Claire Regional Medical Center, and the UK Center for Excellence in Rural Health in Hazard, coupled with multiple community health centers, public health departments, and Area Health Education Centers across Kentucky.

College of Dentistry

College of Dentistry

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds*	Auxiliary Funds			General Funds*	Auxiliary Funds		
Personnel Services								
Faculty	\$10,147,200	\$0	\$0	\$10,147,200	\$10,436,400	\$0	\$0	\$10,436,400
Staff	7,299,700	28,600	0	7,328,300	7,284,900	29,200	0	7,314,100
Other	777,000	0	0	777,000	1,254,900	0	0	1,254,900
Fringe Benefits	4,768,500	11,100	0	4,779,600	4,793,300	11,500	0	4,804,800
Total Personnel Services	22,992,400	39,700	0	23,032,100	23,769,500	40,700	0	23,810,200
Operating Expenses	2,325,500	960,300	1,014,800	4,300,600	2,308,300	849,300	1,212,800	4,370,400
Capital Outlay	7,400	0	0	7,400	54,900	0	0	54,900
Recharges/Pass Thru	(237,000)	0	0	(237,000)	(87,000)	0	0	(87,000)
Total	\$25,088,300	\$1,000,000	\$1,014,800	\$27,103,100	\$26,045,700	\$890,000	\$1,212,800	\$28,148,500

*NOTE: Included in these numbers are \$9,901,600 and \$10,616,600 of transfers to UK from the Fund for FY 2010-11 and FY 2011-12 respectively.

College of Design

The College of Design comprises the School of Architecture, the School of Interior Design, and the Department of Historic Preservation.

The School of Architecture offers the only professional architecture degree in the Commonwealth of Kentucky and is accredited by the National Architectural Accreditation Board (NAAB). Degree offerings include a four-year Bachelor of Arts in Architecture, a two-year professional Master of Architecture, and a one-year post-professional Master of Architecture. The School of Interior Design offers a five-year professional degree accredited by the Council for Interior Design Accreditation (CIDA), as well as a post-professional Master of Arts in Interior Design. The Department of Historic Preservation offers a two-year Master in Historic Preservation.

The College of Design launched “The River Cities Project”, a long-term research and design initiative that provides strategic design and planning advice to cities along the Ohio River. The focus is on developing strategic design proposals – from riverfront landscape designs to adaptive reuse of industrial buildings – that will enable cities to more easily transition to a knowledge-based, energy-focused economy. The College of Design also launched “Design + Energy Initiatives” which includes projects such as the Solar Decathlon competition sponsored by the U.S. Department of Energy. The University of Kentucky took 9th place out of the 20 schools from around the world invited to design a compact, energy efficient house for the competition held on the Mall in Washington, D.C. in October 2009. Other projects include a series of design collaborations with the Center for Applied Energy Research and the Center for Manufacturing at the

University of Kentucky and include, among others, the multi-year “House Boat to Energy Efficient Residences” project to develop energy efficient, prefabricated housing utilizing former houseboat manufacturing facilities and workforce; anemometer designs used for wind mapping experiments; and the development of design products that utilize mixtures of concrete and fly ash.

All who are part of the College of Design – students, faculty, staff, and administration – believe that architecture, interior design, and preservation have an important role to play in the everyday lives of people in Kentucky and around the world. Design is not just a building, an interior, or a preserved landscape; it is a means of acting in and transforming the world.

College of Design

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$1,771,000	\$0	\$0	\$1,771,000	\$1,580,400	\$0	\$0	\$1,580,400
Staff	585,900	0	0	585,900	591,600	0	0	591,600
Other	358,700	0	93,200	451,900	598,100	0	314,900	913,000
Fringe Benefits	791,600	0	0	791,600	769,400	0	0	769,400
Total Personnel Services	3,507,200	0	93,200	3,600,400	3,539,500	0	314,900	3,854,400
Operating Expenses	277,000	0	476,300	753,300	296,000	0	215,400	511,400
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$3,784,200	\$0	\$569,500	\$4,353,700	\$3,835,500	\$0	\$530,300	\$4,365,800

College of Education

The mission of the College of Education is to expand the knowledge of teaching and learning processes across a broad educational spectrum. The College fosters a culture of reflective practice and inquiry within a diverse community of students, faculty, and staff. As part of a research-extensive university, the College advances knowledge through research. As part of a land-grant institution, the College prepares professionals for a variety of roles in educational settings and community agencies and provides leadership in the improvement of the education, health, and well-being of citizens in the Commonwealth, the United States, and the world.

The College of Education is comprised of six academic departments: Curriculum and Instruction; Educational, School, and Counseling Psychology; Educational Leadership Studies; Educational Policy Studies and Evaluation; Kinesiology and Health Promotion; and Special Education and Rehabilitation Counseling. Across these units, the College offers a wide array of undergraduate and graduate programs for professional preparation of personnel in educational roles in schools, colleges, and other human service organizations.

The College administers and coordinates all

professional educator programs at the University and serves as a liaison with the Kentucky Education Professional Standards Board and the Kentucky Department of Education. The College is accredited by the National Council for Accreditation of Teacher Education and all educator preparation programs are approved by the Kentucky Education Professional Standards Board. Graduate programs in Special Education and Rehabilitation Counseling are offered through distance education.

College programs and initiatives are guided by the theme “Research and Reflection for Learning and Leading”. The generation and dissemination of new knowledge through research is therefore central to the College’s mission. Extramural funding from federal and state agencies enables faculty to explore, analyze, and evaluate best practices in their respective disciplines. These external funds support research and training in many areas, including literacy, childhood obesity, economic education, program evaluation, mechanics of physical injuries, childhood nutrition and fitness, assistive technology, child and adolescent sleep patterns, school safety, HIV prevention, leadership in rural high-need schools, and autism spectrum disorders.

The College of Education also provides service to individuals, schools, and agencies in the public and private sectors. As a leader in efforts to improve Kentucky’s education system, the College has established extensive partnerships with P-12 schools, other colleges and universities, local communities, and social and educational agencies. Examples of these partnerships include the Collaborative Center for Literacy Development, the Consortium for Overseas Student Teaching, and the Kentucky Teacher Internship Program.

The College has recently expanded its service and outreach efforts with the establishment of the Kentucky P20 Innovation Lab. This partnership is designed to have University faculty and P-12 school leaders and teachers work together to identify and address pressing educational issues in P-12 classrooms and across the P-20 spectrum. Eleven issue-based labs have been funded through the P20 Lab to conduct this work. These labs include assessment and accountability, health and wellness, motivation and learning, digital-game based learning, telehealth, global issues, civic engagement, education policy and law, college and career readiness, STEM education, and technology leadership.

College of Education

College of Education

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$7,159,400	\$0	\$0	\$7,159,400	\$7,524,700	\$0	\$0	\$7,524,700
Staff	2,319,800	0	0	2,319,800	2,374,800	0	0	2,374,800
Other	986,800	0	0	986,800	993,800	0	0	993,800
Fringe Benefits	2,886,700	0	0	2,886,700	3,001,400	0	0	3,001,400
Total Personnel Services	13,352,700	0	0	13,352,700	13,894,700	0	0	13,894,700
Operating Expenses	3,998,900	0	1,124,300	5,123,200	3,959,200	0	1,077,800	5,037,000
Capital Outlay	8,900	0	0	8,900	8,900	0	0	8,900
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$17,360,500	\$0	\$1,124,300	\$18,484,800	\$17,862,800	\$0	\$1,077,800	\$18,940,600

College of Engineering

In keeping with the University of Kentucky's designation as a land-grant university, the faculty, staff, and students of the College of Engineering engage in instruction, research, and service that affect the lives of countless Kentuckians on a daily basis.

The College's academic units include Biomedical Engineering, Biosystems and Agricultural Engineering, Chemical and Materials Engineering, Civil Engineering, Computer Science, Electrical and Computer Engineering, Materials Engineering, Mechanical Engineering, and Mining Engineering.

The College offers nine bachelor's, 12 master's, and nine doctoral degree programs on the Lexington campus as well as two bachelor's degree programs at the Engineering Extended Campus Program—Paducah and a statewide Master of Engineering program offered via distance learning. The Center for Biomedical Engineering recently transferred administratively to the College of Engineering. Biomedical Engineering is a discipline that advances knowledge in engineering, biology and medicine, and improves human health through cross-disciplinary activities that integrate the engineering sciences with the biomedical sciences and clinical practice. The Center offers a Master of Science, Professional Master of Biomedical Engineering, and Ph.D. programs.

The construction of the Davis Marksbury building is complete. The \$18.6 million building is the latest addition to UK's "Digital Village." It provides current and future UK computer science, as well as electrical and computer engineering, students with academic resources that will help revolutionize Kentucky's economy. The building will be UK's first to receive certification under the Leadership in Energy and Environmental Design (LEED) rating system developed by the U.S. Green Building Council.

The College also offers a joint Bachelor of Science (Engineering) and Master of Business Administration (MBA) program and a joint Bachelor of Science (Engineering) and Master of Public Administration (MPA) program. The joint Bachelor of Science and MBA program includes an international experience for students. The joint Bachelor of Science and MPA program features an internship with a public sector agency engaged in engineering activities. For students interested in environmental systems engineering, a certificate option is available. Other certificate options at the undergraduate level include aeronautical engineering, biopharmaceutical engineering, and nanoengineering. At the graduate level, students may choose from certificates in Bioinformatics, Computational Fluid Dynamics, Bioactive Interfaces, and Devices and Power and Energy.

The College's dynamic research enterprise currently exceeds \$40 million in new extramural funding annually. This represents the achievement of the goal set in 2002 to double research funding by 2010. The College will continue to diversify and grow its research enterprise in the coming years by focusing on greater collaborations with the colleges associated with the UK Medical Center as well as greater industrial funding. Ten research centers/consortia and institutes are administered by the College with an additional four centers maintaining an affiliation.

The research priorities in the College of Engineering are aligned with those identified by Kentucky's Department of Commercialization and Innovation. These research areas will afford Kentucky the best opportunity to build centers of research excellence around which competitive technology-based clusters can grow. The College has active research in energy biosciences, visualization, biomaterials, and sustainable advanced manufacturing.

As one of the three original academic units within the University, the College has a long and well-documented history of service to the Commonwealth and its citizens. No other college of engineering in the United States is so well positioned to have a profound impact on the citizens of its state as is the University of Kentucky College of Engineering.

College of Engineering

College of Engineering

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$13,146,500	\$0	\$50,000	\$13,196,500	\$13,422,500	\$0	\$50,000	\$13,472,500
Staff	4,514,400	0	172,500	4,686,900	4,818,300	0	128,800	4,947,100
Other	1,226,900	332,400	9,500	1,568,800	1,052,200	329,400	8,900	1,390,500
Fringe Benefits	5,396,400	97,100	72,400	5,565,900	5,505,200	100,700	55,500	5,661,400
Total Personnel Services	24,284,200	429,500	304,400	25,018,100	24,798,200	430,100	243,200	25,471,500
Operating Expenses	4,489,600	150,600	8,512,400	13,152,600	4,425,700	164,100	7,346,100	11,935,900
Capital Outlay	14,700	0	0	14,700	3,800	0	0	3,800
Recharges/Pass Thru	0	(538,100)	0	(538,100)	0	(534,400)	0	(534,400)
Total	\$28,788,500	\$42,000	\$8,816,800	\$37,647,300	\$29,227,700	\$59,800	\$7,589,300	\$36,876,800

College of Fine Arts

In support of the University of Kentucky's flagship mission, the College of Fine Arts (CFA) offers the most comprehensive training and scholarship in the arts in the Commonwealth. The CFA is comprised of more than 800 students, 150 faculty/staff, and 5 performance venues, including the Singletary Center for the Arts. Undergraduate and graduate coursework is offered leading to degrees in:

- Arts Administration - B.A., (online M.A. pending)
- Art Education - B.A., M.A.
- Art History - B.A., M.F.A.
- Art Studio - B.A., BFA, M.F.A.
- Music - B.A.
- Music Education - B.M., M.M., Ph.D.
- Music Performance - B.M., M.M., D.M.A.
- Music Conducting and Composition - M.M., D.M.A.
- Music Theory, Musicology & Ethnomusicology - M.A., Ph.D.
- Sacred Music - M.M.
- Theatre Arts - B.A., M.A.
- Undergraduate Minors: Art History, Art Studio, Dance, and Music

The College also is actively engaged in providing a range of courses that fulfill the University's new General Education curriculum. The Department of Art and the School of Music are nationally accredited

by the National Association of Schools of Art and Design and the National Association of Schools of Music. The Department of Theatre is currently seeking accreditation from the National Association of Schools of Theatre.

Through cutting edge research and creative activity, the College of Fine Arts faculty explores the diversity and complexity of the human condition, with art as the vehicle of inquiry and expression. Research in the College is seen in traditional scholarship and creative exploration (performance, studio work, exhibitions, audio recording, theatrical design/production, or a combination of several forms). The spectrum of areas under investigation is broad and includes specific artistic pursuits as well as innovative interdisciplinary work.

The College of Fine Arts provides community service through public performances, exhibitions, and presentations. The Singletary Center for the Arts produces a diverse range of performance events featuring nationally and internationally renowned artists. With more than 350 events and an attendance of 100,000 annually, the Singletary's artistic and economic impact on the region is significant. The College's other venues for performance and exhibition include the Guignol Theatre, Briggs Theatre, and Little

Theatres; the Tuska Center for Contemporary Art; the Barnhardt and Reynolds Galleries; and the John Jacob Niles Gallery. Additionally, faculty and staff participate in many of Kentucky's arts organizations as artists and consultants. Each unit within the College engages in outreach activities, taking various performances and demonstrations around the state and to various schools throughout the region.

In December 2004, the College placed an "Extension Agent for Fine Arts" in Pike County, Kentucky. This was the first position of its kind in the United States. Since then four more agents have been added in Whitley, Boyd, Greenup, and Muhlenberg counties. These agents promote the arts and foster life-long learning in the communities they serve. CFA's global outreach also is wide in scope with study abroad initiatives, research trips, and performances produced throughout Europe, Asia, and the Middle East.

The College of Fine Arts continues to achieve national and international prominence in scholarship, artistic training, and production. Members of the faculty are exceptionally productive and the College has considerable success in placing graduates in professional arts organizations and academic institutions throughout the nation and abroad.

College of Fine Arts

College of Fine Arts

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$5,324,800	\$0	\$0	\$5,324,800	\$5,853,200	\$0	\$0	\$5,853,200
Staff	1,098,900	0	0	1,098,900	1,324,900	0	0	1,324,900
Other	855,900	0	164,300	1,020,200	940,100	0	176,000	1,116,100
Fringe Benefits	1,963,500	0	44,200	2,007,700	2,177,000	0	47,200	2,224,200
Total Personnel Services	9,243,100	0	208,500	9,451,600	10,295,200	0	223,200	10,518,400
Operating Expenses	1,525,600	0	1,162,300	2,687,900	1,674,000	0	1,102,400	2,776,400
Capital Outlay	5,000	0	0	5,000	5,000	0	0	5,000
Recharges/Pass Thru	(40,000)	0	0	(40,000)	(40,000)	0	0	(40,000)
Total	\$10,733,700	\$0	\$1,370,800	\$12,104,500	\$11,934,200	\$0	\$1,325,600	\$13,259,800

College of Health Sciences

The College of Health Sciences is one of the first 12 colleges of allied health in the nation. It has a long history of educating high-quality clinicians, researchers, and health care leaders. The College has 10 academic programs housed in two departments and eight divisions. These units offer an array of bachelor's, master's, and doctoral degree programs. In addition to the programs offered at the University of Kentucky, Physician Assistant Studies has a site at Morehead State University; the Physical Therapy program has a site at the Center for Excellence in Rural Health in Hazard; and Clinical Leadership and Management is offered at a variety of locations throughout the Commonwealth via distance learning technologies.

Baccalaureate programs are offered in Clinical Laboratory Sciences, Clinical Leadership and Management, and Communication Sciences and Disorders. Human Health Sciences, a new baccalaureate degree program, is slated to begin in the Fall 2012 and will offer a pre-professional degree for students interested in medicine, dentistry, pharmacy,

physician assistant, and physical therapy programs. Master's degrees are offered in Athletic Training, Physician Assistant Studies, and Communication Sciences and Disorders.

The Physical Therapy program offers a clinical doctoral degree. Reproductive Sciences offers master's and doctoral degrees. The interdisciplinary, inter-institutional Rehabilitation Sciences doctoral program offers a degree with areas of emphasis in Athletic Training, Physical Therapy, Communication Sciences and Disorders, and Occupational Therapy. The divisions of Clinical Nutrition and Radiation Sciences participate in collaborative master's degree programs with departments in the College of Medicine.

Since its inception, the College has evolved from its original focus of training clinicians to its present focus of preparing future faculty, researchers, and advanced clinical specialists in the health sciences. The College has worked diligently to increase the number of doctorally-trained faculty, increase and strengthen

graduate programs, and obtain state-of-the-art space to run its instructional, research, and clinical programs. This effort is reflected in an increase in publications and grant applications by faculty and students, and a top-20 ranking in National Institutes of Health funding for schools of allied health. Research interests are varied, but many fall within the common themes of aging, rehabilitation, neurosciences, movement sciences, reproductive and women's health issues, and chronic disease.

The College provides outreach in a variety of ways, with faculty and students active in many community projects. Faculty and students within the College are engaged in the Shoulder-to-Shoulder program which sponsors health care trips to Ecuador. Students also participate in education abroad experiences in England, South Africa, and Australia. Student organizations continue a strong tradition of community service at the local, national, and international levels.

College of Health Sciences

College of Health Sciences

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds*	Auxiliary Funds			General Funds*	Auxiliary Funds		
Personnel Services								
Faculty	\$4,481,800	\$0	\$0	\$4,481,800	\$4,565,400	\$0	\$0	\$4,565,400
Staff	1,738,900	0	0	1,738,900	1,991,700	0	0	1,991,700
Other	499,500	0	9,000	508,500	217,500	0	7,500	225,000
Fringe Benefits	1,922,000	0	0	1,922,000	1,995,000	0	0	1,995,000
Total Personnel Services	8,642,200	0	9,000	8,651,200	8,769,600	0	7,500	8,777,100
Operating Expenses	1,566,900	0	346,200	1,913,100	1,692,500	0	334,800	2,027,300
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	(1,549,000)	0	0	(1,549,000)	(1,638,900)	0	0	(1,638,900)
Total	\$8,660,100	\$0	\$355,200	\$9,015,300	\$8,823,200	\$0	\$342,300	\$9,165,500

*NOTE: Included in these numbers are \$25,000 and \$25,000 of transfers to UK from the Fund for FY 2010-11 and FY 2011-12 respectively.

College of Law

The College of Law is committed to developing just solutions for the complex legal problems facing the Commonwealth of Kentucky, the United States, and the world through education, research, and service.

The College of Law prepares students to be responsible members and leaders of the legal profession who are dedicated to the highest standards of ethics, excellence, and professionalism. Offering a professional education leading to a Juris Doctor (J.D.) degree, the College has a national reputation for excellence in teaching. Its professors have won the University of Kentucky Great Teacher Award 12 times, the Provost's Teaching Award, and the Kentucky Advocates for Higher Education's Acorn Award. With an entering class of 140 students and a student-teacher ratio of 15 to 1, faculty work closely with students on their legal studies.

The College of Law faculty engages in the robust exploration and dissemination of ideas examining the law, legal institutions, and the role of law in society at

the state, national, and international levels. It has a long-standing culture of consistent and strong legal scholarship. The faculty publishes on a regular basis, and many of its members have garnered national and international attention with their publications. Law faculty publish leading scholarly treatises in their fields as well as numerous law texts and law practice materials. Five of its professors are elected members of the American Law Institute.

The College of Law also serves the community and the profession by enhancing public understanding of the law, engaging in law reform, delivering continuing legal education to the practicing bar, and providing legal services to the indigent. College of Law professors serve as advisors to lawyers, judges, legislators, executive branch officials, and other policy-makers. Members of the faculty were instrumental in developing Kentucky's criminal code, rules of evidence, professional conduct rules, and other legislation. The College has played an important role in advancing civil rights in the Commonwealth. It also prepares students

for public service as elected officials. Graduates of the College of Law include six of Kentucky's last 12 governors, three of its last eight state Attorneys General, the recent Secretary of State, three current members of Congress, one current U.S. Senator, and five of the seven Justices of the Kentucky Supreme Court, as well as many state legislators and local community leaders.

The Alvin E. Evans Library is an integral part of the University of Kentucky College of Law, with the primary mission of supporting the College's academic programs, and providing the highest quality service to students, faculty, alumni, and members of the bench and practicing bar. With a comprehensive collection of nearly 470,000 volumes, extensive electronic resources, and a professional, service-oriented faculty and staff, the Law Library strives to exceed the expectations of all its constituents by providing creative and flexible solutions to diverse and evolving information needs.

College of Law

College of Law

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$3,695,800	\$0	\$0	\$3,695,800	\$3,984,500	\$0	\$0	\$3,984,500
Staff	1,511,500	0	66,200	1,577,700	1,627,900	0	41,300	1,669,200
Other	126,800	0	873,700	1,000,500	196,500	0	826,200	1,022,700
Fringe Benefits	1,616,500	0	22,800	1,639,300	1,598,200	0	13,200	1,611,400
Total Personnel Services	6,950,600	0	962,700	7,913,300	7,407,100	0	880,700	8,287,800
Operating Expenses	503,000	0	1,580,400	2,083,400	430,900	0	1,493,100	1,924,000
Capital Outlay	1,118,400	0	273,600	1,392,000	1,108,400	0	1,910,000	3,018,400
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$8,572,000	\$0	\$2,816,700	\$11,388,700	\$8,946,400	\$0	\$4,283,800	\$13,230,200

College of Medicine

The nationally-recognized University of Kentucky College of Medicine educates medical students, graduate students, residents/fellows, undergraduates, postdoctoral fellows, and practicing health care professionals. Study in the areas of primary care, locally and off-site, is a significant part of the program. The basic science departments offer doctoral degrees, provide an integrated first-year curriculum for graduate students, and participate in a unified master's degree program. The Department of Behavioral Science and Graduate Center for Toxicology provide doctoral mentoring and support for graduate students in a number of other departments across the University.

The Graduate Medical Education Program provides resident/fellow training in 47 programs accredited by the Accreditation Council on Graduate Medical Education (ACGME). Training also is offered to residents in Pediatrics/Psychiatry/Child and Adolescent Psychiatry; General Dentistry; Pediatric Dentistry; Oral and Maxillofacial Surgery; Optometry; Pharmacy Practice and specialties; Health Administration; Medical Physics; and Pastoral Care. The clinical departments also have multiple programs for residents interested in primary care. These programs are based both at the Chandler Hospital and at a number of clinical practice settings principally in eastern Kentucky in conjunction

with the University's four Area Health Education Center (AHEC) affiliations in:

- Mt. Vernon and Danville (Southern)
- Hazard and Cumberland (Southeast)
- Northern Kentucky, Williamstown, and North Lexington (North Central)
- Morehead and Ashland (Northeast)

The Department of Family Medicine offers a rural-based Family and Community Medicine residency program in Hazard and a rural track program in Morehead, both of which are accredited by the Accreditation Council of Graduate Medical Education.

The College serves as a major focus for research in the biomedical sciences at the University. Areas of research strength include aging, cancer, neurological disorders, cardiopulmonary disease, spinal cord and brain injury, and substance abuse prevention. The College attracts significant extramural funding from the National Institutes of Health as well as the Commonwealth and industry. Faculty expertise in interdisciplinary programs in these research areas has led to the development of centers such as the Sanders-Brown Center on Aging, the Spinal Cord and Brain Injury Research Center, the Markey Cancer Center, the Center on Drug and Alcohol Research, the Barnstable-

Brown Diabetes and Obesity Center, the Saha Cardiovascular Research Center, the Center for Muscle Biology, and the Center for Clinical and Translational Science. Expansion in the research activities under the auspices of the Research Challenge Trust Fund greatly enhanced the reputation of the College. It also has led to strategic planning for the integration of research in basic science and clinical areas to develop programs that provide an impact on the understanding of human health and disease.

Patient care constitutes most of the College's public service efforts. The Kentucky Clinic ambulatory care system is comprised of the 15 medical departments in the College of Medicine operating as the University physician's medical group; the University Health Service; Adult and Pediatric Dentistry; and a Pharmacy. Patient visits average over 575,000 annually through outreach clinics/community clinics as well as Kentucky Clinic and Kentucky Clinic-South. University physicians also provide patient care and consultation at over 90 community-based clinics throughout central and eastern Kentucky, working with local health care providers and systems.

College of Medicine

College of Medicine

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$109,085,500	\$0	\$0	\$109,085,500	\$131,722,200	\$0	\$0	\$131,722,200
Staff	50,391,600	104,900	0	50,496,500	51,723,600	146,400	0	51,870,000
Other	60,525,600	9,000	4,407,800	64,942,400	52,418,300	100,200	4,437,000	56,955,500
Fringe Benefits	59,577,200	39,100	1,022,700	60,639,000	66,406,700	62,800	1,130,700	67,600,200
Total Personnel Services	279,579,900	153,000	5,430,500	285,163,400	302,270,800	309,400	5,567,700	308,147,900
Operating Expenses	21,906,600	32,400	11,956,200	33,895,200	21,312,300	42,400	10,476,000	31,830,700
Capital Outlay	2,095,700	0	45,000	2,140,700	470,900	0	17,000	487,900
Recharges/Pass Thru	(108,993,100)	(185,400)	0	(109,178,500)	(112,219,900)	(351,800)	0	(112,571,700)
Total	\$194,589,100	\$0	\$17,431,700	\$212,020,800	\$211,834,100	\$0	\$16,060,700	\$227,894,800

Area Health Education Center Program

The Area Health Education Center Program is a cooperative effort between the University of Kentucky and the University of Louisville medical centers and is funded by federal, state, and local appropriations. The University of Kentucky program is administered by the Director who reports through the College of Medicine Associate Dean for Educational Engagement to the Vice President for Clinical Academic Affairs and involves participation of the Medical Center colleges of Dentistry, Health Sciences, Medicine, Nursing, Public Health, and Pharmacy. Other units, including the College of Social Work, also are involved. The

Program's primary goal is to improve the distribution of health practitioners in the rural and underserved areas of the Commonwealth by improving the practice environment, providing student experiences in communities, and encouraging an interest in health careers among children from disadvantaged backgrounds.

Area Health Education Center Program

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$63,500	\$0	\$0	\$63,500	\$63,600	\$0	\$0	\$63,600
Staff	290,600	0	0	290,600	275,200	0	0	275,200
Other	0	0	0	0	0	0	0	0
Fringe Benefits	113,200	0	0	113,200	109,200	0	0	109,200
Total Personnel Services	467,300	0	0	467,300	448,000	0	0	448,000
Operating Expenses	1,037,800	0	8,200	1,046,000	1,037,800	0	8,000	1,045,800
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$1,505,100	\$0	\$8,200	\$1,513,300	\$1,485,800	\$0	\$8,000	\$1,493,800

Center for Cancer Prevention, Education, Research, and Patient Care

The mission of the Lucille P. Markey Cancer Center (the programmatic and organizational unit of the Center for Cancer Prevention, Education, Research, and Patient Care) is to reduce the incidence, morbidity, and mortality of cancer through a comprehensive program of cancer prevention, early detection, clinical and basic research, patient care, and education.

Research emphases include Oxidative Injury and Repair, Cancer Cell Biology/Signaling, Experimental Therapeutics, and Cancer Prevention and Control. Cancer types of special emphasis include: breast, lung, genitourinary, gastrointestinal, gynecologic, leukemia/lymphomas, prostate, thyroid, head and neck, and brain cancers.

The Center offers a comprehensive venue of cancer service for early detection/diagnosis/evaluation through

advanced cancer treatment with multidisciplinary management plan review. Specialized treatment modalities include: blood, marrow, and stem cell transplantation; chemoinfusion; stereotactic body radiation therapy; radiation brachytherapy; high field, spatially fractionated, and Intensity Modulated Radiation Therapy; GAMMA Knife, minimally invasive/robotics, and reconstructive surgery; and high dose and combination chemo-radiation therapy. Genetic counseling also is available.

The Center maintains active leadership in National Cancer Institute Cooperative Groups, including: Southwest Oncology Group (SWOG); the National Surgical Adjuvant Breast and Bowel Project (NSABP); the Radiation Therapy Oncology Group (RTOG); the Gynecologic Oncology Group (GOG); and the Children's Oncology Group (COG). It also

has partnerships with a number of pharmaceutical companies, offering a plethora of innovative clinical oncology studies.

Through the associated Kentucky Lung Cancer Research Program, the Center supports a broad array of lung cancer investigations, a Biospecimen Repository, and a regional Clinical Trials Network. The associated Cancer Prevention and Control Program conducts a variety of cancer control and community outreach and research projects throughout the Commonwealth of Kentucky. The Cancer Prevention and Control Program also manages the statewide Kentucky Cancer Registry. More than 150 faculty from 28 departments of the University participate in and contribute to the Center's programs.

Center for Cancer Prevention, Education, Research, and Patient Care

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$1,093,900	\$15,400	\$0	\$1,109,300	\$1,251,300	\$17,300	\$0	\$1,268,600
Staff	2,312,800	177,800	0	2,490,600	2,411,000	177,800	0	2,588,800
Other	100,000	0	3,027,800	3,127,800	0	27,000	3,216,100	3,243,100
Fringe Benefits	1,111,800	62,500	822,100	1,996,400	1,153,800	73,100	849,100	2,076,000
Total Personnel Services	4,618,500	255,700	3,849,900	8,724,100	4,816,100	295,200	4,065,200	9,176,500
Operating Expenses	341,300	16,600	11,226,000	11,583,900	464,400	27,000	6,715,300	7,206,700
Capital Outlay	0	0	3,000	3,000	0	0	122,000	122,000
Recharges/Pass Thru	(1,565,100)	(272,300)	0	(1,837,400)	(1,920,700)	(322,200)	0	(2,242,900)
Total	\$3,394,700	\$0	\$15,078,900	\$18,473,600	\$3,359,800	\$0	\$10,902,500	\$14,262,300

Center for Excellence in Rural Health

The Center for Excellence in Rural Health - Hazard's (UK CERH-Hazard) mission is to improve the health of Kentucky's Appalachian people. The mission of the UK CERH-Hazard is accomplished through education, research, service, and community engagement.

The Center for Excellence in Rural Health - Hazard is based in Hazard, Kentucky, a coal mining town of about 6,000 people. The program employs approximately 150 people statewide. With a presence in nearly two-thirds of Kentucky's 120 counties, the Center has a long history of award-winning programs and innovative collaborations between academic, community, and government groups.

- UK CERH-Hazard hosts the UK North Fork Valley Community Health Center (UK NFVCHC), which is a co-applicant between the University of Kentucky College of Medicine, Center for Excellence in Rural Health, and the North Fork Valley community board. It is the first community health center in Kentucky to be affiliated with a university and family

medicine residency training program. UK NFVCHC provides many types of service and offers a sliding fee scale to help reduce the financial burden that health care costs can create. All patients are treated regardless of their income or ability to pay.

- Besides the family medicine residency program, which is accredited for 12 family medicine resident physicians, UK CERH-Hazard offers the following University of Kentucky academic programs: a Doctoral Physical Therapy Program, a Master's in Social Work, and a Bachelor's in Clinical Leadership Management Program. Nearly 80 percent of the graduates are practicing in rural areas, most in Kentucky.
- UK CERH-Hazard houses the nationally-recognized lay-health division, Kentucky Homeplace, which links underserved individuals in 58 rural counties to needed health and social services.
- The Kentucky State Office for Rural Health is housed at UK CERH-Hazard to provide technical assistance, rural health policy information, and data

to rural clinics, hospitals, and providers across the state.

- UK CERH-Hazard provides a growing infrastructure for the Translational Research Program to aid new grants and community engagement research.

The Center's Division of Research was created to conduct rural health research relating to health disparities, health outcomes, health policy, and health care delivery systems. The goals of the Center's research are to improve the efficiency and effectiveness of health care for rural persons, eliminate health disparities, and overcome barriers to access to quality care for the poor and underserved. The Center seeks to raise public awareness of rural health issues via dissemination of its research findings through publications, presentations and technical assistance to health practitioners, scholars, and public groups. It also provides technical assistance by supplying information about grants available from federal sources.

Center for Excellence in Rural Health

Center for Excellence in Rural Health

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$1,129,500	\$0	\$0	\$1,129,500	\$1,141,300	\$0	\$0	\$1,141,300
Staff	1,429,800	0	0	1,429,800	1,458,200	0	0	1,458,200
Other	52,400	0	72,000	124,400	52,400	0	0	52,400
Fringe Benefits	829,100	0	14,600	843,700	824,500	0	0	824,500
Total Personnel Services	3,440,800	0	86,600	3,527,400	3,476,400	0	0	3,476,400
Operating Expenses	1,268,900	0	13,100	1,282,000	1,424,500	0	245,200	1,669,700
Capital Outlay	25,000	0	0	25,000	25,000	0	0	25,000
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$4,734,700	\$0	\$99,700	\$4,834,400	\$4,925,900	\$0	\$245,200	\$5,171,100

Primary Care Residency Program

The Primary Care Residency Program, a result of Senate Bill 28 of the 1976 session of the Kentucky General Assembly and significantly amended in 1980, supports the training of primary care resident physicians for practice in underserved, primarily rural, areas of Kentucky. Funds are appropriated to support resident stipends and to underwrite faculty salaries for the supervision of those residents.

Primary Care Residency Program

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$608,200	\$0	\$0	\$608,200	\$604,200	\$0	\$0	\$604,200
Staff	0	0	0	0	0	0	0	0
Other	1,962,800	0	0	1,962,800	1,982,600	0	0	1,982,600
Fringe Benefits	565,000	0	0	565,000	563,100	0	0	563,100
Total Personnel Services	3,136,000	0	0	3,136,000	3,149,900	0	0	3,149,900
Operating Expenses	0	0	0	0	0	0	0	0
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$3,136,000	\$0	\$0	\$3,136,000	\$3,149,900	\$0	\$0	\$3,149,900

Sanders-Brown Center on Aging

The Sanders-Brown Center on Aging (SBCoA) focuses on scientific and clinical problems associated with aging, especially neurodegenerative disorders, such as Alzheimer's disease and stroke. The major goals of the Center are to:

- Continue to lead aging research and expand translational neuroscience research to more effectively translate discoveries into interventions and information that will benefit older adults
- Provide educational opportunities to older persons, the general public, and health care students and professionals regarding normal cognitive aging and neurodegenerative disorders of the elderly
- Create an infrastructure and culture to enable SBCoA to be a premier, vitally productive and innovative aging research center
- Serve as a model in the development of programs

and services that benefit elders and those who care for them

- Promote diversity and inclusive excellence in all SBCoA-related activities

The Center has developed a national and international reputation in Alzheimer's disease research. The Center has a National Institutes of Health (NIH)-funded Alzheimer's Disease Center (ADC) and an Alzheimer's disease program project grant which have each supported ongoing research for over two decades.

The Clinical Core of the ADC spearheads a number of clinical trials and also follows a large cohort of normal volunteer control subjects longitudinally and patients with Alzheimer's disease and other dementing illnesses. A strong program in prion disease research is present in the Center. The program in stroke research

is being enhanced by the planned hiring of new investigators. The Center has several endowed chairs and professorships, and large endowed Alzheimer's disease research and stroke research funds. The Sanders-Brown Center on Aging also has been designated by the Commonwealth of Kentucky as a Center of Excellence.

Moving forward, the Center plans to further enhance its focus on aging and Alzheimer's disease research and comprehensive services while growing research in other neurodegenerative disorders; expanding into closely related translational research areas; and forming synergistic liaisons with complementary Centers at UK. Key recruitments are planned to develop new synergies and enhanced basic/clinical science interactions, and to expand in the area of "bench-to-bedside" translational research.

Sanders-Brown Center on Aging

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$1,475,200	\$0	\$0	\$1,475,200	\$1,255,800	\$0	\$0	\$1,255,800
Staff	456,500	0	0	456,500	470,200	0	0	470,200
Other	0	0	190,200	190,200	0	0	198,000	198,000
Fringe Benefits	576,800	0	33,900	610,700	492,800	0	56,000	548,800
Total Personnel Services	2,508,500	0	224,100	2,732,600	2,218,800	0	254,000	2,472,800
Operating Expenses	179,400	0	1,606,700	1,786,100	49,400	0	1,549,100	1,598,500
Capital Outlay	0	0	75,000	75,000	0	0	61,000	61,000
Recharges/Pass Thru	(341,400)	0	0	(341,400)	(83,400)	0	0	(83,400)
Total	\$2,346,500	\$0	\$1,905,800	\$4,252,300	\$2,184,800	\$0	\$1,864,100	\$4,048,900

College of Nursing

The College of Nursing provides educational experiences leading to four academic degrees. The four-year baccalaureate curriculum provides entry into professional practice for all students, including those who hold degrees in other fields. A unique feature of the baccalaureate program is the scholar's option, which provides an opportunity for selected students to focus on special mentored clinical or research experiences.

The master's program is designed to provide skills in the advanced practice of nursing and the management of patient care. Research utilization, evidence-based practice, and leadership are emphasized. The College stopped admitting to the master's program in 2009 and current students will have completed by 2014. Graduates are eligible for certification as clinical nurse specialists or nurse practitioners.

The College has two doctoral programs. Both programs offer post-Bachelor of Science in Nursing and post-Master of Science in Nursing entry points.

The Ph.D. program prepares students to assume leadership roles in nursing research and education and delivery of nursing services. Emphasis is on conducting clinical research and developing and testing mid-range theories for the generation of new knowledge applicable to nursing practice. The post-master's Doctor of Nursing Practice program, which was the first of its kind in the U.S., focuses on evidence-based practice and research utilization for the improvement of clinical-care delivery, patient outcomes, and system management.

The College's research emphasis is prevention and management of chronic health problems and health service and delivery. Within the field of health service delivery research, the emphasis is on the development of the science underlying the design of health programs. Research is conducted on significant health problems in Kentucky and across the United States utilizing diverse methodologies and linking faculty with investigators in other fields. Interdisciplinary research opportunities are emphasized.

The Academic Clinical Practice program is significant to the educational, research, and service missions of the College. Faculty and professional staff provide clinical services in over 20 locations, including UK HealthCare and sites in central and eastern Kentucky. As part of this effort, the College operates the nurse-managed Good Samaritan Nursing Center for Health Promotion and Illness Prevention. This initiative includes individual, family, and population-based care delivery in five different locations, including elementary and middle schools and clinics for vulnerable populations. Nursing faculty also practice within UK HealthCare, including the Center for Advancement of Women's Health, Family Practice, Internal Medicine, Pediatric Endocrinology, and the Chandler Medical Center's Intensive Care units. These practices offer important services to clients and provide a living laboratory in which to teach students enrolled in all College programs.

College of Nursing

College of Nursing

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds*	Auxiliary Funds			General Funds*	Auxiliary Funds		
Personnel Services								
Faculty	\$5,072,300	\$0	\$0	\$5,072,300	\$5,191,400	\$0	\$0	\$5,191,400
Staff	1,719,900	0	0	1,719,900	1,862,500	0	0	1,862,500
Other	1,285,000	0	35,000	1,320,000	1,589,200	0	35,400	1,624,600
Fringe Benefits	2,197,600	0	0	2,197,600	2,303,600	0	0	2,303,600
Total Personnel Services	10,274,800	0	35,000	10,309,800	10,946,700	0	35,400	10,982,100
Operating Expenses	299,700	0	467,800	767,500	343,500	0	428,600	772,100
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	(898,800)	0	0	(898,800)	(1,491,200)	0	0	(1,491,200)
Total	\$9,675,700	\$0	\$502,800	\$10,178,500	\$9,799,000	\$0	\$464,000	\$10,263,000

*NOTE: Included in these numbers are \$775,000 and \$629,700 of transfers to UK from the Fund for FY 2010-11 and FY 2011-12 respectively.

College of Pharmacy

The University of Kentucky College of Pharmacy, ranked 5th in the nation by U.S. News and World Report, is an international leader in pharmacy education, pharmaceutical science research, and clinical programs. Graduates of the Doctor of Pharmacy (Pharm.D), Ph.D., and post-doctoral residency programs serve as company presidents, elected officials in major national professional associations, industry executives, and health-care administrators. College of Pharmacy alums also are leaders in the academic world, as you can find graduates in colleges of pharmacy across the nation and world. Pharmacists educated at the College provide high quality innovative services to the citizens of the Commonwealth and serve as economic engines in communities across the state. University of Kentucky students are #1 in the nation in first-time pass rates on the national licensing exam.

The College offers a four-year professional program leading to the Pharm.D degree. The College also offers a graduate (master and doctoral) program in Pharmaceutical Sciences and post-doctoral fellowship training that prepare students for academic and industrial careers in pharmaceutical and biomedical research in four areas of study: Drug Discovery, Drug Development, Clinical and Experimental Therapeutics, and Pharmaceutical Outcomes and Policy. Collaborations with other academic units include numerous dual degree programs (i.e., Master of Business Administration, Master of Public Administration, Master of Public Health, Master of Public Policy, and Master of Science in Economics).

In partnership with UK HealthCare, the Veterans Affairs Medical Center (VAMC), and community partners,

the College offers nationally accredited residency training in Advanced Pharmacy Practice in community and ambulatory care settings along with nationally-recognized specialized clinical programs in a variety of therapeutic disciplines.

The College is organized into two academic departments: Pharmaceutical Sciences (PS) and Pharmacy Practice and Science (PPS). Faculty members in the departments contribute to the academic excellence of the education and research programs to maintain the College as a Top 25 National Institutes of Health-funded institution among all colleges of pharmacy. Recently, the faculty was honored with a national ranking of 4th by Academic Analytics based upon research productivity, citations, referred publications, and honors.

The Pharmaceutical Sciences Department is involved in teaching basic pharmaceutical sciences in the Pharm.D and research skills in the College's graduate program. The Department successfully competes for extramural funds from both federal sources and the pharmaceutical industry. Department faculty have dynamic collaboration with more than 20 departments and programs within the University as well as with numerous prestigious universities both nationally and internationally. Research efforts of faculty members have played a major role in the development of intellectual property, numerous startup companies, and a royalty income stream for the University.

The Department of Pharmacy Practice and Science integrates and demonstrates the application of science in practice throughout course content in the Pharm.D program classroom clinical instruction; training in a

state of the art patient care laboratory; and experiential education programs. A partnership with PPS graduate faculty continues to offer opportunities for graduate students to acquire clinical research training as they pursue a Ph.D. in Clinical and Experimental Therapeutics. PPS faculty have collaborative research partnerships with faculty in the College of Medicine, College of Public Health, the Martin School of Public Policy, and with basic science faculty in the College of Pharmacy. Pharmacy practice and research leadership is integral to PPS faculty activities with patient care sites ranging from community to specialized disciplines within the UK HealthCare and VAMC facilities.

The College is engaged across the Commonwealth through externally-funded Clinical Education centers in Louisville and Owensboro. Moreover, the College administers clinical training agreements with more than 300 preceptors across the Commonwealth who provide clinical training and mentoring to pharmacy students throughout the curriculum.

The College has established a number of international partnerships with outstanding institutions providing opportunities for students and faculty to participate in visiting scholar exchange and study abroad programs. Engagement also includes a focus on consulting with institutions across Kentucky to develop and expand pharmacy residency training opportunities.

In January 2010, the UK College of Pharmacy moved to its new home in the \$133 million BioPharm Complex at 789 South Limestone Avenue. The 286,000-square-foot facility is the largest academic building in Kentucky and among the largest in the nation.

College of Pharmacy

College of Pharmacy

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$7,141,300	\$0	\$0	\$7,141,300	\$7,241,700	\$0	\$0	\$7,241,700
Staff	2,455,000	0	0	2,455,000	2,416,600	0	0	2,416,600
Other	588,800	0	450,000	1,038,800	571,400	0	443,700	1,015,100
Fringe Benefits	2,977,500	0	0	2,977,500	2,948,700	0	0	2,948,700
Total Personnel Services	13,162,600	0	450,000	13,612,600	13,178,400	0	443,700	13,622,100
Operating Expenses	1,923,800	0	2,920,500	4,844,300	1,849,800	0	2,810,100	4,659,900
Capital Outlay	0	0	10,000	10,000	0	0	10,000	10,000
Recharges/Pass Thru	(575,800)	0	0	(575,800)	(303,700)	0	0	(303,700)
Total	\$14,510,600	\$0	\$3,380,500	\$17,891,100	\$14,724,500	\$0	\$3,263,800	\$17,988,300

College of Public Health

The mission of the College of Public Health is to provide public health education, research, and service that enhance the health status and quality of life for Kentuckians and individuals, families, and communities across the United States. The College is committed to the University's land-grant mission and to promoting human and economic development in partnership with public health practitioners and communities through a diverse model characterized by fairness and social justice.

The College of Public Health is comprised of six academic departments: Biostatistics, Epidemiology, Gerontology, Health Behavior, Health Services Management, and Preventive Medicine and Environmental Health.

In addition, the College houses two divisions, Bioinformatics and Cancer Biostatistics, which support the instruction, research, and service mission of the College.

Graduates of the College of Public Health are prepared for careers in public health practice, academia, health care administration, health-related foundations, voluntary health agencies, and social service agencies. The College offers two academic degree doctorates: a Ph.D. from the Gerontology program and a Ph.D. in Epidemiology and Biostatistics. The College offers a master's degree in Public Health, a masters degree in Health Administration, and a Master of Science in Clinical Research Design.

The Department of Preventive Medicine and

Environmental Health has a strong relationship with the College of Medicine through its clinical activities and medical resident student education.

The College has six research centers that focus on extramural-funded research in addition to educational and public services:

- The Center for Prevention Research
- The Kentucky Injury Prevention Research Center
- The Southeast Center for Agricultural Health and Injury Prevention
- The Rural Cancer Prevention Center
- The Center for Public Health Systems and Services Research
- The Center of Excellence in Public Health Workforce Research and Policy

College of Public Health

	2010-11 Revised Budget			2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds		
Personnel Services							
Faculty	\$3,374,300	\$0	\$0	\$3,672,200	\$0	\$0	\$3,672,200
Staff	904,100	0	0	865,900	0	0	865,900
Other	0	0	0	0	0	0	0
Fringe Benefits	1,271,900	0	0	1,341,500	0	0	1,341,500
Total Personnel Services	5,550,300	0	0	5,879,600	0	0	5,879,600
Operating Expenses	715,200	0	538,500	544,200	0	612,500	1,156,700
Capital Outlay	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0
Total	\$6,265,500	\$0	\$538,500	\$6,423,800	\$0	\$612,500	\$7,036,300

College of Social Work

The College of Social Work offers instruction leading to the degrees of Bachelor of Arts in Social Work (BASW), Master of Social Work (MSW), and Ph.D. in Social Work, accredited by the Council on Social Work Education. The BASW program prepares students to become entry-level social work practitioners, while the MSW program prepares advanced practitioners in one of two areas of concentration: mental health or family and community. Nearly 300 students are enrolled in the MSW program, including students at Morehead State University, Northern Kentucky University, and the Center for Excellence in Rural Health in Hazard. The College currently enrolls students in a joint doctoral program with the University of Louisville that prepares students for research and social work education.

An integral part of scholarly activity in the College

is research in areas directly related to the academic curriculum and improvement of social work practice and service-delivery systems. The faculty and graduate students conduct research on a broad array of human problems and collaborate with agriculture, behavioral science, education, psychiatry, and other academic departments at the University. Research relates to children's and families' well being, mental health and substance use, physical health, work-family issues, and community revitalization. The College is a designated Quality Improvement Center for child protective services and child welfare privatization. The College is home to two nationally recognized centers: the Center for the Study of Violence Against Children (CSVAC) and the Institute for Workplace Innovation (iWin). In addition, the College partners with the Department of Psychiatry, offering the Comprehensive

Assessment, Training, and Services Program that conducts multidimensional assessments of children and their families under the care of the Commonwealth of Kentucky Cabinet for Health and Family Services (CHFS).

The College's service activities include continuing education programs to advance the competence of human service personnel through evening and extension courses, workshops, institutes, and special training programs. The College has strong partnership programs with the CHFS through the Training Resource Center, foster parent training, adoption support, citizen review boards, and agency-based research and education sites.

College of Social Work

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$1,254,400	\$0	\$0	\$1,254,400	\$1,308,300	\$0	\$0	\$1,308,300
Staff	596,900	0	0	596,900	584,200	0	0	584,200
Other	267,900	0	0	267,900	296,500	0	0	296,500
Fringe Benefits	575,600	0	0	575,600	585,700	0	0	585,700
Total Personnel Services	2,694,800	0	0	2,694,800	2,774,700	0	0	2,774,700
Operating Expenses	669,400	0	531,400	1,200,800	670,400	0	531,800	1,202,200
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$3,364,200	\$0	\$531,400	\$3,895,600	\$3,445,100	\$0	\$531,800	\$3,976,900

Libraries

As the premier research library in the Commonwealth, UK Libraries create, collect, preserve, and provide access to information that is essential to the university's teaching, research, and service missions. The 83 faculty and professional staff and 106 support staff strive to make UK Libraries one of the nation's best public research libraries, recognized world-wide for enriching the intellectual life of the University, the Commonwealth, and beyond.

UK Libraries provide access to over 415 bibliographic databases and nearly 50,000 full-text electronic journals. Print library collections exceeded 3.9 million volumes in 2010. On-campus service is provided at:

- William T. Young Library
- Medical Center Library
- Special Collections
- Specialized branches in the colleges of Design, Education, Engineering, and Fine Arts

Two million people utilize UK Libraries annually, not including another 50,000 visits made daily to UK Libraries' Web sites. Electronic access to UK libraries provides information resources to students where they live and to researchers world-wide. Off-campus users are assigned to distance learning interlibrary loan services.

UKnowledge is a digital collection of unique scholarship created by UK faculty, students, departments, research centers, and administration. Created and managed by UK Libraries, UKnowledge supports multidisciplinary collaboration and captures, stores, organizes, preserves, and provides worldwide access to UK's intellectual capital. Members of UK's academic community are encouraged to contribute their scholarship to UKnowledge.

UK Libraries partners with other libraries in Kentucky and throughout the southeastern United States establishing purchasing consortia and document delivery networks. In addition, UK Libraries is one of 126 members of the Association of Research Libraries (ARL).

ARL has affirmed the importance of special collections as the true measure of a research library. In a world in which it is possible to provide rich core collections digitally, unique local and regional collections will have even greater importance. UK Libraries' Special Collections is the Commonwealth's largest repository of privately generated primary sources and rare printed materials.

UK Libraries administers The Kentuckiana Digital Library with funding support from the Kentucky Council on Postsecondary Education. The KDL is

built to enhance scholarship, research, and lifelong learning through the establishment of access to shared digital archival collections in Kentucky. It also provides guidance and instruction for Kentucky libraries, archives, historical societies, and museums on applying appropriate technologies used in the production of digital library resources.

The Louie B. Nunn Center for Oral History at the University of Kentucky is internationally-recognized for its outstanding collection of nearly 8,000 oral history interviews. The number of interviews available online continues to grow, providing greater access to the collection. Many interviews are transcribed and most are subject-indexed. The Louie B. Nunn Center for Oral History is currently developing the Online Oral History Projects Initiative, to offer searchable, full text transcripts on the Kentuckiana Digital Library syncing text from the transcripts to the corresponding audio and video.

The Wendell H. Ford Public Policy Research Center supports research and programming regarding public policy, politics, and Congress. The Center provides UK faculty and students involved in teaching and research in these areas the opportunity to work closely with the Ford Center on specific or general topics.

Libraries

Libraries

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$4,152,300	\$0	\$0	\$4,152,300	\$4,249,400	\$0	\$0	\$4,249,400
Staff	3,037,000	0	0	3,037,000	3,170,700	0	0	3,170,700
Other	706,500	0	45,000	751,500	706,500	0	42,500	749,000
Fringe Benefits	2,366,800	0	0	2,366,800	2,412,300	0	0	2,412,300
Total Personnel Services	10,262,600	0	45,000	10,307,600	10,538,900	0	42,500	10,581,400
Operating Expenses	691,200	0	742,400	1,433,600	698,200	0	877,500	1,575,700
Capital Outlay	8,003,100	0	3,783,200	11,786,300	7,883,000	0	3,985,000	11,868,000
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$18,956,900	\$0	\$4,570,600	\$23,527,500	\$19,120,100	\$0	\$4,905,000	\$24,025,100

Multidisciplinary Graduate Programs

The Graduate School:

- Serves more than 6,000 students in 64 doctoral programs, 98 master's programs in 170 fields, and 29 graduate certificate areas and 4 specialist programs
- Assists in recruitment, professional enrichment activities, and assessment of graduate students
- Coordinates the admissions process, maintains student records, certifies degrees, and administers University fellowships
- Is responsible for the enrollment and administrative oversight of the postdoctoral program, which provides doctoral graduates with opportunities for extended research and teaching experience with direct benefit to the University's teaching and research programs

- Provides a certificate in college teaching, which is part of the goal of preparing future faculty for the Commonwealth and beyond

Multidisciplinary Graduate Programs at the University of Kentucky:

- The James W. Martin School of Public Policy and Administration provides an integrated approach to the University's activities in public policy and administration. The Martin School offers four multidisciplinary graduate degree programs: the Master of Public Administration, the Master of Public Policy, the Master of Health Administration, and the Doctorate in Public Administration. The disciplines represented by the School's faculty include: Economics, Political Science,

Public Administration, Finance, Health Services, Management, Pharmacy, Psychology, Industrial Engineering, Sociology, and Economics. In addition, Martin School faculty, staff, and graduate students engage in multidisciplinary research on public policy and administration issues.

- The Patterson School of Diplomacy and International Commerce offers an interdisciplinary professional Master of Arts program that prepares students for international careers in both the public and private sectors. This three-semester program is especially suited for the student desiring a career with international companies; foreign trade organizations; non-governmental private organizations; federal governmental agencies such as the departments of State, Commerce, and Defense; and the intelligence community.

Multidisciplinary Graduate Programs

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$1,942,100	\$0	\$31,700	\$1,973,800	\$1,979,200	\$0	\$31,500	\$2,010,700
Staff	1,460,400	0	0	1,460,400	1,487,200	0	0	1,487,200
Other	97,400	0	57,800	155,200	97,400	0	59,200	156,600
Fringe Benefits	2,294,200	0	10,600	2,304,800	2,508,000	0	10,400	2,518,400
Total Personnel Services	5,794,100	0	100,100	5,894,200	6,071,800	0	101,100	6,172,900
Operating Expenses	6,071,800	0	1,449,800	7,521,600	5,948,300	0	1,590,500	7,538,800
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$11,865,900	\$0	\$1,549,900	\$13,415,800	\$12,020,100	\$0	\$1,691,600	\$13,711,700

Office of the Provost

The Provost, as the Chief Academic Officer for the University, directs all teaching, research, and service activities within the Provost area. The following units compose the Office of Provost:

- The Office of the Associate Provost for Faculty Affairs is responsible for providing interface between faculty and administration; support for a variety of faculty issues such as recruitment, orientation, development, mentoring, promotion, and tenure; and oversight of academic facilities.
- The Office of Budget and Administrative Services, including the Provost Budget Office, coordinates activities and provides leadership in the areas of administrative, business, and fiscal services in support of Provost Area initiatives.
- The Office for Institutional Research, Planning, and Effectiveness coordinates University-wide institutional research and effectiveness activities in support of executive decision-making, strategic

planning and evaluation, and quality enhancement. Additionally, the Office coordinates the University's response to external requests for information related to students, programs, faculty, and staff, including state and federal reporting requirements, requests for institutional accountability, and documentation of the quality of programs and services.

- The Academic Ombud helps resolve academic disputes between students and faculty or administration. When a student is unable to resolve grievances or complaints through usual means, the Ombud expedites the process or advises the student about the proper procedures to follow. Problems include, but are not limited to: violation of student academic rights; unfair teaching and grading practices; cheating and plagiarism; and discrimination and harassment.
- The Art Museum at the University of Kentucky promotes the understanding and appreciation of

art to enhance the quality of life for the people of Kentucky through collecting, exhibiting, preserving, and interpreting outstanding works of visual art from all cultures. The Art Museum, accredited by the American Association of Museums, also serves teaching, research, and service functions.

- The Office of the Associate Provost for Academic Administration is responsible for assisting the Provost in program evaluation and strategic planning, supporting faculty and staff in curriculum processes and maintaining the University's degree inventory in cooperation with the Council on Postsecondary Education. The Office administers The Center for Advancement of Learning and Teaching (CELТ); the Distance Learning Program; the Center for Inter-professional HealthCare Education, Research, and Practice; and the Kentucky Women Writers Conference. The Office coordinates University-wide academic events such as Founders Day and Commencement.

Office of the Provost

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$1,642,900	\$0	\$0	\$1,642,900	\$1,470,300	\$0	\$0	\$1,470,300
Staff	3,609,500	0	35,400	3,644,900	3,885,500	0	36,500	3,922,000
Other	85,800	0	124,600	210,400	65,400	0	73,500	138,900
Fringe Benefits	1,636,800	0	18,700	1,655,500	1,666,900	0	30,700	1,697,600
Total Personnel Services	6,975,000	0	178,700	7,153,700	7,088,100	0	140,700	7,228,800
Operating Expenses	5,071,600	0	1,177,600	6,249,200	7,196,600	0	1,320,100	8,516,700
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	(81,100)	0	0	(81,100)
Total	\$12,046,600	\$0	\$1,356,300	\$13,402,900	\$14,203,600	\$0	\$1,460,800	\$15,664,400

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Center for Clinical and Translational Sciences

The Center for Clinical and Translational Sciences (CCTS) at the University of Kentucky represents the alignment of ongoing transformation of the University's entire clinical and translational research enterprise with the goals of the National Institutes of Health (NIH) Roadmap Clinical and Translational Science Awards (CTSA) initiative.

The overall goal of the CCTS is to accelerate the translation of basic science advances to tangible improvements in public health. The CCTS accomplishes this goal by creating and sustaining an integrated academic discipline of clinical investigation and translational science that enables UK to (1) prime the pipeline of interdisciplinary and research through training and research collaborations; (2) fulfill its mandate to promote and improve the health and welfare of the citizens of the Commonwealth and the surrounding Appalachian region; and (3) provide unique insights from the region's special populations, disease burden, and rural outreach programs that are directly relevant to improvements in the health of the entire U.S. The CCTS program has four specific aims directly addressing impediments to translation.

1. Enhance and develop new outreach pathways to confront chronic health issues in rural Appalachia, ensuring that University research addresses

community concerns and that the community benefits from research findings

- Expand UK strengths in community-based participatory research to involve the lay community in determining research direction/ focus and to work together to build these research programs
 - Build on the successful model of the Center for Excellence in Rural Health-Hazard and UK strength's in practice-based research networks to engage the health provider community in joint research programs
 - Work with regional and national partners to create an Appalachian Translational Research Network to enhance existing collaborations and spark new ones that address the chronic disease burden in Appalachia
2. Develop the clinical and translational research programs of the future using innovative methods to champion collaborative team science
 - Promote collaborative team science through senior investigators (the CATalysts) who will identify: 1) individuals with novel research ready for translation; and 2) areas of emerging research excellence
 - Promote translational research and mentoring of junior investigators through activities such as the annual CCTS Spring Conference

- Provide improved access for all users through the CCTS Portal and Concierge services
3. Enhance existing educational pathways and develop novel methods to educate and excite the next generation of collaborative clinical and translational scientists
 - Market new degree-granting programs: MS in Clinical Research Skills, Ph.D. in Epidemiology and Biostatistics, MS and Ph.D. in Clinical and Translational Science, and a Pharmaceutical Outcomes and Policy track in the Ph.D. in Pharmaceutical Sciences program
 - Develop and market programs to support mentors and mentor development
 - Provide enhanced support for the clinician-scientist through intramurally- and externally-funded training programs
 4. Strengthen the infrastructure of the UK CCTS so the Center can continue to develop as a home for clinical and translational research and serve the needs of investigators
 - Provide access to CCTS resources via the Concierge Service and the Portal
 - Strengthen the development of biomedical informatics and novel methodologies through improved cross-disciplinary collaboration

Center for Clinical and Translational Sciences

Center for Clinical and Translational Sciences

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$98,500	\$0	\$0	\$98,500	\$102,400	\$0	\$0	\$102,400
Staff	940,000	0	0	940,000	961,500	0	0	961,500
Other	573,800	0	0	573,800	590,300	0	0	590,300
Fringe Benefits	533,600	0	0	533,600	536,000	0	0	536,000
Total Personnel Services	2,145,900	0	0	2,145,900	2,190,200	0	0	2,190,200
Operating Expenses	1,124,600	0	0	1,124,600	1,159,000	0	0	1,159,000
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	(2,701,100)	0	0	(2,701,100)	(2,761,100)	0	0	(2,761,100)
Total	\$569,400	\$0	\$0	\$569,400	\$588,100	\$0	\$0	\$588,100

Enrollment Management

The Office of Enrollment Management serves as the central unit responsible for undergraduate student enrollment with a mission focused on the Strategic Plan and Top 20 Business Plan. Enrollment Management includes the Office of Admission and University Registrar, Student Account Services, and Financial Aid and Academic Scholarships.

- The Office of Admission and University Registrar is responsible for all undergraduate admission and Registrar-related services. These services represent the enrollment and matriculation cycle for a student, beginning with prospective student recruitment, through advising and orientation, to registration. The cycle continues with student records through graduation. Components include:

UK Visitor Center; Undergraduate Admission/ Recruitment-Freshmen; Diversity and Transfer initiatives; Summer Advising Conferences (advising and registration); Residency (in-state and out-of-state tuition determination); Academic Common Market; Student Records (diplomas, grades, and transcripts); Registration – myUK; Veteran Resource Center; APEX/Degree Audit; Publications (bulletin, schedule of classes, and recruitment publications); Room Scheduling/Medical Center Classroom Scheduling; Adult Student Services; and Student Lifecycle Management/IRIS - all contributing to Enrollment Management.

- The Office of Student Account Services (SAS) is responsible for billing, collection, reporting,

reconciliation, analysis, and administration of student and third party sponsored receivables. For student loan receivables, responsibilities include: collection, reporting, budgeting, counseling, due diligence, cancellation, litigation, bankruptcy, deferment, and assignment. SAS personnel work closely with students; parents; University staff; and federal, state and private agencies in the collection of student and loan receivables, loan consolidation, and debt management. SAS staff members participate in many campus recruiting and retention initiatives (Preview Nights and Project Graduate); advising conferences; resource fairs; departmental functions (Answer Day and Senior Salute); and state and national professional conferences.

Enrollment Management

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	5,082,800	0	34,600	5,117,400	4,901,100	0	34,600	4,935,700
Other	3,624,600	0	6,000	3,630,600	3,371,200	0	2,200	3,373,400
Fringe Benefits	2,091,500	0	10,900	2,102,400	2,007,500	0	11,000	2,018,500
Total Personnel Services	10,798,900	0	51,500	10,850,400	10,279,800	0	47,800	10,327,600
Operating Expenses	2,397,700	0	5,059,900	7,457,600	2,157,600	0	4,739,600	6,897,200
Capital Outlay	7,500	0	0	7,500	7,500	0	0	7,500
Recharges/Pass Thru	(113,500)	0	0	(113,500)	(36,500)	0	0	(36,500)
Total	\$13,090,600	\$0	\$5,111,400	\$18,202,000	\$12,408,400	\$0	\$4,787,400	\$17,195,800

Institutional Diversity

The Office of Vice President for Institutional Diversity (OVPID) has a dual reporting responsibility to the President and the Provost and advises them on all academic, fiscal, and administrative policy decisions regarding the University's diversity goals; oversees development, implementation and evaluation of the University's Diversity Plan; and maintains active community involvement around diversity issues.

The Office serves as the focal point for the establishment of a climate that nurtures and sustains diversity in all spheres of the University. The OVPID is responsible for promoting collaboration across faculty, staff, administration, and students in earnest pursuit of the University's diversity goals as articulated by the President and Provost. In particular, the Office is charged with the development, implementation, and evaluation of the University's Diversity Plan, in close collaboration with all relevant governance and administrative units and departments.

Some of the existing programs within the OVPID and cross-campus collaborations include:

- The Center for Academic Resources and Enrichment Services (CARES), which provides a comprehensive academic support system that consists of tutoring; structured study groups; assistance with academic planning and learning skills; help with personal and social problems; peer mentoring; and the Freshman Summer Program
- The King Cultural Center
- The Health Care Colleges Office for Multicultural and Academic Affairs, which supports and provides services directly to the Medical Center colleges and UK HealthCare to foster success among under-represented students, faculty, and staff
- The Minority College Awareness Program (MCAP), which provides early intervention activities to students in the 4th through 9th grades. Students meet bimonthly to receive instruction in integrated mathematics and science, language arts, and African-American history.
 - The MCAP also sponsors a three-week summer session for young people in the 4th through 12th grades, and classes are offered in mathematics, science, computer science, agriculture, and teacher education.
 - The Lexington Traditional Middle School hosts the YMCA Black Achievers Seventh/Eighth Grade Cluster Component, involving students who learn about career choices in bi-monthly meetings during the academic year.
 - Winburn Middle School hosts the Realizing Academic Potential component that involves all students at the school in a number of different educational activities focused on improving the students' academic achievement.
- The Student Support Services program is funded through the U.S. Department of Education to address the needs of low income, first generation students and students with physical disabilities through special initiatives. The program offers academic enrichment, cooperative learning groups, counseling, tutoring, and exposure to cultural events generally not utilized by students from disadvantaged backgrounds.
- Collaboration with the Office of the Associate Provost for Undergraduate Education to monitor and improve student performance, retention, and graduation rates
- Collaboration with the Office of University Engagement to further diversity goals common to the University and the Lexington community
- Collaboration with the Office of the Associate Provost for Faculty Affairs to increase diversity and leadership of faculty of color
- Collaboration with the Office of International Affairs to bridge the gap between international and multicultural education, including a Fulbright Commission-sponsored program to give UK students direct acquaintance with Germany through a summer educational program
- Collaboration with the Office of Community Engagement to develop and nurture campus/ community relations
- Oversight of the UK Commission on Excellence, Diversity, and Inclusion (CEDI), comprised of several task forces that monitor diversity and inclusion efforts across a myriad of areas of the campus community, focusing on both academic and nonacademic, and including the three major campus constituents as well as campus engagement with the community and alumni

Institutional Diversity

Institutional Diversity

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	972,000	0	0	972,000	1,001,300	0	0	1,001,300
Other	215,900	0	0	215,900	215,800	0	0	215,800
Fringe Benefits	324,300	0	0	324,300	322,600	0	0	322,600
Total Personnel Services	1,512,200	0	0	1,512,200	1,539,700	0	0	1,539,700
Operating Expenses	321,800	0	311,200	633,000	313,600	0	305,100	618,700
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$1,834,000	\$0	\$311,200	\$2,145,200	\$1,853,300	\$0	\$305,100	\$2,158,400

Office of International Affairs

The Office of International Affairs (OIA) strives to further internationalize education at the University of Kentucky and within the Commonwealth by supporting an environment conducive to intercultural learning, research, and exchange and by fostering opportunities for students, staff, faculty, and citizens to develop the global perspective fundamental to full participation in the modern world. The Office:

- Recruits international students at the graduate and undergraduate levels, in concert with Enrollment Management
- Advises students who study outside of their home countries
- Promotes campus-wide planning and coordination of international education
- Stimulates the creation of more cross-cultural/

international courses and the addition of an international dimension to other courses

- Advocates for study abroad and coordinates opportunities for international student education in the areas of academic credit abroad, international internships, and service-learning opportunities, through UK-sponsored programs as well as those offered by external providers
- Supports programs for cross-cultural learning and discussion of international issues for students, staff, and faculty
- Establishes relationships with other institutions in the United States and overseas for the purposes of faculty, staff, and student exchange and to broaden international research and service opportunities
- Acts as a bridge between the international community and Kentuckians to create a supportive

environment for those interested in international opportunities

- Facilitates international initiatives on the part of individual colleges as well as those that involve multiple units on campus
- OIA oversees all immigration issues at the University including the SEVIS electronic student tracking service of the U.S. Citizenship and Immigration Services and employment visa and labor certification processing.

Office of International Affairs

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	972,700	82,000	0	1,054,700	1,003,300	86,300	0	1,089,600
Other	30,600	5,000	0	35,600	43,500	5,000	0	48,500
Fringe Benefits	329,800	26,300	0	356,100	341,100	28,200	0	369,300
Total Personnel Services	1,333,100	113,300	0	1,446,400	1,387,900	119,500	0	1,507,400
Operating Expenses	3,299,000	11,000	0	3,310,000	3,780,800	10,100	31,900	3,822,800
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	(124,300)	0	(124,300)	0	(129,600)	0	(129,600)
Total	\$4,632,100	\$0	\$0	\$4,632,100	\$5,168,700	\$0	\$31,900	\$5,200,600

Partnership Institute for Mathematics and Science Reform

The mission of the Partnership Institute for Mathematics and Science Education Reform (PIMSER) is to enhance learning in mathematics and science for P-20 students and teachers and to prepare students for success in science, technology, engineering, and mathematics (STEM)-based education, professions, and teaching careers. This mission is achieved by creating and implementing programs that enhance pre-service teacher preparation; in-service teacher quality; leadership development and support for implementing high-quality instruction; and student access to, and retention in, advanced learning opportunities.

The justification for the Institute derives from the recognition that the reform and enhancement of P-20 STEM education requires an integrated collaboration of both content and pedagogical areas of expertise and the establishment within the University of a center (or “portal”) to facilitate and manage the responses to these needs. Included within this operating principle also is the recognition that enhancement and reform of P-20 STEM education is within the missions and strategic plans of STEM-related colleges and departments in the University. Examples include its collaboration with the new P-20 Innovation Lab in the College of Education and the national Science and Mathematics Teacher Imperative (SMTI) of the Association of Public and Land Grant Universities. The PIMSER, therefore, serves not as an autonomous unit in which STEM education initiatives enhancement and

reform are solely initiated and conducted, but rather as an Institute whose mission and activities complement, synergize, and support those initiatives within the STEM-related academic departments. Membership on the PIMSER Advisory Board includes the deans of the colleges of Arts and Sciences, Education, and Engineering, as well as faculty experts in STEM and STEM education disciplines.

In like manner, PIMSER’s mission is directly linked to the University’s 2009-2014 Strategic Plan and is consistent with the compact between the University and the people of Kentucky articulated in its Top 20 Business Plan. The goals of the Institute’s Strategic Plan relate in meaningful ways to all of the goals of the University’s Strategic Plan. However, the most direct relationship is with Goal 5 (“Improve the Quality of Life of Kentuckians through Engagement, Outreach, and Service”).

The Top 20 Business Plan promises to “increase engagement in Kentucky’s schools, farms, businesses, and communities.”

PIMSER’s core strength is facilitating and supporting engagement between the University’s STEM faculty and those of the P-12 community. Currently, PIMSER has grant- and contract-supported programs in over 100 Kentucky counties. The PIMSER is an “umbrella institute” of seven units, each of which is administered

by a director or co-directors and “anchored” by projects supported through external grants and contracts from the National Science Foundation, the National Institutes of Health, Kentucky’s Department of Education, the Kentucky Council on Postsecondary Education, General Electric, the AT&T Foundation, and the Toyota USA Foundation.

The PIMSER units are:

- P-12 Math & Science Outreach
- Math and Science Computing & Distance Learning Initiatives
- Pre-Service Teacher Recruitment and Support Programs
- Evaluation and Assessment
- K-16 STEM Outreach-Minority and Appalachian Affairs
- Health Science Outreach Center
- Kentucky Girls STEM Collaborative

The primary programs for each unit are described in the PIMSER Annual Progress Report. The Institute consolidates the University’s STEM education, research, outreach/engagement, and assessment programs in order to coordinate and enhance UK’s state and national identity in this priority area. The PIMSER reports through its Executive Director to the Provost.

Partnership Institute for Mathematics and Science Reform

Partnership Institute for Mathematics and Science Reform

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$166,400	\$0	\$0	\$166,400	\$212,000	\$0	\$0	\$212,000
Staff	423,400	0	0	423,400	442,700	0	0	442,700
Other	111,100	0	0	111,100	48,500	0	0	48,500
Fringe Benefits	206,200	0	0	206,200	212,400	0	0	212,400
Total Personnel Services	907,100	0	0	907,100	915,600	0	0	915,600
Operating Expenses	634,600	0	16,000	650,600	198,900	0	5,000	203,900
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$1,541,700	\$0	\$16,000	\$1,557,700	\$1,114,500	\$0	\$5,000	\$1,119,500

Student Affairs

Student Affairs facilitates student development outside the classroom and provides student services that develop and support relationships between students and the University. The Vice President for Student Affairs is responsible for communicating the needs of students to the University; evaluating quantitative and qualitative aspects of student life; and coordinating and supervising the administrative, service, and student involvement functions within the Student Affairs Division, including the following:

- The Dean of Students is responsible for the student judicial process; new student programs; disciplinary clearances; implementation of the student code; advising the fraternity and sorority system; coordinating disability resources; alcohol health education; campus recreation; and other responsibilities focused on assisting students in their holistic development. In addition, the Disabilities Resource Center provides proctoring for accommodated testing for students with disabilities.
- The Office of Residence Life is responsible for

creating living-learning environments and other co-curricular experiences in the residence halls that engage students and support their academic, social, cultural, and personal needs.

- The Student Publications Program provides students the opportunity to learn the operation and management of media enterprises through practical experiences in print journalism and publication production.
- The Student Center houses student and campus services including Dining Service areas, theaters, a bookstore, lounges and meeting spaces, campus ID production, the student run radio station (WRFL 88.1), the Dining and Plus Account Office, and the Center for Student Involvement; and the Cats Den, a favorite entertainment and social hub for the campus. The Student Center also offers social, cultural, educational, and recreational activities for students.
- Student Involvement promotes membership and leadership in student clubs, organizations, and community service. Student Involvement also

advises and provides operational assistance to the Student Activities Board and the Student Government Association, which is the primary representative body for students.

- The Counseling Center offers individual and group psychological counseling on a variety of issues. The Center also administers individual and group psychological assessment instruments and national standardized tests.
- Dining Services provides students and the campus community with a variety of residential and retail dining experiences. Catering services also are offered to the campus community.
- The Violence, Intervention, and Prevention Center (VIP Center) is committed to implementing creative, research-driven strategies to effectively address physical, sexual, and psychological violence against students, faculty, and staff in the UK community at the prevention, intervention, and response levels.

Student Affairs

Student Affairs

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	4,350,400	5,560,200	0	9,910,600	4,555,200	6,299,800	0	10,855,000
Other	1,712,900	1,241,400	0	2,954,300	1,761,500	1,418,200	0	3,179,700
Fringe Benefits	1,506,800	2,044,600	0	3,551,400	1,591,600	2,323,200	0	3,914,800
Total Personnel Services	7,570,100	8,846,200	0	16,416,300	7,908,300	10,041,200	0	17,949,500
Operating Expenses	2,895,900	18,544,800	313,700	21,754,400	3,180,800	21,746,000	348,900	25,275,700
Capital Outlay	0	150,000	0	150,000	0	0	0	0
Recharges/Pass Thru	(7,700)	(3,078,100)	0	(3,085,800)	(7,700)	(3,522,000)	0	(3,529,700)
Total	\$10,458,300	\$24,462,900	\$313,700	\$35,234,900	\$11,081,400	\$28,265,200	\$348,900	\$39,695,500
Mandatory Transfers	0	66,000	0	66,000	0	66,000	0	66,000
TOTAL FUNDS	\$10,458,300	\$24,528,900	\$313,700	\$35,300,900	\$11,081,400	\$28,331,200	\$348,900	\$39,761,500

Student Financial Aid - Central

Through institutional and restricted funds, the University provides students with many scholarship and financial aid options. Students may be eligible for and receive academic-based scholarships (Office of Academic Scholarships); need-based assistance (Office of Student Financial Assistance); and departmental and college-based scholarships. For the 2010-11 academic year, the Office of Academic Scholarships extended scholarship offers to 4,198 students, with 2,100 of those offers being accepted. There were 517 William C. Parker Scholarships offered and 400 offers were accepted. The Office of Academic Scholarships administers three academic-based scholarship programs.

- The Academic Scholarship Program for Incoming Freshmen assists in the recruitment and retention of top academic students from Kentucky and the United States. Among the scholarships provided through this program are the Otis A. Singletary Scholarship, Patterson Scholarship for National Merit/Achievement Finalists, Presidential Scholarship, National Excellence Scholarship, Commonwealth Scholarship, Provost Scholarship,

Flagship Scholarship, and the Valedictorian Scholarship.

- The Continuing Student Scholarship Program provides academic-based scholarships for currently-enrolled UK undergraduate students who have a minimum cumulative grade point average of 3.5 at the University and are not otherwise receiving renewable awards through the Academic Scholarship Office.
- The Trustees Scholarship Program provides academic scholarships to students transferring to the University from an accredited institution. A special emphasis is placed on students transferring from a Kentucky Community and Technical College System institution with 48 credit hours or more and a minimum GPA of 3.3.

The Academic Scholarship Office also administers the Alumni Club Scholarship Program (50 alumni clubs offer scholarships based on academic merit) and the Legacy Tuition Program, which provides partial tuition scholarships to non-resident, undergraduate children of University of Kentucky graduates.

The Office of Student Financial Assistance (OSFA) is responsible for the administration, budgeting, and reporting of need-based federal, state, and institutional financial assistance programs. OSFA processes more than 30,000 financial aid applications annually for prospective and enrolled students seeking assistance to attend the University. OSFA administers the University's Catalyst Scholarship and UK One Year Grant programs, as well as 20 major federal and state financial assistance programs. OSFA personnel participate in more than 100 events annually on campus and statewide to provide students and parents with information about the financial aid application process and the availability of funding to attend the University. OSFA personnel also conduct annual training workshops for high school guidance counselors and Kentucky financial aid professionals regarding financial aid programs, regulations, procedures, funding availability, and current issues.

Many other scholarships are budgeted, administered, and awarded by the various colleges at the University of Kentucky.

Student Financial Aid - Central

Student Financial Aid - Central

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Fringe Benefits	0	0	0	0	0	0	0	0
Total Personnel Services	0	0	0	0	0	0	0	0
Operating Expenses	52,483,200	0	22,042,900	74,526,100	57,722,500	0	24,845,800	82,568,300
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$52,483,200	\$0	\$22,042,900	\$74,526,100	\$57,722,500	\$0	\$24,845,800	\$82,568,300

Undergraduate Education

The Office of Undergraduate Education is the focal point for matters related to the undergraduate academic experience across all colleges. The Office's mission is to promote undergraduate academic excellence through collaboration with colleges and support units across the University. This is realized through both administrative supervision and support of premier undergraduate programs and academic support units for students and faculty. Central to this mission is campus leadership on issues pertinent to student retention, curriculum reform, and innovation in teaching and learning. The Office provides administrative oversight for the General Education Program, the Undergraduate Council, student success initiatives, and a number of undergraduate research and student academic support programs.

The Office of Undergraduate Education has administrative responsibility for:

- The Academic Enhancement Center
- Advising and Academic Preparation Program for students with college readiness deficiencies (formerly the Academic Readiness Program)
- The Chellgren Center for Undergraduate Excellence
- The Discovery Seminar Program
- External Scholarship Office
- The First Scholars Program
- The Gaines Center for the Humanities
- The Honors Program
- The Intercollegiate Debate Program
- The Office of Undergraduate Research
- Retention and Student Success Programs

- The Robinson Scholars Program
- Stuckert Career Center – Career and Experiential Learning
- UK 101 and other academic preparation courses
- Undergraduate Studies - which provides advising services for all undeclared and pre-professional students campus-wide
- The Writing Initiative

The Office also is charged with providing the leadership necessary to improve undergraduate teaching and learning across the University by working with colleges and with the Center for the Enhancement of Learning and Teaching (CELT). The Office also represents the University in statewide general education and transfer credit matters.

Undergraduate Education

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$206,100	\$0	\$0	\$206,100	\$179,300	\$0	\$0	\$179,300
Staff	2,475,100	0	112,500	2,587,600	2,575,000	0	114,100	2,689,100
Other	266,300	0	0	266,300	258,500	0	0	258,500
Fringe Benefits	892,500	0	37,400	929,900	934,900	0	38,300	973,200
Total Personnel Services	3,840,000	0	149,900	3,989,900	3,947,700	0	152,400	4,100,100
Operating Expenses	1,913,300	0	847,800	2,761,100	1,969,800	0	1,014,400	2,984,200
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	(1,900)	0	0	(1,900)	(1,900)	0	0	(1,900)
Total	\$5,751,400	\$0	\$997,700	\$6,749,100	\$5,915,600	\$0	\$1,166,800	\$7,082,400

University Engagement

University Engagement activities include:

- Develop and administer the UK Commonwealth Collaboratives, which encourage and assist engagement, research-based application, and dissemination projects that have a substantial impact on Kentucky's priority needs in health, education, economic development, the environment and natural resources, and culture/quality of life
- Develop and administer the UK Engagement Measurement Instrument, documenting UK engagement activity in Kentucky, across the U.S., and internationally
- Develop and administer the Kentucky Engagement Conference (in collaboration with other Kentucky postsecondary institutions) to advance training in engagement, increase collaboration between Kentucky postsecondary schools, and enhance Kentucky's Engagement reputation regionally and nationally
- Oversee the Provost Public Scholar Award, which recognizes faculty and professional staff with multiple long-term engaged activities that significantly advance Kentucky
- Create, encourage, and reward University research-based initiatives that address Kentucky's priority needs: advance health, education, economic opportunity, the environment, and natural resources; and elevate the quality of life for Kentuckians
- Gain state, regional, and national recognition for the University as an engagement leader

- Encourage and assist University collaborative ventures with external partners to advance the Commonwealth and its people
- Lead, direct, and foster the Central Kentucky Japanese School, Japanese Programs, and other University initiatives that nurture diversity of thought, culture, gender, and ethnicity
- Identify, support, and create collaborative and multi-disciplinary community engagement opportunities for faculty, staff, and students maximizing their potential to participate in meaningful University-community partnerships

The Central Kentucky Japanese School offers elementary, middle, and high school education opportunities in Japanese language, social studies, and mathematics and operates in conjunction with Toyota Manufacturing and nearly 50 other affiliated central Kentucky Japanese corporations. Japanese Programs offers self-supporting programs in conjunction with the Saturday School. Offerings include Juku/tutoring, cultural events, Japanese Programs kindergarten, other occasional classes, and Japanese/English translation.

The Office of Community Engagement (OCE) views engagement as "a collaboration between the University and its external partners (local, state, regional, national, and global) for a mutually beneficial exchange of knowledge and resources in a context of partnership and reciprocity" (Carnegie Foundation).

The OCE focuses partnership efforts on health and human needs, economic development, and education. The OCE acts as a neutral convener within these focus areas while coordinating initiatives relating to community needs (local, state, regional, national and global); and provides technical support and leadership to both University and community entities to assist in creating sustainable relationships that go beyond the scope of the intended research or project.

Examples of these partnerships include the University Neighborhood Advisory Council and the Town and Gown Committee (both established to enhance the quality of life of Lexington citizens and near neighbors); coordinating community tours for faculty and staff (to navigate the community landscape and identify opportunities with service learning and scholarly research) while enriching the development of relationships with non-profits, businesses, and organizations; and overseeing the selection, funding, and tracking of the Community Partnership Events Program which addresses the priority needs of local and regional organizations.

Through its staff and administrative membership on local, regional, and state advisory councils and boards, the OCE stays well informed on community opportunities and needs and initiates with appropriate campus faculty, staff, administrators and student contacts to communicate those possibilities for partnership.

University Engagement

University Engagement

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	499,900	0	0	499,900	514,600	0	0	514,600
Other	163,300	0	0	163,300	163,400	0	0	163,400
Fringe Benefits	174,400	0	0	174,400	181,800	0	0	181,800
Total Personnel Services	837,600	0	0	837,600	859,800	0	0	859,800
Operating Expenses	78,900	0	16,200	95,100	73,800	0	16,200	90,000
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$916,500	\$0	\$16,200	\$932,700	\$933,600	\$0	\$16,200	\$949,800

University Press

The mission of the nonprofit University Press of Kentucky (UPK), founded in 1943, is the cultivation, publication, and dissemination of superlative scholarship in the humanities and social sciences. The University Press of Kentucky, a unit of the University of Kentucky reporting to the Provost, has been mandated to be the statewide scholarly publisher for the Commonwealth of Kentucky, serving:

- Bellarmine University
- Berea College
- Centre College
- Eastern Kentucky University
- The Filson Historical Society
- Georgetown College
- The Kentucky Historical Society
- Kentucky State University
- Morehead State University
- Murray State University
- Northern Kentucky University
- Transylvania University
- University of Kentucky
- University of Louisville
- Western Kentucky University

Each constituent institution is represented on a

statewide editorial board that determines editorial policy.

UPK publishes over 60 new titles each year and has in excess of 1,200 books in print. Many of these books foster greater understanding and appreciation of the history, politics, and culture of Kentucky and the region. UPK also has established itself globally as a leading publisher of scholarly books in a wide range of disciplines. UPK's areas of concentration include American History and American Studies, World History, Political Science, Political Theory, Public Policy, International Studies, African American Studies, Popular Culture Studies, Military History, Civil War, Southern History, Kentuckiana and Regional Studies, Women's Studies, Appalachian Studies, and Folklore.

UPK books regularly attract positive attention for the University in important journals such as the *Journal of American History*, *Political Science Quarterly*, *American Historical Review*, *Journal of Southern History*, *Historian*, the *American Political Science Review*, the *Journal of Appalachian Studies*, *Journal of Military History*, and many others. UPK books garnered positive reviews in such high profile national media as the *Wall Street Journal*, the *New York Review of Books*,

the *Los Angeles Times*, the *New York Times*, the *New Yorker*, and the *Washington Post*; and international media including the *Times Literary Supplement*, the *Frankfurt Allgemeine*, the *Daily Yomuri*, and the *Toronto Globe and Mail*.

During Fiscal Year 2011-12, UPK will respond to recent dramatic changes in the book publishing industry by expanding its digital book program and creating e-books for all frontlist titles to which the Press holds digital rights, and selected backlist titles. In partnership with Ingram Digital, UPK plans to expand from six current e-book distribution channels (Amazon Kindle, Google, Barnes & Noble, EBSCO, MyiLibrary, and Ebrary) to over 100 distribution channels.

UPK will continue to take advantage of evolving Print on Demand technology. By printing more books with print on demand vendors UPK will print books based on current demand, reducing inventory and reducing the expense of writing-off excess inventory in the future. UPK also will explore relationships with print on demand vendors in foreign nations to expand international distribution.

University Press

University Press

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	788,600	0	0	788,600	825,900	0	0	825,900
Other	6,000	0	6,000	12,000	6,000	0	6,000	12,000
Fringe Benefits	251,200	0	0	251,200	268,800	0	0	268,800
Total Personnel Services	1,045,800	0	6,000	1,051,800	1,100,700	0	6,000	1,106,700
Operating Expenses	1,563,300	0	162,200	1,725,500	1,520,900	0	150,100	1,671,000
Capital Outlay	10,000	0	0	10,000	10,000	0	0	10,000
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$2,619,100	\$0	\$168,200	\$2,787,300	\$2,631,600	\$0	\$156,100	\$2,787,700

African American Studies and Research Program

The University of Kentucky's African American Studies and Research Program (AASRP) seeks to establish a multidisciplinary community of scholars engaged in research inquiry regarding African Americans.

The efforts of the African American Studies and Research Program build on what was established by Professor Doris Wilkinson in 1991. With a focus on multidisciplinary research and inquiry on contemporary African American social issues, the Research Program is part of a broader effort by the University that includes academic programs for undergraduate and graduate instruction. The Program serves a four-fold mission to:

- 1) Provide an opportunity for synergistic research efforts among faculty and graduate students on critical issues having an impact on African Americans with the ultimate goal of deepening the knowledge-base
- 2) Seek collaboratively extramural funding to support research efforts
- 3) Disseminate research findings to the broader academic community and other constituencies
- 4) Collaborate with community partners regarding research pertaining to people of African descent

Broadly stated, AASRP maintains a focus on health disparities, family, education, socioeconomic issues, political and legal factors, and other contemporary issues affecting African Americans. In every area identified, African Americans are either disproportionately represented or they are experiencing

deleterious effects in comparison to their counterparts in other racial/ethnic groups. Research findings regarding these topics provide profound implications for alleviating the plight of African Americans locally, regionally, and nationally. Scholarly contributions in each of the aforementioned areas provide findings on relevant issues that have a significant impact on the provision of services to African Americans; provide culturally relevant ways of empowering and mobilizing African Americans to play an active role in their well-being; and assist the general public in obtaining a deeper understanding of relevant issues specific to African Americans. Research findings also provide information with significant legal and policy implications to improve the lives of African Americans. Research findings also provide key community stakeholders with information needed to have an impact on community involvement and the translation of research findings.

The goals of the AASRP are to:

- Provide scholars with opportunities for cross-fertilization between research projects
- Continue to offer bi-annual mini-grant competitions to expand research on critical issues having an impact on persons of African descent
- Facilitate supportive and collaborative research endeavors with junior scholars and graduate students interested in conducting research on issues specific to African Americans
- Seek internal and external funding through

collaborative grant-writing efforts

- Identify effective ways of ensuring community involvement, which has implications for the sustainability of research endeavors
- Design and execute topical, multidisciplinary symposia, workshops, and conferences
- Utilize technology to assist in the dissemination of research and the creation of publications that document the work of researchers at the University of Kentucky
- Collaborate with key community stakeholders regarding issues that have an impact on African Americans and the greater community
- Increase the number of students in the minor program by 10 percent
- Maintain monthly community engagement efforts at the Lyric Theatre and Cultural Arts Center

African American Studies and Research Program

African American Studies and Research Program

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	25,000	0	0	25,000	25,700	0	0	25,700
Other	35,500	0	0	35,500	35,500	0	0	35,500
Fringe Benefits	9,700	0	0	9,700	10,100	0	0	10,100
Total Personnel Services	70,200	0	0	70,200	71,300	0	0	71,300
Operating Expenses	25,000	0	0	25,000	25,000	0	0	25,000
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$95,200	\$0	\$0	\$95,200	\$96,300	\$0	\$0	\$96,300

Appalachian Center

The mission of the University of Kentucky Appalachian Center is to work in partnership with internal and external constituents to bring multifaceted expertise in research, teaching, and service to issues, challenges, and opportunities of importance to Appalachian communities. This broad mission is crystallized into three areas of activity:

1) **New Solutions** - The Center brings together faculty, citizens, community leaders, and policy-makers to explore new opportunities and craft specific innovations for the region. In FY 2011-2012 the Center and its partners will focus on identifying new opportunities for targeted research and strategic pilot programs.

2) **Essential Knowledge** - The Center seeks to foster the development and dissemination of new and important knowledge that can have an impact on the quality of life in Appalachian communities. In support of this broad research goal, the Center's Appalachian Databank provides online access to important data and develops a new critical tool for the analysis of socio-economic trends in the region - the Appalachian Snapshots. The Snapshots raise critical questions in order to spark conversation among citizens and policy-makers about socio-economic trends and ideas for affecting positive change. The Center also seeks to improve and broaden research in the Appalachian region by providing online training for faculty and graduate student researchers about key cultural and

historical background of the region and consultation about best practices in community-based research.

3) **Dynamic Networks** - The Center facilitates linkages and collaboration within and between organizations and communities so they can share resources and knowledge. The Center is currently building networks both within the University and in the region to support engaged scholarship. In addition, the Center links scholars across the globe to focus attention on the Appalachian region and on the common issues of importance to mountain communities internationally.

Appalachian Center

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	169,000	0	0	169,000	170,000	0	0	170,000
Other	14,500	0	0	14,500	8,400	0	0	8,400
Fringe Benefits	58,100	0	0	58,100	58,900	0	0	58,900
Total Personnel Services	241,600	0	0	241,600	237,300	0	0	237,300
Operating Expenses	15,400	0	2,000	17,400	12,100	0	33,600	45,700
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$257,000	\$0	\$2,000	\$259,000	\$249,400	\$0	\$33,600	\$283,000

Center for Applied Energy Research

The Center for Applied Energy Research (CAER) is a multidisciplinary research center that provides a focal point for energy and related environmental research. CAER's vision is to excel as a research and development center with an international reputation. The mission of CAER is to:

- Perform sound fundamental and applied research to develop industrially-relevant technologies for energy production, efficiency, and environmental protection
- Promote UK's objective of developing and benefiting from its Intellectual Property and for assuring its widest possible use and adoption by Kentucky's citizens and industries
- Contribute to instruction at UK and labor force

development across Kentucky by teaching, training, and providing experiential education for students at all levels: pre-college to post-graduate

- Provide public service in areas of scientific education and energy-related competencies and contribute to the formulation of technically-sound public policy related to energy and the environment

In FY 2011-12, efforts will be made to bring technologies into practice that are needed to:

- Position Kentucky's coal industry and electric utilities to respond to the technical challenges of capturing and storing carbon dioxide at the plant
- Address the growing problem of the accumulation of coal by-products and support a growing industry

devoted to the beneficial re-use of these materials

- Develop a value-added coal-to-liquids and coal-togas industry in Kentucky
- Enable a developing biomass industry to benefit from the strength of Kentucky's agriculture and forest products industries for cleaner, renewable fuels and chemicals
- Advance distributed power generation and storage and technologies for cleaner, higher efficiency alternative fueled vehicles (i.e. fuel cells, batteries, and other devices)
- Develop strategic and higher value-added carbon materials (such as pitch, coke, binders, fibers, and composite materials) that serve the nation's defense, aerospace, automotive, metals, and manufacturing industries

Center for Applied Energy Research

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$144,000	\$0	\$0	\$144,000	\$167,200	\$0	\$0	\$167,200
Staff	2,659,300	0	0	2,659,300	2,802,300	0	0	2,802,300
Other	150,000	0	0	150,000	150,000	0	0	150,000
Fringe Benefits	931,600	0	0	931,600	975,000	0	0	975,000
Total Personnel Services	3,884,900	0	0	3,884,900	4,094,500	0	0	4,094,500
Operating Expenses	1,494,600	0	25,000	1,519,600	1,024,000	0	15,000	1,039,000
Capital Outlay	120,000	0	0	120,000	120,000	0	0	120,000
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$5,499,500	\$0	\$25,000	\$5,524,500	\$5,238,500	\$0	\$15,000	\$5,253,500

Center for Computational Sciences

The Center for Computational Sciences is a multidisciplinary research institution dedicated to assisting faculty and students in exploiting the latest advances in all levels of Cyberinfrastructure for all parts of the University. The Center focuses on developing and using computer models to analyze chemical, physical, and biological systems for research and educational purposes. Faculty from Mathematics, Computer Science, Statistics, Engineering, Chemistry, Physics, Biology, Biochemistry, Pharmacy, and other colleges and departments use a multidisciplinary team approach to study such systems, utilizing a full range of computational resources - from desktops to supercomputers - including algorithm development and evaluation for parallel processors. Courses in applied mathematics, numerical analysis, and computer modeling are available for students interested in these analytical approaches. The Center is designated by the Commonwealth of Kentucky as a Center of Excellence.

The Center also directs the ParamChem Project, a coalition for computational chemistry involving four universities and funded by the National Science

Foundation (NSF). The Center manages the NSF component of the Kentucky Experimental Program to Stimulate Competitive Research (EPSCoR), which stimulates and enhances science and engineering research, student and faculty education, technology transfer capabilities, research competitiveness, and economic development throughout the Commonwealth. It also manages the NSF EPSCoR Track II Virtual Observatory and Ecological Information System collaborative project with universities in Kentucky and Montana, along with partners from industry and the public sector. The VOEIS project will develop an integrated sensor and ecological informatics system through the use of modern cyberinfrastructure resources.

The Department of Energy (DOE) project investigates the phenomena of magnetism in inorganic materials using state-of-the-art theoretical methods, which is important to the design of electronic devices. The Center will expand its role in the NSF-sponsored Campus Champions Program, which is part of TeraGrid. It will introduce computational techniques

into those areas which do not traditionally use computers. And the Center will be part of building cyberinfrastructure throughout Kentucky. It will serve as the focal point for creating and disseminating the Cyberinfrastructure Plan for the Commonwealth of Kentucky.

The Center supports computational research at UK through student fellowships, computational professorships, and technical support; and assists researchers in accessing computational resources. It will coordinate the acquisition of state-of-the-art computational equipment, such as supercomputers or cloud computing, as well as manage the research computing software. The Center will continue to expand its educational, training, and outreach activities, through teaching courses in computational sciences and hosting seminars, training, and workshops. The Center will expand its fellowships for underrepresented groups, such as women, minorities, and the economically disadvantaged.

Center for Computational Sciences

Center for Computational Sciences

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$198,400	\$0	\$0	\$198,400	\$198,400	\$0	\$0	\$198,400
Staff	83,200	0	0	83,200	85,700	0	0	85,700
Other	120,000	0	0	120,000	105,000	0	0	105,000
Fringe Benefits	95,900	0	0	95,900	95,300	0	0	95,300
Total Personnel Services	497,500	0	0	497,500	484,400	0	0	484,400
Operating Expenses	231,700	0	37,300	269,000	216,500	0	37,300	253,800
Capital Outlay	10,000	0	0	10,000	5,000	0	0	5,000
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$739,200	\$0	\$37,300	\$776,500	\$705,900	\$0	\$37,300	\$743,200

Center of Membrane Sciences

The Center of Membrane Sciences (CMS) brings together University faculty and students from a wide variety of academic specialties in collaborative, multidisciplinary research and graduate education efforts. Representatives from the pharmaceutical, medical, biological, physical sciences, agriculture, and engineering disciplines are currently researching biological and synthetic membranes and their interface. The Center sponsors a periodic colloquium series designed to facilitate interaction and cross-fertilization of ideas and expertise among faculty, research associates, graduate students, and postdoctoral

scholars. The Center also supports technology transfer through occasional national and international conferences sponsored by the Center and books edited by Center faculty associates. Periodic CMS Graduate Student Fellowships are funded by the Center for selected graduate students of Center faculty associates. In FY 2011-12, emphasis will be placed on new research produced, grants obtained, and awarding of graduate student fellowships.

Center of Membrane Sciences

	2010-11 Revised Budget			2011-12 Original Proposed Budget				
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$26,000	\$0	\$0	\$26,000	\$26,000	\$0	\$0	\$26,000
Staff	37,000	0	0	37,000	38,100	0	0	38,100
Other	0	0	0	0	0	0	0	0
Fringe Benefits	16,800	0	0	16,800	17,300	0	0	17,300
Total Personnel Services	79,800	0	0	79,800	81,400	0	0	81,400
Operating Expenses	4,300	0	15,000	19,300	4,300	0	0	4,300
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$84,100	\$0	\$15,000	\$99,100	\$85,700	\$0	\$0	\$85,700

Center for Research on Violence Against Women

The mission of the Center for Research on Violence Against Women is the advancement of interdisciplinary research and scholarship related to the legal and clinical complexities presented by intimate partner violence, rape, stalking, and related crimes against women.

The personnel compliment of the Center consists of five staff and two graduate research assistants, one of whom fills an endowed assistantship position. The Center operates with three holders of endowed chairs and one endowed professor. Additionally, six national researchers, eight victim advocates, and six practitioners in the mental health and legal systems of Kentucky serve on the Center's advisory committee. For Center operations, the Center's Director and endowed faculty serve as the executive leadership

team.

The Center's three endowed chairs have been established in partnership with the College of Medicine departments of Obstetrics/Gynecology and Psychiatry; and the Department of Sociology in the College of Arts & Sciences. The endowed professor has his academic home in the Department of Psychology in the College of Arts & Sciences. A fourth endowed chair has now been funded by the Center with the fifth and sixth endowed chairs planned. Additional endowed faculty positions and graduate fellowships also are planned.

The Center's 2011-2012 objectives include:

- Complete funding for the fourth and advance funding for the fifth endowed chairs

- Continue initiatives to create a national research consortium of key researchers across the country whose empirical study is directed at some aspect of violence against women
- Establish a data access and management initiative on violence against women for Center faculty associates
- Host a series of invited lectures to provide UK faculty and graduate students with access to state of the art literature in the field
- Complete the Center's current research study on incarcerated battered women
- Guest edit a special issue of a top scientific journal on Center models in the violence against women area

Center for Research on Violence Against Women

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	223,600	0	0	223,600	289,300	0	0	289,300
Other	107,700	0	0	107,700	40,000	0	40,000	80,000
Fringe Benefits	70,800	0	0	70,800	92,500	0	40,000	132,500
Total Personnel Services	402,100	0	0	402,100	421,800	0	80,000	501,800
Operating Expenses	46,500	0	89,500	136,000	65,500	0	6,500	72,000
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$448,600	\$0	\$89,500	\$538,100	\$487,300	\$0	\$86,500	\$573,800

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Division of Laboratory Animal Resources

The Division of Laboratory Animal Resources (DLAR) is responsible for providing researchers with high quality animals and for ensuring those animals are appropriately housed and humanely treated. The Division is responsible for providing veterinary care, training, and daily husbandry. In addition, the Director and DLAR veterinarians consult with researchers in the areas of animal model development, animal usage, humane animal treatment, and compliance with University policies and procedures and government regulations.

Division of Laboratory Animal Resources

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	0	2,411,400	0	2,411,400	0	2,418,300	0	2,418,300
Other	0	47,200	0	47,200	0	42,600	0	42,600
Fringe Benefits	0	882,300	0	882,300	0	908,800	0	908,800
Total Personnel Services	0	3,340,900	0	3,340,900	0	3,369,700	0	3,369,700
Operating Expenses	0	1,970,700	0	1,970,700	0	2,145,300	0	2,145,300
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	(5,251,600)	0	(5,251,600)	0	(4,647,400)	0	(4,647,400)
Total	\$0	\$60,000	\$0	\$60,000	\$0	\$867,600	\$0	\$867,600

Interdisciplinary Human Development Institute

The Interdisciplinary Human Development Institute (IHDI) operates as the University Center for Excellence in Developmental Disabilities Education, Research, and Service for the Commonwealth of Kentucky. Founded in 1969, the Institute has embraced the study of critical issues facing individuals with disabilities and their families, at both state and national levels, and has worked to leverage core funding to develop significant resources from state and federal agencies to implement programs across the life span and in a broad range of programmatic areas.

The goals of IHDI are to:

- Provide interdisciplinary pre-service training and continuing education programs to promote the independence, productivity, and inclusion of people with disabilities and their families throughout life. In FY 2011-12, IHDI will strive to provide increased graduate support to students via HDI Research Assistantships and the IHDI Graduate Certificate; market and expand the online version of the Graduate Certificate; and provide opportunities for IHDI research assistants and Certificate students in the areas of: a) research with IHDI staff and faculty, including co-authorship and co-presentations; b) grant writing opportunities; and c) leadership and service opportunities, especially with families, individuals, and local community agencies when possible.
- Provide consultation and technical assistance to national, state, and local agencies, providers, and advocacy groups and conduct research

that contributes to improvements in practice and outcomes in the lives of persons with disabilities and their families - in FY 2011-12, IHDI will focus on increased collaboration with state agency partners, including work with other states while broadening its funding support to maintain these activities. IHDI will continue to “invest” in those areas in which it has a nationally-recognized level of expertise (e.g., alternate assessment and early childhood), while also addressing those areas in which IHDI has a very significant impact on Kentucky’s citizens with disabilities (transition to employment and adult life, quality of life, supported employment, post-secondary education for students with developmental disabilities, and post-school outcomes studies).

- Disseminate IHDI products through a variety of methods, including electronic and alternate formats, to assure that multiple audiences have timely access to information to improve services and results. In FY 2011-12, IHDI will continue to refine its main websites, as well as individual project websites, and also ensure that the main pages of the website are translated into Spanish. During this past year, IHDI and its related sites had a record of 2,522,006 page views (an average of nearly 7,000 page views per day).

The Institute addresses these goals by operating projects in areas such as:

- Technology development, distance learning, and statewide professional development

- Early identification of children at risk for disabilities, technical assistance to early childhood and day care providers, and the systematic collection of child outcome data for young children with and without disabilities in Kentucky
- Promotion and development of school programs that meet the needs of all children in primary and secondary levels
- Life-span educational opportunities for individuals with disabilities, including post-secondary education opportunities and employment
- Personal futures planning to identify individual interests and goals for individuals with disabilities
- Training programs to improve the provision of human services for individuals with disabilities and their families
- Technical assistance for Kentucky and other states to develop and implement policies and programs

In FY 2011-12, IHDI will continue to expand its work in such objectives as preventative health and wellness programs for individuals with disabilities in Kentucky; creation of postsecondary education opportunities for students with intellectual disabilities throughout the state; expansion of supported employment opportunities for individuals with developmental/intellectual disabilities, as well as those with psychiatric disabilities; and a broader statewide dissemination of its Fall and Spring Seminar Series to both professional and family audiences.

Interdisciplinary Human Development Institute

Interdisciplinary Human Development Institute

	2010-11 Revised Budget			2011-12 Original Proposed Budget			TOTAL
	UNRESTRICTED		RESTRICTED	UNRESTRICTED		RESTRICTED	
	General Funds	Auxiliary Funds		General Funds	Auxiliary Funds		
Personnel Services							
Faculty	\$124,300	\$0	\$0	\$124,300	\$0	\$0	\$129,100
Staff	107,000	0	0	107,000	0	0	110,900
Other	20,200	23,600	0	43,800	23,600	0	27,000
Fringe Benefits	72,000	9,200	0	81,200	9,300	0	84,000
Total Personnel Services	323,500	32,800	0	356,300	32,900	0	351,000
Operating Expenses	273,600	44,400	5,900	323,900	39,700	5,700	122,100
Capital Outlay	0	0	0	0	0	0	0
Recharges/Pass Thru	0	(77,200)	0	(77,200)	(46,700)	0	(46,700)
Total	\$597,100	\$0	\$5,900	\$603,000	\$25,900	\$5,700	\$426,400

Kentucky Geological Survey

The Kentucky Geological Survey (KGS) is a research and public service unit of the University charged under state statute [Kentucky Revised Statutes (KRS 151.01)] to study water, mineral, energy resources, and geologic hazards in Kentucky and make results available to researchers, industry, federal and state agencies, and the general public. The KGS staff responds to more than one million requests for information annually. The KGS website conducts 500,000 transactions of data, publications, and maps to the public each year. The KGS conducts cooperative research with a number of departments and institutes at the University and participates in cooperative programs with the United States Geological Survey in resources and hazards investigations. The KGS also works with federal and state agencies such as the Kentucky Energy and Environment Cabinet, the United States Department of Energy, and the United States Environmental

Protection Agency, by conducting contract and grant research projects and participating in joint projects with geological surveys from contiguous states.

The Kentucky Geological Survey also serves in an advisory capacity to local agencies such as the Lexington-Fayette Urban County Government and state agencies such as the Division of Water, the Division of Oil and Gas, and the Division for Disaster and Emergency Services in areas such as:

- Waste disposal
- Water resources
- Injection well disposal
- Earthquakes and other geological hazards
- Reclamation
- Mine subsidence
- Exploration and development of mineral resources

- Karst drainage and pollution prevention
- Agricultural chemicals in groundwater

Designated by KRS 353 as the official repository for records of all oil and gas wells drilled in Kentucky (including well logs, samples of rock cuttings, and rock cores) and the official state repository for all groundwater data for Kentucky, the KGS manages the geologic records on the web and the Well Sample and Core Library.

In FY 2011-12, KGS will fulfill its legislatively-mandated tasks; expand its research programs in carbon sequestration and seismic hazard and risk assessments; develop a landslide assessment program; expand its near-surface geophysics program; and enhance its data preservation and dissemination efforts.

Kentucky Geological Survey

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	2,704,600	139,600	0	2,844,200	2,731,500	143,800	0	2,875,300
Other	221,800	0	0	221,800	253,800	6,800	0	260,600
Fringe Benefits	921,400	18,700	0	940,100	938,200	46,000	0	984,200
Total Personnel Services	3,847,800	158,300	0	4,006,100	3,923,500	196,600	0	4,120,100
Operating Expenses	275,000	0	2,100	277,100	281,400	128,200	2,100	411,700
Capital Outlay	10,000	0	0	10,000	10,000	0	0	10,000
Recharges/Pass Thru	0	(158,300)	0	(158,300)	0	(254,800)	0	(254,800)
Total	\$4,132,800	\$0	\$2,100	\$4,134,900	\$4,214,900	\$70,000	\$2,100	\$4,287,000

Kentucky Water Resources Research Institute

The Kentucky Water Resources Research Institute was established by Congress in 1964 as one of the nation's 54 water institutes hosted at land-grant universities. The Institute is located on the campus of the University of Kentucky, but serves all colleges and universities in the Commonwealth. The mission of the Institute is to:

- Conduct research projects on water resources and associated concerns
- Assist academic units that conduct undergraduate and graduate training related to water resources
- Increase public understanding of water issues

The Institute administers federal research funds for the Commonwealth provided through the Water Resources Research Act. These federal funds support water-related projects at Kentucky's universities, enabling investigators to conduct research projects addressing state water concerns. Additional research contracts support faculty members, research staff, and graduate students who work on a broad spectrum of topics to assist state agencies such as the Cabinet for Health and Family Services, the Energy and Environment Cabinet, and the Kentucky River Authority. The Institute also administers a scholarship program for the Energy and Environment Cabinet to recruit

outstanding upper-level undergraduate and graduate students in critical environmental discipline areas. In addition, the Institute administers the Water Pioneers Program. Selected as one of UK's Commonwealth Collaboratives, the Program is a partnership with the UK Robinson Scholars Program that works to promote environmental awareness and education among high school sophomores from eastern Kentucky. The Institute's technology transfer activities include an annual symposium, a newsletter, and distribution of research results through publication of printed and online reports.

Kentucky Water Resources Research Institute

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$67,400	\$0	\$0	\$67,400	\$67,400	\$0	\$0	\$67,400
Staff	126,900	0	0	126,900	130,700	0	0	130,700
Other	0	0	0	0	0	0	0	0
Fringe Benefits	61,200	0	0	61,200	62,800	0	0	62,800
Total Personnel Services	255,500	0	0	255,500	260,900	0	0	260,900
Operating Expenses	17,500	0	500	18,000	17,500	0	400	17,900
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$273,000	\$0	\$500	\$273,500	\$278,400	\$0	\$400	\$278,800

Research Administration and Program Support

The goal of the research area is to foster the growth and development of the University's research programs and facilitate the University's role as the principal research institution in Kentucky's system of postsecondary education.

The Office of the Vice President for Research leads efforts to strengthen, expand, and develop programs throughout the University. The Vice President provides oversight for multidisciplinary research centers and institutes and research support units and program assistance to individual faculty and academic research units. The Vice President is the institutional officer responsible for University adherence to governmental regulations relating to the conduct of research. Reporting to the Vice President are the offices of Administrative and Fiscal Affairs, Federal Relations, Research Communications/Odyssey, and Research Information Services.

Other administrative and program support units include:

- The University of Kentucky Research Foundation Inc. (UKRF) is a not-for-profit corporation established in 1945 to receive, invest, and expend funds to promote and implement scientific, educational, and developmental activities.
- The Proposal Development Office informs researchers of extramural funding opportunities; serves as a liaison between researchers and funding agencies; and assists in the development and preparation of competitive grant applications. The mission of the Proposal Development Office is to assist University of Kentucky faculty, staff, and students in seeking and securing external funding for their scholarly activities through the submission of competitive grant and contract

applications. Through leadership in research administration and targeted research support, the Proposal Development Office will contribute to the advancement of the University's national research standing. For FY 2011-12 the Proposal Development Office plans to accomplish activities related to the following University strategic priorities: 1) student education, 2) research excellence, 3) resource development, 4) diversity and inclusion, and 5) engagement and outreach.

- The Office of Sponsored Projects Administration (OSPA) is responsible for administering extramural grants and contracts awarded through the University of Kentucky Research Foundation (UKRF). The OSPA Director serves as the institutional representative on proposals and award documents. Services provided are available to all University faculty and staff and include advice and assistance with budget preparation and other administrative requirements of proposals; review, negotiation, and acceptance of sponsored project awards; provision of information regarding sponsor policies and regulations; and preparation of sub-agreement documents. The unit also administers the University's Research Conflict of Interest and Financial Disclosure Policy.
- The Office of Research Integrity's (ORI) mission is to promote responsible research and protect human and animal subjects within the current ethical, legal, and regulatory framework and to shape that framework at the state and national level. ORI is responsible for the management of seven federally mandated committees: four medical and one nonmedical institutional review boards; the Institutional Animal Care and Use Committee; and the Radioactive Drug Research Committee. The ORI also assists the University in handling allegations of research misconduct

and in complying with Health Insurance and Portability Accountability Act provisions that affect waiver of authorizations in research. The ORI coordinates the accreditation of the UK Human Research Protection Program and assists with the accreditation of the Animal Care and Use Program. In FY 2011-12, ORI's goals are to: (1) provide quality research administration services for students, researchers, and committee members; (2) promote responsible conduct of research through a variety of educational efforts; and (3) assist the University in remaining eligible for receipt of federal research funds and in maintenance of accreditation of select University programs.

- The Center for Structural Biology promotes and facilitates the use of structural biology in research, teaching, and commercial development at the University and within the Commonwealth. The Center brings together expertise in X-ray crystallography, NMR spectroscopy, mass spectrometry, computational sciences, structural bioinformatics, and proteomics to work together as a research resource. The Center runs a Proteomics Core Facility offering a variety of services based on mass spectrometry and a Protein Structural Service Core offering services involving protein production, purification, biophysical, and structural analysis. Seminars in structural biology are sponsored by the Center. In the forthcoming year the Center plans to further facilitate research at UK in the area of structural biology and to assist investigators in obtaining experimental data for publications and grant proposals. The Center plans to expand its services and enhance its infrastructure through the acquisition of new equipment from funded federal grants.

Research Administration and Program Support

Research Administration and Program Support

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	5,330,700	261,900	0	5,592,600	5,584,500	269,900	0	5,854,400
Other	119,200	511,300	0	630,500	142,200	551,000	0	693,200
Fringe Benefits	1,812,500	225,100	0	2,037,600	1,839,700	258,200	0	2,097,900
Total Personnel Services	7,262,400	998,300	0	8,260,700	7,566,400	1,079,100	0	8,645,500
Operating Expenses	2,847,600	568,100	19,100	3,434,800	2,327,800	852,500	19,200	3,199,500
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	(1,566,400)	0	(1,566,400)	0	(1,582,400)	0	(1,582,400)
Total	\$10,110,000	\$0	\$19,100	\$10,129,100	\$9,894,200	\$349,200	\$19,200	\$10,262,600

Survey Research Center

The Survey Research Center (SRC) designs, coordinates, and conducts survey research activities for the University and public agencies and assists faculty and students with survey research projects. The Center's services range from research design and questionnaire development through data analysis and report writing. The Center conducts national, statewide, and local telephone surveys using a state-of-the-art computer-assisted telephone interview (CATI) system, mail surveys, and face-to-face interviews. It conducts the annual Kentucky Survey that probes the opinions and attitudes of Kentuckians. It also is responsible for the monthly Behavioral Risk Factor

Surveillance Survey for Kentucky. The Center serves as the headquarters of the National Network of State Polls and is an affiliate of the Kentucky State Data Center and is a Census 2010 local partner. Poll data and data from other Center projects are available for faculty and student research. When appropriate, SRC also can supplement telephone surveys with cell phone samples. For 2011-12 SRC plans to further expand its services by offering web-based survey capability and moving from strict Random Digit Dialing sampling for telephone surveys to include cell phone samples and Address Based Sampling.

Survey Research Center

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	0	247,500	0	247,500	0	253,200	0	253,200
Other	0	0	0	0	0	0	0	0
Fringe Benefits	0	81,700	0	81,700	0	84,600	0	84,600
Total Personnel Services	0	329,200	0	329,200	0	337,800	0	337,800
Operating Expenses	24,200	238,300	0	262,500	24,200	248,000	0	272,200
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	(267,500)	0	(267,500)	0	(285,800)	0	(285,800)
Total	\$24,200	\$300,000	\$0	\$324,200	\$24,200	\$300,000	\$0	\$324,200

Tracy Farmer Institute for Sustainability and the Environment

The Tracy Farmer Institute for Sustainability and the Environment (TFISE) was established July 1, 2009.

The mission of the TFISE is to improve built, natural, and managed environments, thereby enhancing the health and well-being of Kentuckians and the global community. The Institute promotes sustainable development, sound energy policies, and effective environmental stewardship through an integrated interdisciplinary program of fundamental and applied research in the physical, life, economic, and social sciences.

The integrated research agenda of the Institute is supported, developed, and implemented by the Faculty of the Environment (FoTE), a group of over 150 faculty representing 12 colleges. The FoTE is organized

into seven working groups: geospatial technologies, nanosciences, human and environmental health, biofuels, invasive species, climate change, and water. The goal of these working groups is to collaborate on interdisciplinary grant opportunities and other projects. The FoTE is supported by three communication tools: a faculty database, listserv, and web site.

Objectives for the TFISE include:

- Provide leadership in the development/coordination of environmental, sustainability, and natural resource curricula that effectively serve the needs of all UK students
- Develop tools or events that assist students in identifying or selecting appropriate courses or programs in sustainability, the environment, and

natural resources

- Increase the number of research proposals submitted through the TFISE
- Determine and communicate the impact and findings of research of the FoTE
- Assist in the identification and recruitment of faculty and professional staff with high potential of success in interdisciplinary research activities commensurate with top 20-level research universities
- Identify and secure needed infrastructure and facilities to support the FoTE
- Promote inclusion within the FoTE
- Enhance FoTE connection to the community through engagement and outreach programs and opportunities to participate in such programs
- Enhance community access to the FoTE

Tracy Farmer Institute for Sustainability and the Environment

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	0	0	0	0	1,100	0	0	1,100
Other	0	0	81,000	81,000	0	0	53,200	53,200
Fringe Benefits	0	0	0	0	400	0	0	400
Total Personnel Services	0	0	81,000	81,000	1,500	0	53,200	54,700
Operating Expenses	15,000	0	72,600	87,600	10,000	0	209,800	219,800
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	0	0	0	0	0	0	0	0
Total	\$15,000	\$0	\$153,600	\$168,600	\$11,500	\$0	\$263,000	\$274,500

UK Clinical Research Organization

The mission of the Clinical Research Development and Operations Center (CR-DOC) is to aggregate, integrate, and enhance existing infrastructure and services essential for the efficient and ethical conduct of high quality clinical and translational research. The CR-DOC is a component unit of the Center for Clinical and Translational Science (CCTS) and under this broader umbrella, CR-DOC's mission also focuses on the expansion of capabilities beyond UK HealthCare to facilitate clinical and translational studies that include Kentucky's rural populations.

The CR-DOC operates under the leadership of a director who has overall responsibility for facilitating the initiation and performance of research; compliance with federal and University mandates ensuring proper conduct of research; marketing of the clinical research program; and education/training of personnel involved in this work.

The CR-DOC Recharge Service Center is composed of the following programs:

- Project Initiation/Management, which provides overall project coordination for UK HealthCare clinical research and is a point of contact with CR-DOC
- Clinical Research Operations, which focuses on the operation of the clinical research inpatient and outpatient units in CR-DOC
- Clinical Research Marketing, which concentrates on developing an aggressive marketing plan in collaboration with other hospital and University resources to promote research to industry, faculty, and the public
- Research Participant Recruitment, which assists investigators in targeting the subject population to reach their goals for enrollment.
- Clinical Research Staff Development, which develops education and training programs in collaboration with the Office of Research Integrity and other units to ensure that faculty, staff, and students participating in clinical research are initially trained and continuously educated about changes in requirements and practices
- Fiscal Grants Management, which provides overall fiscal management for clinical research projects

Goals for FY 2011-12 include:

1. Complete the re-location of the CR-DOC as part of the relocation of the CCTS
2. Increase marketing of the CR-DOC to increase the overall utilization of services
3. Increase the effectiveness of the participant recruitment unit through the development of a participant recruitment database
4. Develop a web-based, modular application to manage service requests and workflow with the capability to capture performance metrics and generate performance reports

UK Clinical Research Organization

UK Clinical Research Organization

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	128,700	547,300	0	676,000	138,400	597,000	0	735,400
Other	0	30,900	0	30,900	0	138,400	0	138,400
Fringe Benefits	40,700	190,900	0	231,600	44,200	264,900	0	309,100
Total Personnel Services	169,400	769,100	0	938,500	182,600	1,000,300	0	1,182,900
Operating Expenses	8,500	161,200	0	169,700	20,000	150,900	0	170,900
Capital Outlay	0	0	0	0	0	0	0	0
Recharges/Pass Thru	(169,400)	(930,300)	0	(1,099,700)	(182,600)	(242,100)	0	(424,700)
Total	\$8,500	\$0	\$0	\$8,500	\$20,000	\$909,100	\$0	\$929,100

University-Wide

University-Wide expenses are programs that benefit the institution as a whole including:

- Annual Giving Program – Private gifts used in support of various programs of the institution
- Cultural Enrichment – Support for concerts, lectures, and other cultural activities that enhance the cultural dimensions of the University community
- Family Education Program – Educational support to spouses and dependents of University faculty and staff who take undergraduate courses (level of support is based on the employee's years of service)
- General Expenses – Expenses associated with

meetings and official functions

- General Liability/Auto Liability Insurance – Through the University's risk management program, this cost-effective program provides for the protection of University employees and their general liability exposures, as well as an auto liability program that covers all University-owned and University-leased vehicles
- General University Reserves – Funds set aside to address unforeseen expenses throughout the fiscal year
- Instructional Equipment – Funds to upgrade classrooms and instructional laboratories
- General Operating and Capital Projects –

Estimated fund balances generally used for encumbrances and nonrecurring projects including capital renewal and renovations; technology investments; various dedicated reserves for health benefits; miscellaneous benefits (e.g. post-retirement, workers compensation, employee education program, long-term disability); and other self-insured programs

- Mandatory transfers – Funds dedicated to the payment of interest and principal on bonds and capital leases

University-Wide

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
Personnel Services								
Faculty	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Staff	30,000	0	0	30,000	0	0	0	0
Other	418,400	0	0	418,400	1,029,300	0	0	1,029,300
Fringe Benefits	1,885,700	0	0	1,885,700	7,076,200	0	0	7,076,200
Total Personnel Services	2,334,100	0	0	2,334,100	8,105,500	0	0	8,105,500
Operating Expenses	102,636,000	0	0	102,636,000	90,176,400	0	0	90,176,400
Capital Outlay	32,487,100	0	0	32,487,100	29,462,700	0	0	29,462,700
Recharges/Pass Thru	(220,000)	0	0	(220,000)	(220,000)	0	0	(220,000)
Total	\$137,237,200	\$0	\$0	\$137,237,200	\$127,524,600	\$0	\$0	\$127,524,600
Mandatory Transfers	17,711,000	0	0	17,711,000	17,825,200	0	0	17,825,200
TOTAL FUNDS	\$154,948,200	\$0	\$0	\$154,948,200	\$145,349,800	\$0	\$0	\$145,349,800

UK Affiliated Corporations

There are eight affiliated corporations included in the University's operating budget.

1. The Central Kentucky Management Services, Inc. (formerly known as Health Care Collection Service, Inc.) provides collection services for UK HealthCare.
2. The Fund for Advancement of Education and Research in the University of Kentucky Medical Center promotes, advances, and supports the educational, research, charitable, and other purposes of the Medical Center.
3. The University of Kentucky Athletic Association is dedicated to running a first-class program with integrity while keeping the focus on the student-athlete. Its overall vision is to educate student-athletes through graduation and compete for championships in all sports within a framework of fiscal integrity, a commitment to diversity in all areas, and compliance with University, state, Southeastern Conference, and National Collegiate Athletic Association rules. As an integral part of its long term vision, Athletics continues to expand resources and the tremendous progress already shown toward broad-based excellence. The intercollegiate athletics program promotes athletics and a culture of commitment toward the overall success for the University of Kentucky and the Commonwealth. UK Athletics is supported with restricted gifts and with funds generated through athletic activities.
4. The University of Kentucky Center on Aging (COA) Foundation, Inc. supports the Sanders-Brown Center on Aging in its efforts to improve the quality of life of aging people. The top priorities are to raise funds to support the educational, research, clinical, and service initiatives of the COA and to increase public awareness of the COA.
5. The University of Kentucky Equine Research Foundation, Inc. has established a continuing partnership between the University and the equine industry. The dual purposes of the Foundation are to facilitate the exchange of information with the equine industry and to secure funding for equine research at the University. The Foundation provides the University and the equine industry with research updates, current investigation information, and research spotlights. The Foundation also has established endowments for general research funds, equipment needs, and graduate student support.
6. The University of Kentucky Humanities Foundation, Inc. supports the University's humanities programs and provides scholarships to students engaged in studies in the humanities.
7. The University of Kentucky Mining Engineering Foundation, Inc. receives, invests, and expends funds for the improvement of the Mining Engineering Department in the College of Engineering.
8. The University of Kentucky Research Foundation (UKRF) is a not-for-profit Kentucky corporation established in 1945 to receive, invest, and expend funds to promote and implement scientific, educational, and developmental activities at UK. UKRF serves as the University's agent in the receipt of all external grants and contracts, intellectual property income, and other designated income; and manages special cooperative agreements.

Central Kentucky Management Services, Inc.

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
REVENUES								
Sales and Services	\$7,007,800	\$0	\$0	\$7,007,800	\$7,196,100	\$0	\$0	\$7,196,100
Total	\$7,007,800	\$0	\$0	\$7,007,800	\$7,196,100	\$0	\$0	\$7,196,100
EXPENSES								
Personnel Services								
Staff	\$4,526,500	\$0	\$0	\$4,526,500	\$4,238,700	\$0	\$0	\$4,238,700
Fringe Benefits	1,290,000	0	0	1,290,000	1,431,500	0	0	1,431,500
Total Personnel Services	5,816,500	0	0	5,816,500	5,670,200	0	0	5,670,200
Operating Expenses	1,191,300	0	0	1,191,300	1,525,900	0	0	1,525,900
Total	\$7,007,800	\$0	\$0	\$7,007,800	\$7,196,100	\$0	\$0	\$7,196,100

The Fund for Advancement of Education and Research in the UK Medical Center

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
REVENUES								
Endowment and Investment	\$20,400	\$0	\$8,500	\$28,900	\$17,200	\$0	\$9,000	\$26,200
Gifts, Grants, and Contracts	450,000	0	3,822,200	4,272,200	600,000	0	5,245,300	5,845,300
Sales and Services	14,115,000	0	0	14,115,000	14,678,400	0	0	14,678,400
Fund Balances	2,825,800	0	573,400	3,399,200	2,651,900	0	421,900	3,073,800
Total	17,411,200	0	4,404,100	21,815,300	17,947,500	0	5,676,200	23,623,700
Transfers to UK	(11,085,700)	0	0	(11,085,700)	(11,743,300)	0	0	(11,743,300)
Total Net of Transfers	\$6,325,500	\$0	\$4,404,100	\$10,729,600	\$6,204,200	\$0	\$5,676,200	\$11,880,400
EXPENSES								
Personnel Services								
Other	\$1,340,000	\$0	\$1,765,800	\$3,105,800	\$1,373,000	\$0	\$959,200	\$2,332,200
Fringe Benefits	0	0	60,000	60,000	0	0	0	0
Total Personnel Services	1,340,000	0	1,825,800	3,165,800	1,373,000	0	959,200	2,332,200
Operating Expenses	4,985,500	0	2,558,300	7,543,800	4,831,200	0	4,715,700	9,546,900
Capital Outlay	0	0	20,000	20,000	0	0	1,300	1,300
Total	\$6,325,500	\$0	\$4,404,100	\$10,729,600	\$6,204,200	\$0	\$5,676,200	\$11,880,400

UK Athletic Association

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
REVENUES								
Student Activity Fees	\$0	\$750,000	\$0	\$750,000	\$0	\$780,000	\$0	\$780,000
Endowment and Investment	0	50,000	0	50,000	0	50,000	0	50,000
Gifts, Grants, and Contracts	0	0	0	0	0	0	0	0
Licensing Royalties	0	1,100,000	0	1,100,000	0	1,250,000	0	1,250,000
Sales and Services	0	62,505,000	0	62,505,000	0	64,910,000	0	64,910,000
Total	\$0	\$64,405,000	\$0	\$64,405,000	\$0	\$66,990,000	\$0	\$66,990,000
Transfers to UK	0	(3,667,400)	0	(3,667,400)	0	(3,947,400)	0	(3,947,400)
Transfers from UK	0	14,975,000	0	14,975,000	0	16,650,000	0	16,650,000
Total Net of Transfers	\$0	\$75,712,600	\$0	\$75,712,600	\$0	\$79,692,600	\$0	\$79,692,600
EXPENSES								
Personnel Services								
Staff	\$0	\$13,264,600	\$0	\$13,264,600	\$0	\$13,685,000	\$0	\$13,685,000
Other	0	8,560,600	0	8,560,600	0	9,571,700	0	9,571,700
Fringe Benefits	0	5,214,500	0	5,214,500	0	5,585,700	0	5,585,700
Total Personnel Services	0	27,039,700	0	27,039,700	0	28,842,400	0	28,842,400
Operating Expenses	0	41,307,100	0	41,307,100	0	42,497,100	0	42,497,100
Capital Outlay	0	4,623,600	0	4,623,600	0	5,614,900	0	5,614,900
Total	\$0	\$72,970,400	\$0	\$72,970,400	\$0	\$76,954,400	\$0	\$76,954,400
Mandatory Transfers								
Stadium	\$0	\$2,221,700	\$0	\$2,221,700	\$0	\$2,217,800	\$0	\$2,217,800
Memorial Coliseum	0	520,500	0	520,500	0	520,400	0	520,400
Total Mandatory Transfers	\$0	\$2,742,200	\$0	\$2,742,200	\$0	\$2,738,200	\$0	\$2,738,200
Total Funds	\$0	\$75,712,600	\$0	\$75,712,600	\$0	\$79,692,600	\$0	\$79,692,600

UK Center on Aging Foundation, Inc.

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
REVENUES								
Gifts, Grants, and Contracts	\$0	\$0	\$102,000	\$102,000	\$0	\$0	\$118,000	\$118,000
Sales and Services	0	0	18,000	18,000	0	0	42,000	42,000
Fund Balances	0	0	80,700	80,700	0	0	40,500	40,500
Total	\$0	\$0	\$200,700	\$200,700	\$0	\$0	\$200,500	\$200,500
EXPENSES								
Operating Expenses	\$0	\$0	\$125,700	\$125,700	\$0	\$0	\$200,500	\$200,500
Capital Outlay	0	0	75,000	75,000	0	0	0	0
Total	\$0	\$0	\$200,700	\$200,700	\$0	\$0	\$200,500	\$200,500

UK Equine Research Foundation, Inc.

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
REVENUES								
Endowment and Investment	\$0	\$0	\$388,600	\$388,600	\$0	\$0	\$319,900	\$319,900
Gifts, Grants, and Contracts	0	0	50,000	50,000	0	0	50,000	50,000
Fund Balances	0	0	695,100	695,100	0	0	334,300	334,300
Total	0	0	1,133,700	1,133,700	0	0	704,200	704,200
Transfers to UK	0	0	(607,700)	(607,700)	0	0	(325,700)	(325,700)
Total Net of Transfers	\$0	\$0	\$526,000	\$526,000	\$0	\$0	\$378,500	\$378,500
EXPENSES								
Operating Expenses	\$0	\$0	\$357,800	\$357,800	\$0	\$0	\$200,800	\$200,800
Capital Outlay	0	0	168,200	168,200	0	0	177,700	177,700
Total	\$0	\$0	\$526,000	\$526,000	\$0	\$0	\$378,500	\$378,500

UK Humanities Foundation, Inc.

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
REVENUES								
Endowment and Investment	\$0	\$0	\$46,000	\$46,000	\$0	\$0	\$9,100	\$9,100
Fund Balances	0	0	63,500	63,500	0	0	75,500	75,500
Total	\$0	\$0	\$109,500	\$109,500	\$0	\$0	\$84,600	\$84,600
EXPENSES								
Operating Expenses	\$0	\$0	\$109,500	\$109,500	\$0	\$0	\$84,600	\$84,600
Total	\$0	\$0	\$109,500	\$109,500	\$0	\$0	\$84,600	\$84,600

UK Mining Engineering Foundation, Inc.

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
REVENUES								
Endowment and Investment	\$0	\$0	\$76,000	\$76,000	\$0	\$0	\$69,400	\$69,400
Fund Balances	0	0	4,000	4,000	0	0	0	0
Total	\$0	\$0	\$80,000	\$80,000	\$0	\$0	\$69,400	\$69,400
EXPENSES								
Personnel Services								
Other	\$0	\$0	\$30,400	\$30,400	\$0	\$0	\$69,400	\$69,400
Fringe Benefits	0	0	11,800	11,800	0	0	0	0
Total Personnel Services	0	0	42,200	42,200	0	0	69,400	69,400
Operating Expenses	0	0	37,800	37,800	0	0	0	0
Total	\$0	\$0	\$80,000	\$80,000	\$0	\$0	\$69,400	\$69,400

UK Research Foundation

	2010-11 Revised Budget				2011-12 Original Proposed Budget			
	UNRESTRICTED		RESTRICTED	TOTAL	UNRESTRICTED		RESTRICTED	TOTAL
	General Funds	Auxiliary Funds			General Funds	Auxiliary Funds		
REVENUES								
Endowment and Investment	\$0	\$0	\$165,800	\$165,800	\$0	\$0	\$155,700	\$155,700
Gifts, Grants, and Contracts	1,698,000	0	261,006,000	262,704,000	1,163,000	0	247,006,600	248,169,600
Recoveries of Facilities and Administrative Costs	44,000,000	0	0	\$44,000,000	43,000,000	0	0	\$43,000,000
Sales and Services	1,520,000	0	0	1,520,000	1,320,000	0	0	1,320,000
Fund Balances	3,400,000	0	617,000	4,017,000	6,000,000	0	544,100	6,544,100
Total	50,618,000	0	261,788,800	312,406,800	51,483,000	0	247,706,400	299,189,400
Transfers to UK	(21,424,700)	0	0	(21,424,700)	(23,555,400)	0	(500,000)	(24,055,400)
Total Net of Transfers	\$29,193,300	\$0	\$261,788,800	\$290,982,100	\$27,927,600	\$0	\$247,206,400	\$275,134,000
EXPENSES								
Personnel Services								
Faculty	\$0	\$0	\$31,059,000	\$31,059,000	\$0	\$0	\$29,393,000	\$29,393,000
Staff	0	0	50,895,000	50,895,000	0	0	48,165,000	48,165,000
Other	0	0	16,443,000	16,443,000	0	0	15,561,000	15,561,000
Fringe Benefits	0	0	23,046,300	23,046,300	0	0	21,810,100	21,810,100
Total Personnel Services	0	0	121,443,300	121,443,300	0	0	114,929,100	114,929,100
Operating Expenses	29,168,300	0	129,122,500	158,290,800	27,902,600	0	121,656,300	149,558,900
Capital Outlay	25,000	0	11,223,000	11,248,000	25,000	0	10,621,000	10,646,000
Total	\$29,193,300	\$0	\$261,788,800	\$290,982,100	\$27,927,600	\$0	\$247,206,400	\$275,134,000

Tuition and Mandatory Fees

Effective Fall 2011

	<u>Per Semester Full-Time Rate</u>		<u>Part-Time and Intersessions Per Credit Hour Rate</u>	
	<u>2010-11</u>	<u>2011-12</u>	<u>2010-11</u>	<u>2011-12</u>
Undergraduate				
Lower Division				
Resident	\$4,305.00	\$4,564.00	\$343.00	\$365.00
Non-Resident	\$8,839.00	\$9,370.00	\$721.00	\$766.00
Upper Division				
Resident	\$4,429.50	\$4,696.00	\$353.00	\$376.00
Non-Resident	\$8,957.00	\$9,495.00	\$731.00	\$776.00
Graduate				
Resident	\$4,653.00	\$4,933.00	\$488.00	\$519.00
Non-Resident	\$9,587.00	\$10,163.00	\$1,037.00	\$1,100.00
Master in Business Administration				
Evening and Part-time Students				
Resident	\$5,379.00	\$5,702.00	\$569.00	\$605.00
Non-Resident	\$11,442.00	\$12,129.00	\$1,242.00	\$1,319.00
Master of Arts in Diplomacy and International Commerce				
Resident	\$4,879.00	\$5,172.00	\$513.00	\$546.00
Non-Resident	\$9,809.00	\$10,398.00	\$1,061.00	\$1,127.00
Master of Science in Physician Assistant Studies				
Resident	\$5,013.00	\$5,314.00	\$528.00	\$562.00
Non-Resident	\$9,943.00	\$10,540.00	\$1,076.00	\$1,143.00

Tuition and Mandatory Fees

Effective Fall 2011

	<u>Per Semester Full-Time Rate</u>		<u>Part-Time and Intersessions Per Credit Hour Rate</u>	
	<u>2010-11</u>	<u>2011-12</u>	<u>2010-11</u>	<u>2011-12</u>
Master of Science in Radiological Medical Physics				
Master of Science in Health Physics				
(College of Health Sciences, Division of Radiation Sciences)				
Resident	\$5,416.00	\$5,742.00	\$573.00	\$609.00
Non-Resident	\$10,318.00	\$10,937.00	\$1,117.00	\$1,187.00
Law				
Resident	\$8,491.00	\$9,153.00	\$825.00	\$891.00
Non-Resident	\$14,712.00	\$15,858.00	\$1,448.00	\$1,562.00
Pharm. D.				
Resident	\$10,783.00	\$11,118.00	\$883.00	\$911.00
Non-Resident	\$19,628.00	\$20,228.00	\$1,620.00	\$1,670.00
Professional Doctorate				
(Includes Nursing, Public Health, and Transitional Part-Time Physical Therapy)				
Resident	\$6,066.00	\$6,431.00	\$645.00	\$686.00
Non-Resident	\$13,241.00	\$14,036.00	\$1,442.00	\$1,531.00

Tuition and Mandatory Fees

Effective Fall 2011

Annual Full-Time Rate

2010-11 2011-12

Dentistry

Resident	\$25,345.00	\$26,857.00
Non-Resident	\$51,715.00	\$54,807.00

- A half-time tuition rate of \$14,019 for resident students and \$27,994 for non-resident students is established for those dental students who have been approved by the Dean of the College of Dentistry to take a reduced curriculum load.

Master of Business Administration (MBA)

Full-Time Students in the "Day" Program

Resident	\$9,950.00	\$10,543.00
Non-Resident	\$19,810.00	\$20,989.00

Doctor of Physical Therapy

Resident	\$15,116.00	\$16,016.00
Non-Resident	\$33,050.00	\$35,022.00

Tuition and Mandatory Fees

Annual Full-Time Rate

2010-11 2011-12

Medicine

Students - Entering Fall 2007		
Resident	\$23,752.00	\$23,752.00
Non-Resident	\$45,155.00	\$45,155.00
Students - Entering Fall 2008		
Resident	\$26,344.00	\$26,344.00
Non-Resident	\$49,219.00	\$49,219.00
Students - Entering Fall 2009		
Resident	\$29,233.00	\$29,233.00
Non-Resident	\$53,639.00	\$53,639.00
Students - Entering Fall 2010		
Resident	\$30,110.00	\$30,110.00
Non-Resident	\$55,248.00	\$55,248.00
Students - Entering Fall 2011		
Resident		\$31,907.00
Non-Resident		\$58,553.00

- As a pilot program, beginning in Fall 2007, the College of Medicine tuition and mandatory fee rates are "locked in" for each entering class. The rates will not change while students are enrolled in the program.
- A half-time tuition and fee rate of \$16,544 for resident students and \$29,867 for non-resident students is established for those medical students who have been approved by the College of Medicine Student Progress and Promotion Committee to take a reduced curriculum load.

Notes:

- The full-time rate is charged to undergraduate and Pharmacy students enrolled for 12 credit hours or more; graduate and professional doctoral students enrolled for nine credit hours or more; and law students enrolled for 10 credit hours or more.
- Students enrolled part-time and in intersessions (e.g. summer and winter terms) are charged on a per-credit hour basis. Students considered full-time for financial aid and reporting purposes, but with less than full-time credit hours (i.e., graduate students in residency status enrolled for zero or two credit hours) will be assessed on a per-credit hour basis.

Housing Rates

Effective Fall 2011

	<u>Per Semester Fall and Spring Rates</u>	
	<u>2010-11</u>	<u>2011-12</u>
Traditional Residence Halls		
Housing with air-conditioning	\$2,067.50	\$2,255.00
Greek Housing	\$2,130.00	\$2,322.50
Premium Residence Halls		
Suites - Double	\$2,765.00	\$3,015.00
Suites - Single	\$4,092.50	\$4,460.00
Additional Housing Fee		
Smith, New North, Kirwan II, and Holmes	\$67.00	\$67.00

Note: Smith, New North, Kirwan II, and Holmes are Living-Learning Communities. Smith Hall and New North Hall will remain open during all stated academic recesses of the University (Thanksgiving, Holiday, and Spring Break) between August 24, 2011 and May 4, 2012 to accommodate students who require housing during recesses.

Housing Rates

Per Day Rates (Effective Fall 2011)

	<u>2010-11</u>	<u>2011-12</u>
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Other Halls Open during Academic Recess	\$7.50	\$7.50
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Note: The per-diem rate is established for occupancy of halls that are not normally open during stated academic recesses of the University (Thanksgiving, Holiday, and Spring Break). Students must secure special permission to remain in housing during these periods.

Per Month Rates (Effective July 1, 2011)

	<u>2010-11</u>	<u>2011-12</u>
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Apartment Housing

Greg Page Stadium View Family Apartments Two Bedroom	\$625.00	\$630.00
Cooperstown - Shawneetown Efficiency	\$485.00	\$490.00
One Bedroom	\$580.00	\$585.00
Two Bedroom	\$625.00	\$630.00
Commonwealth Village Efficiency	\$485.00	\$490.00
One Bedroom	\$580.00	\$585.00
Linden Walk/Rose Lane Efficiency	\$485.00	\$490.00
German House Single Room	\$570.00	\$575.00
One Bedroom	\$655.00	\$660.00

Housing Rates

Effective Summer 2012

2010-11

2011-12

Summer School Housing

Eight-Week Session

Single Occupancy

\$1,370.00

\$1,495.00

Double Occupancy

\$1,110.00

\$1,210.00

Four-Week Session

Single Occupancy

\$685.00

\$745.00

Double Occupancy

\$550.00

\$600.00

Six-Week Session

Single Occupancy

\$1,025.00

\$1,115.00

Double Occupancy

\$830.00

\$905.00

Conference and Guest Rates (per day)

Single Room

\$46.00

\$46.00

Double Room

\$28.00

\$28.00

Pre-college age with linen

\$21.00

\$21.00

Pre-college age without linen

\$17.00

\$17.00

Dining Rates

Effective Fall 2011

	<u>2010-11 Per Semester</u>			<u>2011-12 Per Semester</u>		
	<u>Avg. Meals Per Week</u>	<u>Flex Dollars</u>	<u>Cost</u>	<u>Avg. Meals Per Week</u>	<u>Flex Dollars</u>	<u>Cost</u>
Minimum Plan:	5	\$300	\$1,089	5	\$300	\$1,121
Optional Plans:	BLOCK	\$300	\$1,421	BLOCK	\$300	\$1,464
	10	\$300	\$1,611	10	\$300	\$1,659
	14	\$300	\$2,095	14	\$300	\$2,158
	21	\$300	\$2,652	21	\$300	\$2,732

Note: Dining Services will offer five dining plans during 2011-12. All students living in residence halls are required to purchase the Minimum Plan. Four plans provide five to 21 meals per week. A block plan offers 130 meals which can be used any time during the semester. Weekly and block plan meals are provided at Commons Market and Blazer Café (“unlimited choices” buffets) and at K-Lair, Ovid’s Café, and Bluegrass Cafe (combo meals). Each dining plan also includes ‘Flex Dollars’. Flex Dollars can be used at any of Dining Services’ 22 locations to purchase a’ la carte items. Flex Dollars may be carried over from the Fall to Spring semester.

Athletic Event Ticket Prices

	<u>Student Cost</u>	
	<u>2010-11</u>	<u>2011-12</u>
Baseball	Free with ID	Free with ID
Football	\$5.00	\$5.00
Golf	No charge	No charge
Gymnastics	Free with ID	Free with ID
Men's Basketball	\$5.00	\$5.00
Men's Soccer	Free with ID	Free with ID
Men's Tennis	No charge	No charge
Rifle	No charge	No charge
Softball	Free with ID	Free with ID
Swimming and Diving	No charge	No charge
Track and Field	No charge	No charge
Volleyball	Free with ID	Free with ID
Women's Basketball	Free with ID	Free with ID
Women's Soccer	Free with ID	Free with ID
Women's Tennis	No charge	No charge

Parking Permits

<u>PERMIT TYPE</u>	2010-11				2011-12			
	<u>Per Week</u>	<u>Per Month</u>	<u>Per Semester</u>	<u>Per Year</u>	<u>Per Week</u>	<u>Per Month</u>	<u>Per Semester</u>	<u>Per Year</u>
Academic - E		\$31.00	\$124.00	\$279.00		\$31.00	\$124.00	\$279.00
Commuter - C		\$31.00	\$124.00	\$248.00		\$31.00	\$124.00	\$248.00
Residential - R		\$31.00	\$124.00	\$248.00		\$31.00	\$124.00	\$248.00
Stadium - K		\$31.00	\$124.00	\$248.00		\$31.00	\$124.00	\$248.00
Disabled (campus)		\$31.00	\$124.00	\$248.00		\$31.00	\$124.00	\$248.00
Disabled (BCTC)		\$31.00	\$124.00	\$248.00		\$31.00	\$124.00	\$248.00
Evening		\$15.00	\$60.00	\$120.00		\$15.00	\$60.00	\$120.00
Motorcycle		\$8.00	\$32.00	\$64.00		\$8.00	\$32.00	\$64.00
Donovan Scholar		\$31.00	\$124.00	\$248.00		\$31.00	\$124.00	\$248.00
Restricted		\$7.00	\$28.00	\$56.00		\$7.00	\$28.00	\$56.00
Summer	\$7.00				\$7.00			

2011-12 Fines

Penalties for Parking Citations - Violations will result in a fine of \$25, except for the following: meter violations carry a \$15 fine; violations of disabled parking carry a \$100 fine; violations of fire lanes carry a \$75 fine; violations involving improper application or use of a permit carry a \$175 fine; and violations involving improper application or use of a temporary permit carry a \$100 fine. Storage of impounded vehicles is \$25 per day.

Student Program, Course, and Administrative Fees

College/Unit	Description	2010-11	2011-12
EVPHA			
University Health Service	Additional health services are provided to students on a "fee for service" basis	\$5-\$730	\$5-\$730
	Mental health patients who do not keep an appointment and do not cancel within 24 hours of an appointment	\$10	\$10
PROVOST			
Agriculture			
Agricultural Biotechnology	ABT 495 (Experimental methods in Biotechnology)	\$100	\$100
Animal and Food Sciences	ASC 101, ASC 102, ASC 310, ASC 410G, ASC 420G, ASC 404G, ASC 680, FSC 304, FSC 306, FSC 434G, FSC 530, FSC 535, FSC 536, and FSC 638	\$50	\$50
	ASC 320 (Equine Management)	\$25	\$25
	ASC 364, ASC 406	\$25	\$25
	EQM 105 Horse Behavior and Handling	\$100	\$100
	EQM 399 Equine Internship	\$40	\$40
Biosystems and Agriculture Engineering	AEN 252 Lab fee	\$50	\$50
Forestry	Forestry Camp	\$960	\$960
	FOR 219 - Dendrology	\$50	\$50
	FOR 315 - Conservation Biology	\$50	\$50
	FOR 340 - Forest Ecology	\$50	\$50
	FOR 350 - Silviculture	\$50	\$50
	FOR/BIO/NRC 420G - Plant Taxonomy	\$50	\$50
	FOR 430 - Forest Wildlife Management	\$50	\$50
	FOR 460G - Forest Watershed Management	\$50	\$50
	FOR 480 - Integrated Forest Resource Management	\$50	\$50
School of HES/Merchandising Apparel	Materials fee (DMT 520, FCS 350, MAT 120, MAT 515)	\$25	\$25
School of HES/Nutrition and Food	Materials fee (NFS 304, NFS 342)	\$50	\$50
	Internship administration fee (NFS 800, NFS 808, NFS 810, NFS 812, NFS 814, and NFS 816)	\$20	\$20
	Internship application fee (NFS 800, NFS 808, NFS 812, NFS 814, and NFS 816)	\$40	\$40
	HMT 308	\$40	\$40
Natural Resource Conservation and Management	NRCM three week camp	\$360	\$360
	NRC 301, NRC 471	\$50	\$50
Plant and Soil Sciences	PLS 366	\$75	\$75
	PLS 386, NRC/PLS 455G, NRC/PLS 456G	\$25	\$25
	PPA 400G	\$50	\$50

Student Program, Course, and Administrative Fees

College/Unit	Description	2010-11	2011-12
Animal and Food Sciences	ASC 382 Animal Production Principles	\$0	\$50
	FSC 430 Sensory Evaluation of Foods	\$0	\$50
	ASC 408G Swine Production	\$0	\$50
Horticulture	GEN 300 Floral Design	\$0	\$100
Arts and Sciences			
Anthropology	Summer Field School - ANT 585	\$75	\$75
	ANT 230, ANT 541	\$0	\$25
Biology	Lab fee - per lab (BIO 111, BIO 151, BIO 153, BIO 155, BIO 209, BIO 304, BIO 315, BIO 325, BIO 340, BIO 350, BIO 351, BIO 361, BIO 430G, BIO 452G, BIO 510, BIO/INF 520, BIO 542, BIO, 551, BIO 555, BIO 556, BIO 559, and BIO 575)	\$105	\$115
	BIO 303 - recitation	\$0	\$25
Chemistry	Lab fee - per course (CHE 111, CHE 113, CHE 226, CHE 231, CHE 233, CHE 412G, CHE 441G, CHE 522, CHE 533, and CHE 554)	\$105	\$115
	CHE 395 - per credit hour	\$0	\$20
English	WRD 110, WRD 111	\$0	\$15
Geography	Computer cartography materials fee (GEO 506)	\$50	\$50
	Field studies fee - per course (GEO 309, GEO 310, GEO 351, GEO 406G, GEO 409G, GEO 441G, and GEO 706)	\$40	\$40
Geological Sciences	Lab fee - per course (GLY 115, GLY 160, GLY 220, GLY 230, GLY 235, GLY 341, GLY 350, GLY 360, GLY 385, GLY 401G, GLY 420G, GLY 450G, GLY 461, GLY 480, GLY 530, GLY 555, GLY 560, and GLY 585)	\$80	\$80
	GLY 110, GLY 120, GLY 150, GLY 151, GLY 155, GLY 180, GLY 185, GLY 310	\$0	\$20
	GLY 626	\$0	\$80
Physics	Lab fee - per course (PHY 210, PHY 212, PHY 402, PHY 530, and PHY 535)	\$95	\$95
	AST 191 and AST 192	\$20	\$57
	AST 310	\$0	\$20
	PHY 160, PHY 211, PHY 213, PHY 241, PHY 242	\$95	\$115
Psychology	Supply and lab fee - per course - PSY 450	\$25	\$25
	Supply and lab fee - per course - PSY 552	\$25	\$30
	Supply and lab fee - per course - PSY 456	\$25	\$60
Sociology	Supply and lab fee (SOC 303)	\$15	\$15

Student Program, Course, and Administrative Fees

College/Unit	Description	2010-11	2011-12
Statistics	Lab fee - per course (STA 200, STA 210, STA 291, and STA 570)	\$10	\$15
Business and Economics			
	Course fee - per SCH for all undergraduate courses (except ECON 101 and ECON 201)	\$27	\$27
	Graduate students pursuing a "Day" Master of Business Administration (MBA) will be charged a per semester program fee:		
	In-state students	\$4,000	\$4,000
	Out-of-state students	\$4,500	\$4,500
	Graduate Students pursuing an evening or part-time MBA will be charged a per semester program fee:		
	In-state students	\$1,000	\$1,000
	Out-of-state students	\$1,467	\$1,467
	Graduate students enrolled in the Master of Science in Accounting program will be charged an additional program fee per semester (Fall and Spring)	\$600	\$600
	Graduate students enrolled in the Master of Science in Accounting program will be charged a program fee for the Summer I session	\$300	\$300
	Microsoft Office Certification	\$85	\$85
Communications and Information Studies			
Department of Communications	Materials fee - per course (COM 181, COM 199, and COM 287)	\$10	\$10
	Assessment fee (COM 252)	\$10	\$10
School of Journalism and Telecommunications	JAT - Fee per credit hour on all courses taught in the School (ISC, JAT, JOU, and TEL courses)	\$10	\$10
School of Library and Information Science	SLIS Information Maintenance and Access fee - per course (LIS 625, LIS 630, LIS 636, LIS 637, LIS 638, and LIS 668)	\$25	\$25
Instructional Communication	IC Equipment and Support fee - Course fee CIS 110 and CIS 111	\$0	\$15
Dentistry			
	Application fee	\$75	\$75
	Instrument kits	varies	varies
	Sterilization fee - per year	\$1,236	\$1,273
	Course evaluation fee - per year	\$40	\$40
	Standardized patient fee - per student (as required)	\$50	\$50
	Clinic management fee - per student (beginning in second year)	\$50	\$52
	Pre-Clinical/Elective course supplies - per course	\$35	\$35
	Student CPR fee - per year (required biannually)	\$45	\$45
	Student CPR fee (certification for third year students) - per year	\$35	\$35
	Plastic Teeth - fee for each + market adjustment if necessary	\$1.65	\$1.65
	Dental Burs - fee + market adjustment if necessary	\$1 - \$19	\$1 - \$19
	Gold - approximately per dwt (price changes daily)	varies	varies

Student Program, Course, and Administrative Fees

<u>College/Unit</u>	<u>Description</u>	<u>2010-11</u>	<u>2011-12</u>
Dentistry (cont.)	Transcript fee	\$5	\$0
	Replacement fee for lost pager	\$40	\$40
	Post-Doctoral application packet	\$25	\$25
	Scrubs	\$15	\$15
Design	Program fee - per semester (undergraduate/graduate and pre-majors in Architecture, Interior Design, and Historic Preservation programs)	\$200	\$200
	Undergraduate application fee	\$60	\$60
	Studio course fee years 1 and 2 - per credit hour	\$9	\$9
	Studio course fee; UG years 3 and up, and graduate students - per credit hour	\$17	\$17
	Study Abroad program	varies	varies
	Architecture licensing exam preparation course	\$200/\$330	\$200/\$330
	Returned check fee	\$20	\$20
	Lost key fee	\$40	\$40
	Education	Course fee (RC 620, RC 710, and RC 720)	\$4
Equipment maintenance fee (KHP 120 and KHP 121)		\$10	\$10
Application to Teacher Education Program/MIC Students		\$30	\$30
Application to Teacher Education Program/Other TEP Students		\$30	\$30
Application for Student Teaching		\$30	\$30
Application for Teaching Certification		\$30	\$30
Psychological Assessment Lab fee		\$15	\$15
Statistical lab fee (EDP/EPE 557, EDP 660, EPE 621, and EDP 707)		\$30	\$30
Engineering		BS/MBA Engineering Summer Program - enrollment in EGR 394	\$0
	Undergraduate student course fee - per credit hour	\$50	\$52
	Graduate student course fee - per credit hour	\$50	\$52
Fine Arts	Materials fee: USP Dept of Art-Pathways to Creativity Through the Visual Arts	\$0	\$25
	Materials fee: A-S 280 - Introduction to Photographic Literacy	\$0	\$50
	Materials fee: A-S 102 - Course Fee - Visual Exploration I	\$25	\$25
	Materials fee: A-S 130 - Course Fee - Drawing I	\$25	\$25
	Materials fee: A-S 348 - Course Fee - Intro to Hardware & Software Topics in Art	\$100	\$100
	Materials fee: A-S 355 - Introduction to Sculpture	\$165	\$165
	Materials fee: A-S 546 - Intermedia Studio	\$50	\$50
	Materials fee: A-S 646 - Advanced Intermedia Studio	\$50	\$50
	Materials fee: A-S 777 - Problems in Intermedia	\$50	\$50
	Materials fee: A-S 779 - Problems in Photography	\$110	\$110
	Materials fee: A-E 200 - Course Fee - Design Workshop for Elementary Teachers	\$25	\$25

Student Program, Course, and Administrative Fees

<u>College/Unit</u>	<u>Description</u>	<u>2010-11</u>	<u>2011-12</u>
Fine Arts (cont.)	Materials fee: A-S 103 - Course Fee - Visual Exploration II - 3 D	\$70	\$70
	Materials fee: A-S 200 - Course Fee - Studio I - New Media (Computer Based)	\$100	\$100
	Materials fee: A-S 255 - Course Fee - Studio III - Sculpture Class	\$50	\$50
	Materials fee: A-S 320 - Course Fee - Printmaking I	\$75	\$75
	Materials fee: A-S 321 - Course Fee - Printmaking II	\$75	\$75
	Materials fee: A-S 520 - Course Fee - Printmaking III	\$75	\$75
	Materials fee: A-S 521 - Course Fee - Printmaking IV	\$75	\$75
	Materials fee: A-S 345 - Course Fee - Web Design	\$50	\$50
	Materials fee: A-S 346 - Course Fee - Digital Video	\$100	\$100
	Materials fee: A-S 347 - Course Fee - Multimedia	\$100	\$100
	Materials fee: A-S 350 - Course Fee - Fiber I	\$175	\$175
	Materials fee: A-S 351 - Course Fee - Fiber II	\$175	\$175
	Materials fee: A-S 550 - Course Fee - Fiber III	\$175	\$175
	Materials fee: A-S 551 - Course Fee - Fiber IV	\$175	\$175
	Materials fee: A-S 360 - Course Fee - Sculpture I	\$200	\$200
	Materials fee: A-S 361 - Course Fee - Sculpture II	\$200	\$200
	Materials fee: A-S 560 - Course Fee - Sculpture III	\$200	\$200
	Materials fee: A-S 561 - Course Fee - Sculpture IV	\$200	\$200
	Materials fee: A-S 370 - Course Fee - Ceramics I	\$170	\$170
	Materials fee: A-S 371 - Course Fee - Ceramics II	\$170	\$170
	Materials fee: A-S 570 - Course Fee - Ceramics III	\$170	\$170
	Materials fee: A-S 571 - Course Fee - Ceramics IV	\$170	\$170
	Materials fee: A-S 380 - Course Fee - Photography I	\$55	\$55
	Materials fee: A-S 381 - Course Fee - Photography II	\$55	\$55
	Materials fee: A-S 580 - Course Fee - Photography III	\$60	\$60
	Materials fee: A-S 581 - Course Fee - Photography IV	\$60	\$60
	Materials fee: A-S 384 - Course Fee - Color Photography I	\$125	\$125
	Materials fee: A-S 584 - Course Fee - Color Photography II	\$125	\$125
	Materials fee: A-S 385 - Course Fee - Digital Methods in Photography	\$50	\$50
	Materials fee: A-S 386 - Course Fee - Nonsilver Photography I	\$125	\$125
	Materials fee: A-S 390 - Course Fee - Topical Studies	\$60	\$60
	Materials fee: A-S 490 - Course Fee - Senior Seminar	\$20	\$20
	Materials fee: A-S 586 - Course Fee - Nonsilver Photography II	\$60	\$60
	Materials fee: A-S 620 - Course Fee - Printmaking V	\$75	\$75
	Materials fee: A-S 621 - Course Fee - Printmaking VI	\$75	\$75
	Materials fee: A-S 650 - Course Fee - Fiber V	\$175	\$175
	Materials fee: A-S 651 - Course Fee - Fiber VI	\$175	\$175
	Materials fee: A-S 660 - Course Fee - Sculpture V	\$200	\$200
	Materials fee: A-S 661 - Course Fee - Sculpture VI	\$200	\$200
	Materials fee: A-S 670 - Course Fee - Ceramics V	\$170	\$170

Student Program, Course, and Administrative Fees

<u>College/Unit</u>	<u>Description</u>	<u>2010-11</u>	<u>2011-12</u>
Fine Arts (cont.)	Materials fee: A-S 671 - Course Fee - Ceramics VI	\$170	\$170
	Materials fee: A-S 680 - Course Fee - Photography V	\$60	\$60
	Materials fee: A-S 681 - Course Fee - Photography VI	\$60	\$60
	Materials fee: A-S 720 - Course Fee - Problems in Printmaking	\$75	\$75
	Materials fee: A-S 740 - Course Fee - Problems in Fiber	\$175	\$175
	Materials fee: A-S 750 - Course Fee - Problems in Sculpture	\$200	\$200
	Materials fee: A-S 770 - Course Fee - Problems in Ceramics	\$170	\$170
	Materials fee: A-S 780 - Course Fee - Problems in Design	\$110	\$110
	Applied music fee - for 1-3 credits	\$100	\$100
	Guitar fee - per semester (MUP 123, 223, 323, 423, 523, and 623)	\$500	\$500
	Technology series summer workshops for P-12 teachers	\$195	\$195
	Opera performance tickets - class pass/students	\$8/\$12	\$8/\$12
	Recital fees - (MUP 003, 004, 005, 006, 007)	\$50	\$50
	TA 260 - Stagecraft	\$50	\$50
	TA 265 - Costume Technology	\$50	\$50
	TA 267 - Lighting & Sound Technology	\$50	\$50
	TA 470 - Advanced Project in Design: Scene Painting	\$50	\$50
	Graduate School	Domestic Application fee/International Application fee	\$50/\$65
Thesis and Dissertation fees:			
Base Thesis fee		\$74	\$74
Base Dissertation fee		\$14	\$14
Additional binding charge (per volume)		\$14	\$14
Pocket for oversized material		\$10	\$10
Enclosure for each non-print media, bound in back of volume		\$10	\$10
Copyright fee		\$65	\$65
International Student Health Insurance - Fall and Spring/Summer		\$408/\$754	480/\$896
International Student Health Insurance - for those who enter during one of the summer terms		\$299	\$391
Health Sciences	Athletic Training		
	Athletic Training	\$150	\$150
	Clinical Lab Sciences		
	Lab fee - per course per semester - MLS 465 Fall and MLS 467 Spring	\$100	\$200
	Communication Disorders		
	Materials fee - per course per semester - Fall and Spring - CD 657 and CD 659; Summer CD 654 and CD	\$75/\$35	\$75/\$35
	Physical Therapy Doctoral Program		
Application fee	\$50	\$50	
Physician Assistant Studies			
Application fee	\$75	\$75	
Transitional Doctoral Physical Therapy Program			
Application fee	\$100	\$100	

Student Program, Course, and Administrative Fees

<u>College/Unit</u>	<u>Description</u>	<u>2010-11</u>	<u>2011-12</u>
International Affairs			
	International Student Orientation fee	\$15	\$15
	International Student F-1 / J-1 fee	\$35	\$35
	International Student Exchange Program (ISEP) fee	\$355	\$355
	Education Abroad application fee	\$50	\$50
	Education Abroad administrative fee	\$100	\$100
	DS-2019, summer	\$50	\$50
Law			
	Application fee	\$50	\$50
Medicine			
Office of Medical Education	Transcript fee - per copy/Packets for letters of recommendation	\$25	\$25
	Medicine application fee	\$50	\$50
	Student lab fee	\$37.50	\$37.50
	Student Disability Insurance fee - all entering students	\$58	\$58
Rural Health	Physical Therapy application fee	\$50	\$50
	Practicum fee (SW444, SW445, SW640, SW740A, SW740B, SW741A, and SW741B)	\$75	\$75
Nursing			
	Clinical Instruction/Nursing Semester fee:		
	Traditional BSN and 2nd Degree BSN Students	\$460	\$535
	Health Assessment (NUR855) *	\$0	\$180
	Health Assessment (NUR 514)	\$150	\$180
	Applications of Health Assessment (NUR 631 and NUR 923)	\$240	\$240
	Comprehensive Pt. Management I (NUR 632)	\$180	\$180
	Comprehensive Pt. Management II (NUR 633)	\$180	\$180
	Primary Care Nurse Practitioner Seminar II with Practicum (NUR 961)	\$0	\$240
	Primary Care Nurse Practitioner Seminar III with Practicum (NUR 962)	\$0	\$240
	Psychiatric Mental Health Nurse Practitioner I (NUR 966)	\$0	\$180
	Psychiatric Mental Health Nurse Practitioner II (NUR 967)	\$0	\$180
	Advanced Practice Nursing in Acute Care (NUR 940)	\$0	\$75
	Acute and Chronic Illness and Nursing Therapeutics I (NUR 945)	\$0	\$75
	Acute and Chronic Illness and Nursing Therapeutics I (NUR 704**)	\$0	\$75
	Advanced Parent-Child Seminar (NUR 955)	\$0	\$75
	Advanced Parent-Child Seminar (NUR 712**)	\$0	\$75
	Primary Care Nurse Practitioner Seminar I (NUR 960)	\$0	\$75
	Clinical Topics in Advanced Practice Psychiatric Mental Health (NUR 965)	\$0	\$75
	Clinical Topics in Advanced Practice Psychiatric Mental Health (NUR 722**)	\$0	\$75
	Primary Care Nurse Practitioner Practicum II (NUR 727)	\$180	\$0
	CNA Program - without CPR training/with CPR training	\$577/\$632	\$620/\$650

* In Senate and expect approval in time for Fall 2011

** Programs being phased out as students move to strictly DNP course work.

Student Program, Course, and Administrative Fees

<u>College/Unit</u>	<u>Description</u>	<u>2010-11</u>	<u>2011-12</u>
Pharmacy	Application fee	\$75	\$75
Social Work	Practicum fee (SW 444, SW 445, SW 640, SW 740A, SW 740B, SW 741A, and SW 741B)	\$75	\$75
Student Affairs			
Student Affairs Administration	Plus Account - fee per transaction to withdraw or deposit funds in an account	\$5	\$5
Dean of Students	Choices Substance Abuse course	\$100	\$100
Dean of Students New Student and Parent Program	Program fee for new students - Fee for K-Week and for the Common Reading Program	\$39	\$39
Campus Recreation	Entry fees for intramural sports	\$5-\$25	\$5-\$25
	Faculty and Staff memberships - per month - direct deposit/no direct deposit	\$17/\$20	\$17/\$20
Student Center	Technical Services provided to departments associated with room reservations. Also, full scale technical services including concert audio reinforcement and theatrical lighting provided at market.	\$5/\$100	\$5/\$100
Student Involvement	New or replacement ID for faculty, staff, and students	\$15-\$20	\$15-\$20
	Passport processing	\$25-\$50	\$25-\$50
	Photo services	\$10	\$10
Institutional Diversity			
Freshman Summer Program	Six-week program	\$400	\$400
UK Libraries			
	Overdue fines (\$20 maximum per item):		
	Books - per item per day	\$0.25	\$0.25
	Reserves - per hour per day	\$0.60	\$0.60
	Periodicals - per item per day	\$5	\$5
	Group Study Rooms - per hour	\$0.60	\$0.60
	Replacements:		
	Book - default price + non-refundable processing fee	\$100 + \$25	\$100 + \$25
	Government publications - per page + non-refundable processing fee	\$0.10 + \$10	\$0.10 + \$10
	Periodicals - minimum replacement fee + non-refundable processing fee	\$125 + \$25	\$125 + \$25
	Room Keys - replacement cost for lost or damaged keys is fee + any overdue fines incurred	\$25 + fines	\$25 + fines
	Door lock mechanism - cost of replacing door lock mechanisms when keys have been lost	\$60	\$60
	Locker keys - replacement cost for lost or damaged locker keys fee +any overdue fines	\$25 + fines	\$25 + fines
	Various other items (laptop loan, AV materials, etc.)	\$30-\$2,000	\$30-\$2,000
	Document delivery and photocopying charges	\$0.15	\$0.15

Student Program, Course, and Administrative Fees

<u>College/Unit</u>	<u>Description</u>	<u>2010-11</u>	<u>2011-12</u>
UK Libraries (cont.)	Reprographics - range of fees depending on length of reel of microfilm	\$50-\$80	\$50-\$80
	Off-campus users:		
	Scan	\$5	\$5
	8x10 digital print	\$25	\$25
	Photocopy of print	\$1	\$1
	CD of print	\$5	\$5
	Shipping and handling	\$10	\$10
	Rush order	\$25	\$25
	On-campus users:		
	Scan	\$2.50	\$2.50
	8x10 digital print	\$2.50	\$2.50
	Photocopy of print	\$1	\$1
	CD of print	\$5	\$5
	Shipping and handling	\$10	\$10
	Rush order	\$25	\$25
	Digital Programs		
	Off-campus users:		
	Scan from book material to 8.5"x11" bitonal scan, per page	\$7	\$7
	Scan from book material to 8.5"x11" full grayscale, per page	\$10	\$10
	Scan from book material to 8.5"x11" full-color, per page	\$10	\$10
	Digital delivery or audio material, per cassette tape	\$26	\$26
	On-campus users:		
	Scan from book material to 8.5"x11" bitonal scan, per page	\$3.50	\$3.50
Scan from book material to 8.5"x11" full grayscale, per page	\$5	\$5	
Scan from book material to 8.5"x11" full-color, per page	\$5	\$5	
Digital delivery or audio material, per cassette tape	\$13	\$13	
Overdue recalls per day	\$2	\$2	
Overdue video fee per day	\$1	\$1	
Interlibrary Loan/Overdue fines (after 20 days overdue)	\$20	\$20	
Interlibrary Loan/Lost or damaged material in possession of patron	varies	varies	
Interlibrary Loan/Borrowing materials from other libraries	varies	varies	
NOTE: Damaged materials - the library will determine the cost of repair and bill accordingly			
NOTE: Items that cannot be repaired will be billed at the replacement fee			
Undergraduate Education Academic Enhancement	LSAT Prep (Friday only)	\$20	\$20
	LSAT Prep (Saturday only)	\$20	\$20
	LSAT Prep (both days)	\$30	\$30
	GRE Math Review	\$100	\$100
	GRE Verbal Review	\$100	\$100
	GMAT Math Review	\$100	\$100

Student Program, Course, and Administrative Fees

College/Unit	Description	2010-11	2011-12
Academic Enhancement (cont.)	GMAT Verbal Review	\$100	\$100
	Study Smarter Seminar	\$40	\$40
Central Advising	CAS Program Fees Recommendation letters - 0 to 5 schools	\$20	\$0
	CAS Program Fees Recommendation letters - 6 to 10 schools	\$30	\$0
	CAS Program Fees Recommendation letters - over 10 schools	\$40	\$0
	National Student Exchange (NSE) application fee	\$125	\$125
Distance Learning	Fee per credit hour for any offering through DLP, including online/internet courses, off-campus courses, TV courses, compressed video, travel courses, etc.	\$10	\$10
Enrollment Management			
Independent Study	College Division		
	Non-refundable registration fee	\$10	\$0
	Returned check fee	\$25	\$25
	Fee for assignments already graded in course being dropped or from which student is transferring - per assignment	\$5	\$0
	Four-month enrollment period extension fee	\$50	\$50
	Transferring from one course to another	\$25	\$0
	Return of assignments by airmail	\$30	\$30
	Independent Study Program transcript	\$5	\$0
	Optional paper study guide for college courses	\$5	\$0
	High School Division		
	Tuition per half-unit course	\$65	\$65
	Non-refundable registration fee per course	\$5	\$5
	Returned check fee - per check	\$25	\$25
	Three-month enrollment period extension fee	\$20	\$20
	Final exam re-take fee	\$15	\$15
	Replacement study guide fee	\$10	\$10
	Fee for assignments already graded in course being dropped - per assignment	\$5	\$5
Fee for transferring from one course to another	\$10	\$10	
Fee for return of assignments by airmail	\$30	\$30	
Fee for optional paper study guide for high school courses	\$3	\$3	
Student Billings	Late fee - per month	1.25%	1.25%

Student Program, Course, and Administrative Fees

<u>College/Unit</u>	<u>Description</u>	<u>2010-11</u>	<u>2011-12</u>
Enrollment Management (cont.)			
Registrar	Application - Domestic/International	\$50/\$60	\$50/\$60
	Late Registration fee	\$40	\$40
	Transcript fee	\$10	\$10
	Bulletin	\$3	\$0
	Duplicate diplomas	\$25	\$25
	Freshman Advising Conference	\$75	\$75
	Transfer Advising Conference	\$25	\$25
	Guest Advising Conference	\$25	\$25
	Transfer/Readmission Conferences	\$25	\$25

Capital Budget

The 2011-12 Capital Budget includes projects in progress and projects that may be initiated prior to July 1, 2012. All projects have been authorized by the Kentucky General Assembly. The Board of Trustees will continue to review and approve, prior to initiation, capital projects per Administrative Regulation II – 1.4-1.

Major projects with a scope of at least \$15 million currently underway include:

- Construct Patient Care Facility
- Construct Center for Applied Energy Research Building #2
- Fit-up 4th and 5th Floors of the New Pharmacy Building
- Guaranteed Energy Savings Performance Contract

- Lease-Purchase Ambulatory Electronic Health Records Equipment/System
- Upgrade Student Center Infrastructure

The University's Guaranteed Energy Savings performance contract with AMERESCO is well underway. This project includes 61 buildings on campus in which various energy conservation measures are being implemented including upgrades to lighting, HVAC, and plumbing systems as well as behavioral modification programs to encourage continued energy conservation to maximize effectiveness and initiate a culture of energy efficiency.

If other funds are available, a number of classroom and class laboratory and other research-related projects also are expected in 2011-12.

Capital Budget

Summary
Total Scope Authorized by Kentucky Legislative
FY 2011-12

	State Bonds and State General Funds	Federal Funds	Agency Bonds	Agency Funds	Private/Other Funds	Total
ACTIVE PROJECTS BY AREA						
Provost	\$18,576,839	\$19,551,819	\$0	\$19,922,889	\$5,600,000	\$63,651,547
Finance and Administration	3,445,500	0	78,805,000	7,262,300	0	89,512,800
UK HealthCare	0	37,461,503	350,000,000	376,305,497	0	763,767,000
Athletics	0	0	0	0	21,000,000	21,000,000
Total Active Projects	\$22,022,339	\$57,013,322	\$428,805,000	\$403,490,686	\$26,600,000	\$937,931,347
POTENTIAL PROJECTS BY AREA						
Provost	\$0	\$0	\$10,990,000	\$142,430,000	\$0	\$153,420,000
Finance and Administration	0	0	0	0	0	0
UK HealthCare	0	0	0	58,500,000	0	58,500,000
Athletics	0	0	0	0	180,950,000	180,950,000
Total Potential Projects	\$0	\$0	\$10,990,000	\$200,930,000	\$180,950,000	\$392,870,000

Capital Budget

Active Projects by Area As of March 31, 2011

	Fund Source	Scope Authorized by Kentucky Legislature	Amount Expended	Initiation Date	Anticipated Completion Date
PROVOST					
Construct Center for Applied Energy Research Laboratory Building #2	Federal Funds	\$11,832,685			
	General Funds	3,500,000			
	Other Funds	3,500,000			
	Agency Funds	944,228			
			<u>19,776,913</u>	\$4,086,138	March, 2010
Fit-up 4th and 5th Floors of New Pharmacy Building	Research Capital Match Program	12,476,839			
	Agency Funds	15,523,161			
		<u>28,000,000</u>	9,741,786	April, 2010	November, 2011
Renovate Equine Barns	Research Capital Match Program	600,000			
	Private Funds	600,000			
		<u>1,200,000</u>	611,477	September, 2009	October, 2011
Renovate 4-H Camps	State Bonds	2,000,000	1,322,688	December, 2009	September, 2011
Renovate 4th Floor Sanders-Brown Center	Federal Funds	6,432,134	488,125	March, 2010	March, 2012
Renovate Nursing Building	Federal Funds	1,287,000			
	Agency Funds	55,500			
		<u>1,342,500</u>	11,711	September, 2010	February, 2012
Renovate Old Pharmacy Building	Agency Funds	3,400,000	110,668	September, 2010	February, 2012
Renovate Schmidt Vocal Arts Center	Private Funds	1,500,000	0	February, 2011	June, 2012
Total Provost		\$63,651,547	\$16,372,593		

Capital Budget

Active Projects by Area As of March 31, 2011

	Fund Source	Scope Authorized by Kentucky Legislature	Amount Expended	Initiation Date	Anticipated Completion Date
FINANCE AND ADMINISTRATION					
Capital Renewal and Maintenance Pool	State Bonds	\$3,445,500			
	Agency Funds	2,067,300			
		<u>5,512,800</u>	\$4,946,299	May, 2009	December, 2011
Construct New Student Housing	Agency Bonds	30,000,000	19,486	October, 2010	Under Review
Guaranteed Energy Savings Performance Contract	Agency Bonds	25,000,000	4,868,795	December, 2009	January, 2012
Infrastructure Projects	Agency Bonds	6,000,000	5,189,862	February, 2006	June, 2011
Replace Central Facilities Management					
Replace Steam and Condensate Pipe					
Improve Central Heating Plant					
Replace High Voltage Wiring					
Upgrade Student Center Infrastructure	Agency Bonds	17,805,000			
	Agency Funds	5,195,000			
		<u>23,000,000</u>	0	February, 2011	January, 2012
Total Finance and Administration		\$89,512,800	\$15,024,442		

Capital Budget

Active Projects by Area As of March 31, 2011

	Fund Source	Scope Authorized by Kentucky Legislature	Amount Expended	Initiation Date	Anticipated Completion Date
UK HealthCare					
Construct Community Health Center Facility	Federal Funds	\$11,461,503			
	Agency Funds	538,497			
		<u>12,000,000</u>	\$0	October, 2010	August, 2012
Construct Patient Care Facility	Agency Bonds	350,000,000			
	Agency Funds	350,000,000			
		<u>700,000,000</u>	\$478,755,332	May, 2004	December, 2011
Lease-Purchase Ambulatory Electronic Health Records Equipment/System	Federal Funds	26,000,000			
	Agency Funds	25,767,000			
		<u>51,767,000</u>	0	February, 2011	December, 2013
Total UK HealthCare		\$763,767,000	\$478,755,332		

Capital Budget

Active Projects by Area As of March 31, 2011

	Fund Source	Scope Authorized by Kentucky Legislature	Amount Expended	Initiation Date	Anticipated Completion Date
ATHLETICS					
Renovate Track and Field Facility	Private Funds	\$14,000,000	\$630,206	September, 2010	October, 2011
Replace Wildcat Lodge Student Housing	Private Funds	7,000,000	919,767	October, 2009	March, 2012
Total Athletics		\$21,000,000	\$1,549,973		
TOTAL ACTIVE PROJECTS		\$937,931,347	\$511,702,340		

Capital Budget

Potential Projects by Area FY 2011-12

	<u>Fund Source</u>	<u>Scope Authorized by Kentucky Legislature</u>
PROVOST		
Land Acquisition (Academic Facility)	Agency Funds	\$50,000,000
Relocate and Expand Dentistry Faculty Practice	Agency Bonds	3,375,000
Renovate Dentistry Class Lab	Agency Funds	3,265,000
Renovate Dentistry Clinic in Kentucky Clinic	Agency Bonds	7,615,000
Renovate Erikson Hall	Agency Funds	12,000,000
Renovate Old Northside Library Building	Agency Funds	3,500,000
Renovate Old Pharmacy Building for Biology	Agency Funds	40,165,000
Upgrade, Renovate, Improve, or Expand Research Labs	Agency Funds	33,500,000
Total Provost		\$153,420,000

Capital Budget

Potential Projects by Area FY 2011-12

	<u>Fund Source</u>	<u>Scope Authorized by Kentucky Legislature</u>
UK HEALTHCARE		
Expand, Renovate Ambulatory Care	Agency Funds	\$20,000,000
Renovate Parking Structure #3 - Hospital	Agency Funds	3,500,000
Renovate, Upgrade Hospital Facility - Good Samaritan	Agency Funds	10,000,000
Repair, Upgrade, or Improve Building Systems - Hospital	Agency Funds	20,000,000
Upgrade Critical Care Facility	Agency Funds	5,000,000
Total UK HealthCare		\$58,500,000

Capital Budget

Potential Projects by Area FY 2011-2012

	<u>Fund Source</u>	<u>Scope Authorized by Kentucky Legislature</u>
ATHLETICS		
Renovate and Upgrade Commonwealth Stadium*	Other Funds	\$180,000,000
Renovate Shively Sports Center	Other Funds	950,000
Total Athletics		\$180,950,000
TOTAL POTENTIAL PROJECTS		\$392,870,000

*This project was approved for initiation by the Board of Trustees at the May 3, 2011 meeting with an approved scope of \$6,250,000 for the replacement of the video boards.

Glossary

ACADEMIC SUPPORT – funds allocated to provide primary support services for instruction, research, and public service. Academic support includes libraries, museums and galleries, academic computing support, and academic administration.

AFFILIATED CORPORATION – a corporate entity over which the University exercises effective control by means of appointments to its board of directors and/or which could not exist or effectively operate in the absence of substantial assistance from the University.

AGENCY BONDS – debt instruments for which the debt service is paid by the University from agency funds.

AGENCY FUNDS – a definition used by the Kentucky General Assembly to designate institutional funds (excludes state appropriations and federal funds.)

AUXILIARY FUNDS – funds generated by entities that sell goods or services and charge fees directly related to, though not necessarily equal to, the cost of the goods or services provided. Auxiliary enterprises are generally self-supporting (revenues equal expenses) and include housing, dining, and athletics.

CAPITAL OUTLAY – funds used to purchase any physical resource that benefits a University program for more than one year. For example, funds used to purchase office furniture or equipment that costs more than \$2,000 (\$1,000 for computers), library books, and periodicals.

CAPITAL PROJECT – the construction, reconstruction, acquisition, and structural maintenance of buildings or real property with a scope greater than \$600,000.

DEBT SERVICE – the amount of money required to pay the interest, principal, and required contributions to reserves related to bonds.

DESIGNATED FUNDS – funds that are assigned, delegated, or restricted with limitations or stipulations in their use including funds for federal programs, extension programs, grants and contracts, and auxiliary and other self-supporting programs.

ENDOWMENT INCOME – means an institutional fund or part thereof that, under the terms of a gift instrument, is not wholly expendable by the institution on a current basis. The term does not include assets that an institution designates as an endowment fund for its own use.

FRINGE BENEFITS – employer provided non-wage compensation to employees in addition to their normal wages or salaries, such as health and life benefits, retirement, Social Security, disability, and workers compensation.

FUND BALANCES – the cumulative remaining balances from the previous fiscal years (revenues less expenses and transfers).

GENERAL FUNDS – unrestricted resources that are available for allocation in support of instruction, instructional support, general administration, and physical plant. General Funds include, but are not limited to, tuition and fees revenue, state appropriations, and investment income.

GIFTS, GRANTS, OR CONTRACTS – funding received as donations, contributions, awards, or contractual agreements to perform a service.

INSTITUTIONAL SUPPORT – funds allocated to provide for day-to-day operations, executive management, fiscal operations, and administrative computing support.

INSTRUCTION – funds allocated for direct support of teaching.

INVESTMENT INCOME – includes interest and dividends from short-term and overnight investments not reported under endowment or any other non-expendable fund income.

MANDATED PROGRAM – a program which meets most of the following criteria: not integral to the instructional mission of the institution; relatively unique among other state institutions (e.g., cooperative extension service or agriculture experiment station); could be operated by an agency other than a state college or university; created by external legal mandate, either through statute, resolution, or executive order; and funded primarily with state appropriations.

Glossary

MANDATORY STUDENT FEES – fees assessed to each student regardless of degree level or program. Mandatory fees do not include those assessed to a student enrolled in a particular program or course (such as music, nursing, and laboratories) or administrative fees such as those for late registration or fees for room and board.

MANDATORY TRANSFERS – funds transferred to the retirement of indebtedness fund group to pay debt service on outstanding bonds.

NON-GOVERNMENTAL GRANTS AND CONTRACTS – funds received per contractual agreement from non-governmental sources, such as the Kentucky Medical Services Foundation, Inc.

NON-RECURRING FUNDS – “one-time” funds.

OPERATION AND MAINTENANCE – funds allocated for the operation and maintenance of physical plant, including utilities, custodial service, safety and security, building maintenance, and landscape and grounds maintenance.

OPERATING EXPENSES – non-personnel expenditures directly attributable to the operation of the institution, including, but not limited to: supplies, travel, telecommunications, and subscriptions.

ORIGINAL PROPOSED BUDGET – the planned budget for the upcoming fiscal year.

OTHER FEES – fees charged to students for a specific use or purpose, such as course fees, lab fees, program fees, etc.

PERSONNEL SERVICES – includes funds for salaries, wages, and benefits.

PUBLIC SERVICE – funds allocated to provide noninstructional services beneficial to individuals outside the institution.

RECHARGES/PASS THRU – recharge funding comes from service centers which are operating units that provide goods and/or services primarily to University departments for a fee based on actual incurred costs. Some of these incurred costs must be direct costs. Service center units must operate under Cost Accounting Standard rules and guidelines. Pass thrus are used to allocate costs from one central funding account to another.

RECURRING FUNDS – funds that generally are received each fiscal year, such as state appropriations and tuition and fees income.

RESEARCH – funds allocated for activities specifically organized to produce research outcomes.

RESTRICTED FUNDS – funds provided to an institution which have externally established limitations or stipulations placed on their use such as federal governmental appropriations for Agricultural Experiment Stations and the Agricultural Cooperative Extension Service, federal or state financial aid, and gifts.

REVISED BUDGET – the original budget as amended with recurring changes approved by the Board of Trustees.

SALES AND SERVICE OF EDUCATIONAL ACTIVITIES - includes revenues derived from the sale of goods or services which are incidental to the conduct of instruction, research, or public service. This category does not include revenues generated by hospitals operated by the institution. Examples of sales and services of educational activities revenue include film rentals, scientific and literary publications, testing services, the University Press, teaching clinics, and dairy products.

STATE APPROPRIATED EARMARKS – funds received by the University through acts of the state legislature that are appropriated to specific programs.

STATE APPROPRIATIONS – includes those funds received from or made available to an institution through acts of the state legislature. These do not include governmental grants or contracts.

STATE BONDS – debt instruments for which the debt service is paid by the Commonwealth of Kentucky from state General Funds.

STUDENT SERVICES – includes funds allocated for student services administration, counseling and career guidance, financial aid administration, student admission, and student records.

TRANSFERS – the movement of funds between fund groups such as General Funds and the Retirement of Indebtedness Fund.

Glossary

TUITION AND FEES – student charges related to instruction. Charges for room, board, and other services rendered by auxiliary enterprises are not included in this category.

UNDESIGNATED FUNDS – funds generated mainly from state appropriations, tuition, and investment income that are not restricted and are used at the discretion of the University administration.



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